

Private Health Insurance Detail (HP) Section

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| THROUGHOUT THE SPECIFICATIONS FOR THIS CAPI |  
| SECTION, FOR SCREENS THAT SPECIFY THE REFERENCE |  
| PERIOD {END DATE} AS PART OF THE CONTEXT HEADER, |  
| CAPI DISPLAYS THE {END DATE} ONLY FOR ROUND 5. IN |  
| ANY OTHER ROUND, CAPI DOES NOT DISPLAY THE {END |  
| DATE} IN THE CONTEXT HEADER. FOR MOST PERSONS, |  
| THE END DATE FOR ROUND 5 WILL BE DECEMBER 31 OF |  
THE SECOND YEAR OF THE PANEL.

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| NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE' |  
| (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS |  
| OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN |  
ALL FUTURE ROUNDS.

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| NOTE THAT ESTABLISHMENT ADDRESS INFORMATION AND |  
| THE INFORMED CONSENT SCREENS WERE OMITTED STARTING |  
| IN PANEL 12 ROUND 3. THIS INFORMATION WAS |  
| IN PANEL 12 ROUNDS 1 AND 2. |  
| |  
| STARTING IN PANEL 13 THESE ITEMS WILL BE OMITTED |  
IN ALL ROUNDS.

BOX\_00

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| CONTEXT HEADER DISPLAY INSTRUCTIONS: |  
| DISPLAY PERS.FULLNAME, ESTB.ESTBNAME, |  
| PRND.BEGREFMM, PRND.BEGREFDD, PRND.BEGREFYY, |  
| PRND.ENDREFMM, PRND.ENDREFDD, PRND.ENDREFYY, |  
| 'INSURANCE SOURCE'. |  
| |  
| FOR 'INSURANCE SOURCE', DISPLAY THE CATEGORY TEXT |  
| FROM HX23. IF HX23=91, DISPLAY THE OTHER SPECIFY |  
TEXT.

BOX\_01  
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| IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN THE |  
| EMPLOYMENT (EM) SECTION AS 'PROVIDES HEALTH |  
| INSURANCE' AND NOT FLAGGED AS 'SELF-EMPLOYED' |  
| WITH A FIRM-SIZE-1, GO TO LOOP_01 |  
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-----  
| IF LOOPING ON AN HX03 CATEGORY OR IF LOOPING ON |  
| AN HX23 CATEGORY (EXCEPT CODE '3' (DIRECTLY FROM |  
| A SCHOOL)), GO TO HP03 |  
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-----  
| IF LOOPING ON CODE '3' (DIRECTLY FROM A SCHOOL) |  
| AT HX23, CONTINUE WITH HP01 |  
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```

HP01  
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Does the insurance from the school cover only injuries caused  
by accidents, or does it have general health coverage?

GENERAL HEALTH COVERAGE .....	1	{HP02}
ONLY INJURIES CAUSED BY ACCIDENTS .....	2	{BOX_11}
REF .....	-7	{HP02}
DK .....	-8	{HP02}

HELP AVAILABLE FOR DEFINITION OF GENERAL HEALTH COVERAGE.

[Code One]

HP02  
=====

Would the insurance from the school cover health services  
outside of a school clinic?

YES ..... 1 {HP03}  
NO ..... 2 {BOX\_11}  
REF ..... -7 {HP03}  
DK ..... -8 {HP03}

HP03  
=====

I'd like to talk about the insurance which is from {CATEGORY  
NAME FROM HX03 OR HX23}, that is, the health insurance {through  
a self-employed business/someone in the family purchased or  
obtained directly from that source.}

SELECT 'CONTINUE' UNLESS RESPONDENT VOLUNTEERS INSURANCE  
REPORTED IN ERROR.

CONTINUE ..... 1 {LOOP\_01}  
INSURANCE REPORTED IN ERROR ..... 2 {BOX\_11}

[Code One]

-----  
| DISPLAY 'through a self-employed business' IF |  
| LOOPING ON AN HX03 CATEGORY. DISPLAY 'someone in |  
| the family purchased or obtained directly from |  
that source.' IF LOOPING ON AN HX23 CATEGORY.

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-----  
| DISPLAY THE FOLLOWING FOR 'CATEGORY NAME FROM HX03 |  
| OR HX23': |  
| |  
| - 'a professional association' IF CODED '1' AT |  
| HX03 |  
| - 'a small business group' IF CODED '2' AT HX03 |  
| - 'a union' IF CODED '3' AT HX03 |  
| - 'an insurance agent' IF CODED '5' AT HX03 |  
| - 'an insurance company' IF CODED '6' AT HX03 |  
| - 'an HMO' IF CODED '7' AT HX03 |  
| - 'a previous employer' IF CODED '8' AT HX03 |  
| - 'a previous employer (COBRA)' IF CODED '9' AT |  
| HX03 |  
| - 'a high risk pool {(e.g., {STATE NAME FOR HIGH |  
| RISK POOL})}' IF CODED '10' AT HX03 |  
| - 'the {HX03OV OTHER SPECIFY TEXT}' IF CODED '91' |  
| AT HX03 |  
| - '{STATE EXCHANGE NAME}' IF CODED '11' AT HX03 |  
| - 'source purchased for that business' IF CODED |  
| '-7' OR '-8' AT HX03 |  
| |  
| - 'a group or association' IF CODED '1' AT HX23 |  
| - 'a school' IF CODED '3' AT HX23 |  
| - 'an insurance agent' IF CODED '4' AT HX23 |  
| - 'an insurance company' IF CODED '5' AT HX23 |  
| - 'an HMO' IF CODED '6' AT HX23 |  
| - 'a union' IF CODED '7' AT HX23 |  
| - 'a previous employer (COBRA)' IF CODED '8' AT |  
| HX23 |  
| - 'a previous employer (not COBRA)' IF CODED '9' |  
| AT HX23 |  
| - 'a spouse's (or deceased spouse's) previous |  
| employer' IF CODED '10' AT HX23 |  
| - 'some other employer' IF CODED '11' AT HX23 |  
| - 'the plan of someone not living here' IF CODED |  
| '12' AT HX23 |  
| - 'a high risk pool {(e.g., {STATE NAME FOR HIGH |  
| RISK POOL})}' IF CODED '13' AT HX23 |  
| - '{STATE EXCHANGE NAME}' IF CODED '14' AT HX23 |  
| - 'the {HX23OV OTHER SPECIFY TEXT}' IF CODED '91' |  
| AT HX23 |  
| - 'a source that provided directly purchased |  
| insurance' IF CODED '-7' OR '-8' |  
| |  
|-----
```

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-----  
| DISPLAY `(e.g., {STATE NAME FOR HIGH RISK POOL})` |  
| IF STATE IN WHICH INTERVIEW IS BEING CONDUCTED |  
| OFFERS A HIGH RISK POOL HEALTH INSURANCE PLAN. |  
| THIS INCLUDES ALL STATES EXCEPT: AZ, DE, DC, GA, |  
| HI, ME, MA, MI, NV, NJ, NY, OH, PA, RI, VT, VA. |  
| IF INTERVIEW STATE IS ONE OF THESE STATES, USE A |  
| NULL DISPLAY. |  
|  
| FOR `STATE NAME FOR HIGH RISK POOL` DISPLAY THE |  
| HIGH RISK POOL PLAN NAME ASSOCIATED WITH THE STATE |  
| IN WHICH INTERVIEW IS BEING CONDUCTED. |  
|  
| FOR `STATE EXCHANGE NAME`, DISPLAY THE EXCHANGE |  
| NAME ASSOCIATED WITH THE STATE IN WHICH INTERVIEW |  
| IS BEING CONDUCTED. |  
-----
```

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-----  
| IF CODED '2' (INSURANCE REPORTED IN ERROR), FLAG |  
| ITEM FOR SOURCE CLEAN-UP. |  
-----
```

LOOP\_01  
=====

```
-----  
| FOR EACH OF THE FOLLOWING: |  
|  
| ESTABLISHMENT 1 |  
| ESTABLISHMENT 2 |  
| ESTABLISHMENT 3 |  
| ESTABLISHMENT 4 |  
|  
| ASK BOX_01AA-END_LP01 |  
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```

```
-----  
| LOOP DEFINITION: LOOP-01 COLLECTS DETAILED |  
| INFORMATION ABOUT INSURANCE PROVIDED THROUGH AN |  
| EMPLOYER OR THE ESTABLISHMENT NAMES OF THE |  
| INSURANCE SOURCE COLLECTED IN EITHER HX03 OR HX23. |  
| IF LOOPING ON INSURANCE PROVIDED FROM AN EMPLOYER |  
| ONLY ONE LOOP CYCLE IS COMPLETED. |  
| |  
| IF LOOPING ON INSURANCE PROVIDED THROUGH AN |  
| INSURANCE SOURCE COLLECTED IN HX03 OR HX23, THE |  
| FIRST LOOP CYCLE COLLECTS THE MAIN ESTABLISHMENT |  
| NAME OF THE INSURANCE SOURCE. SUBSEQUENT CYCLES, |  
| IF ANY, ARE DETERMINED BY THE RESPONSE TO HP18. |  
| IF HP18 IS CODED '1' (YES), THE LOOP CYCLES AGAIN |  
| TO COLLECT THE NEXT ESTABLISHMENT NAME. IF HP18 |  
| IS NOT ASKED OR IS CODED '2' (NO), '-7' (REFUSED), |  
| OR '-8' (DON'T KNOW), THE LOOP ENDS. |  
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```

BOX\_01AA

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-----  
| IF LOOPING ON CODE '11' (STATE EXCHANGE NAME) AT |  
| HX03 OR CODE '14' (STATE EXCHANGE NAME) AT HX23, |  
| AUTOMATICALLY CODE HP04A AS 'YES' |  
| AND |  
| AUTOMATICALLY ADD THE ESTABLISHMENT NAME '{STATE |  
| EXCHANGE NAME}' TO THE HP04/HP06 ESTABLISHMENT |  
| ROSTER. THEN GO TO BOX_02 |  
-----
```

```
-----  
| IF LOOPING ON CODE '1' (PROFESSIONAL ASSOCIATION), |  
| '2' (SMALL BUSINESS GROUP), '5' (INSURANCE AGENT), |  
| '6' (INSURANCE COMPANY), '7' (HMO), OR '91' |  
| (OTHER) AT HX03 OR CODE '1' (GROUP/ASSOCIATION), |  
| '4' (INSURANCE AGENT), '5' (INSURANCE COMPANY), |  
| '6' (HMO), OR '91' (OTHER) AT HX23, CONTINUE WITH |  
| HP04A |  
-----
```

```
-----  
| OTHERWISE, GO TO BOX_01A |  
-----
```

HP04A  
=====

Is this coverage through {STATE EXCHANGE NAME}?

YES ..... 1 {BOX\_01A}  
NO ..... 2 {BOX\_01A}  
REF ..... -7 {BOX\_01A}  
DK ..... -8 {BOX\_01A}

-----  
| FOR 'STATE EXCHANGE NAME' DISPLAY THE EXCHANGE |  
| NAME ASSOCIATED WITH THE STATE IN WHICH INTERVIEW |  
IS BEING CONDUCTED.

BOX\_01A  
=====

-----  
| IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN |  
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT |  
| FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, |  
GO TO HP09

-----  
OTHERWISE, CONTINUE WITH HP04

HP04  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF ESTABLISHMENT}  
{STR-DT} {END-DT}

{Please give me the name of the **professional association/small business group/union/insurance company/HMO/previous employer/previous employer (using COBRA)/group or association/school/spouse's (or deceased spouse's) previous employer/employer/high risk pool** {(e.g., {STATE NAME FOR HIGH RISK POOL})}/**{HX03OV/HX23OV OTHER SPECIFY}**/the source} {from which someone in the family {purchased/obtained} this insurance/for the insurance purchased from an agent}. / You mentioned that someone in the family receives health insurance from the plan of someone not living here. How does that policyholder get this insurance?}

VERIFY WITH RESPONDENT AND SELECT (ESTABLISHMENT) BELOW:

```
|-----|
| ROSTER. ESTABLISHMENT |
|-----|
| 1. Establishment Name-30 |
| |
|-----|
| 2. Establishment Name-30 |
| |
|-----|
| 3. Establishment Name-30 |
| |
|-----|
```

```
-----
| DISPLAY 'Please give ... an agent.' IF NOT LOOPING |
| ON HX23 CODE '12' (UNDER PLAN OF SOMEONE NOT |
| LIVING HERE). DISPLAY 'You mentioned...this |
| insurance?' IF LOOPING ON HX23 CODE '12' (UNDER |
| PLAN OF SOMEONE NOT LIVING HERE). |
| |
| DISPLAY 'professional association' IF LOOPING ON |
| HX03 CODE '1' (FROM A PROFESSIONAL ASSOCIATION). |
| |
| DISPLAY 'small business group' IF LOOPING ON HX03 |
| CODE '2' (FROM A SMALL BUSINESS GROUP). |
| |
| DISPLAY 'union' IF LOOPING ON HX03 CODE '3' (FROM |
| A UNION) OR LOOPING ON HX23 CODE '7' (FROM A |
| UNION). |
| |
| DISPLAY 'insurance company' IF LOOPING ON HX03 |
| CODE '5' (DIRECTLY FROM AN INSURANCE AGENT) OR '6' |
| (DIRECTLY FROM INSURANCE COMPANY) OR LOOPING ON |
| HX23 CODE '4' (DIRECTLY FROM AN INSURANCE AGENT) |
| OR '5' (DIRECTLY FROM INSURANCE COMPANY). |
|-----
```



DISPLAY **'HMO'** IF LOOPING ON HX03 CODE **'7'**  
(DIRECTLY FROM AN HMO) OR LOOPING ON HX23 CODE **'6'**  
(DIRECTLY FROM AN HMO).

DISPLAY **'previous employer'** IF LOOPING ON HX03  
CODE **'8'** (FROM A PREVIOUS EMPLOYER) OR LOOPING ON  
HX23 CODE **'9'** (FROM ANYONE'S PREVIOUS EMPLOYER).

DISPLAY **'previous employer (using COBRA)'** IF  
LOOPING ON HX03 CODE **'9'** (FROM A PREVIOUS EMPLOYER  
(COBRA)) OR LOOPING ON HX23 CODE **'8'** (FROM  
ANYONE'S PREVIOUS EMPLOYER (COBRA)).

DISPLAY **'group or association'** IF LOOPING ON HX23  
CODE **'1'** (FROM A GROUP OR ASSOCIATION).

DISPLAY **'school'** IF LOOPING ON HX23 CODE **'3'**  
(DIRECTLY THROUGH A SCHOOL).

DISPLAY **'spouse's (or deceased spouse's) previous  
employer'** IF LOOPING ON HX23 CODE **'10'** (FROM  
SPOUSE'S/DECEASED SPOUSE'S PREVIOUS EMPLOYER).

DISPLAY **'employer'** IF LOOPING ON HX23 CODE **'11'**  
(FROM SOME OTHER EMPLOYER).

DISPLAY **'high risk pool {(e.g., {STATE NAME FOR  
HIGH RISK POOL})}'** IF LOOPING ON HX03 CODE **'10'**  
(DIRECTLY FROM A HIGH RISK POOL) OR LOOPING ON  
HX23 CODE **'13'** (DIRECTLY FROM A HIGH RISK POOL).

DISPLAY **'{HX03OV/HX23OV OTHER SPECIFY}'** IF LOOPING  
ON HX03 CODE **'91'** (OTHER) OR LOOPING ON HX23 CODE  
**'91'** (OTHER SOURCE).

FOR **'HX03OV/HX23OV OTHER SPECIFY'** DISPLAY THE  
TEXT ENTERED AT EITHER HX03OV OR HX23OV.

DISPLAY **'the source'** IF LOOPING ON HX03 OR HX23  
CODES **'-7'** (REF) OR **'-8'** (DK).

DISPLAY **'from which someone in the family  
{purchased/obtained} this insurance'** IF **NOT**  
LOOPING ON HX03 CODE **'5'** (DIRECTLY FROM AN  
INSURANCE AGENT) OR HX23 CODE **'4'** (DIRECTLY FROM  
AN INSURANCE AGENT) IF LOOPING ON HX03 CODE **'5'** OR  
HX23 CODE **'4'**, DISPLAY, **'for the insurance  
purchased from an agent'**.

DISPLAY **'purchased'** IF LOOPING ON HX03 CODES **'1',  
'2', '3', '6', '7', '10', '-7',** OR **'-8'** OR IF  
LOOPING ON HX23 CODES **'1', '3', '5', '6', '7',  
'13', '-7',** OR **'-8'**.

DISPLAY **'obtained'** IF LOOPING ON HX03 CODES **'8',  
'9',** OR **'91'** OR IF LOOPING ON HX23 CODES **'8', '9',  
'10', '11',** OR **'91'**.

-----  
| DISPLAY `(e.g., {STATE NAME FOR HIGH RISK POOL})` |  
| IF STATE IN WHICH INTERVIEW IS BEING CONDUCTED |  
| OFFERS A HIGH RISK POOL HEALTH INSURANCE PLAN. |  
| THIS INCLUDES ALL STATES EXCEPT: AZ, DE, DC, GA, |  
| HI, ME, MA, MI, NV, NJ, NY, OH, PA, RI, VT, VA. |  
| IF INTERVIEW STATE IS ONE OF THESE STATES, USE A |  
| NULL DISPLAY. |  
|  
| FOR `STATE NAME FOR HIGH RISK POOL` DISPLAY THE |  
| HIGH RISK POOL PLAN NAME ASSOCIATED WITH THE STATE |  
IN WHICH INTERVIEW IS BEING CONDUCTED.

-----  
| THE CONTEXT HEADER DISPLAYED ON SCREENS |  
| HP04 - HP08 DEPENDS ON THE PATH THAT LEADS TO |  
| THE SCREEN. IF ASKING ABOUT A SPECIFIC PERSON |  
| (I.E., JOBHOLDER WHEN COMING FROM AN HX03 |  
| CATEGORY), CAPI DISPLAYS THE PERSON AND START |  
| DATE. IF ASKING ABOUT A SPECIFIC ESTABLISHMENT, |  
| CAPI DISPLAYS THE ESTABLISHMENT AND START DATE. |  
| OTHERWISE, CAPI DISPLAYS THE START DATE. FOR |  
| ROUND 5, CAPI ALSO DISPLAYS THE END DATE OF THE |  
REFERENCE PERIOD.

-----  
| DISPLAY AN "ADD ESTABLISHMENT" OPTION ON THIS |  
SCREEN.

-----  
| IF `ADD ESTABLISHMENT` OPTION IS SELECTED, |  
CONTINUE WITH BOX\_01B

-----  
| OTHERWISE (ESTABLISHMENT WAS SELECTED FROM THE |  
LIST), GO TO BOX\_02

-----  
| ROSTER DETAILS: |  
| TITLE: RU\_ESTB\_3 |  
|  
| COL # 1 HEADER: ESTABLISHMENT |  
| INSTRUCTIONS: DISPLAY ESTABLISHMENT NAME |  
(ESTB.ESTBNAME)

-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS RU-ESTABLISHMENTS-ROSTERS FOR |  
DISPLAY OF PRIVATE INSURANCE ESTABLISHMENTS.

-----  
| ROSTER BEHAVIOR: |  
| 1. SELECT ALLOWED. |  
| |  
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT |  
DISALLOWED.

-----  
| ROSTER FILTER: |  
| DISPLAY ESTABLISHMENTS THAT ARE SOURCES OF PRIVATE |  
| INSURANCE. THIS DOES NOT INCLUDE ESTABLISHMENTS |  
| FLAGGED AS 'EMPLOYER' AND 'SELF-EMPLOYED' WITH A |  
FIRM-SIZE-1 THAT ARE COMING FROM THE HX03 SERIES.

BOX\_01B  
=====

-----  
| IF LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT |  
| LIVING HERE) AT HX23 AND IF 'ADD ESTABLISHMENT' |  
| IS SELECTED, GO TO HP07. (NOTE THAT HP07 IS NOT A |  
SEPARATE SCREEN; IT REPRESENTS A POPUP ON HP04.)

-----  
| IF 'ADD ESTABLISHMENT' IS SELECTED AND IF NOT |  
| LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT |  
| LIVING HERE) AT HX23, CONTINUE WITH HP06 (NOTE |  
| THAT HP06 IS NOT A SEPARATE SCREEN; IT REPRESENTS |  
A POPUP ON HP04.)

HP05  
=====

OMITTED.

HP06

====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

INSURANCE SOURCE: {CATEGORY NAME FROM HX03 OR HX23}

ENTER NAME OF ESTABLISHMENT WHERE PERSON PURCHASED  
INSURANCE.

{ESTABLISHMENT: [ \_\_\_\_\_ ] {BOX\_02}

```
-----  
| DISPLAY THE FOLLOWING FOR 'CATEGORY NAME FROM HX03 |  
| OR HX23': |  
| |  
| - 'PROFESSIONAL ASSOCIATION' IF CODED '1' AT HX03 |  
| - 'SMALL BUSINESS GROUP' IF CODED '2' AT HX03 |  
| - 'UNION' IF CODED '3' AT HX03 |  
| - 'INSURANCE AGENT' IF CODED '5' AT HX03 |  
| - 'INSURANCE COMPANY' IF CODED '6' AT HX03 |  
| - 'HMO' IF CODED '7' AT HX03 |  
| - 'PREVIOUS EMPLOYER' IF CODED '8' AT HX03 |  
| - 'PREVIOUS EMPLOYER [COBRA]' IF CODED '9' AT HX03 |  
| - 'HIGH RISK POOL' IF CODED '10' AT HX03 |  
| - THE TEXT ENTERED AT HX03OV IF CODED '91' AT HX03 |  
| - 'SOURCE PURCHASED FROM FOR THAT BUSINESS' IF |  
| CODED '-7' OR '-8' AT HX03 |  
| |  
| - 'GROUP OR ASSOCIATION' IF CODED '1' AT HX23 |  
| - 'SCHOOL' IF CODED '3' AT HX23 |  
| - 'INSURANCE AGENT' IF CODED '4' AT HX23 |  
| - 'INSURANCE COMPANY' IF CODED '5' AT HX23 |  
| - 'HMO' IF CODED '6' AT HX23 |  
| - 'UNION' IF CODED '7' AT HX23 |  
| - 'PREVIOUS EMPLOYER [COBRA]' IF CODED |  
| '8' AT HX23 |  
| - 'PREVIOUS EMPLOYER [NOT COBRA]' IF |  
| CODED '9' AT HX23 |  
| - 'SPOUSE'S/DECEASED SPOUSE'S PREVIOUS EMPLOYER' |  
| IF CODED '10' AT HX23 |  
| - 'SOME OTHER EMPLOYER' IF CODED '11' AT HX23 |  
| - 'PLAN OF SOMEONE NOT LIVING HERE' IF CODED '12' |  
| AT HX23 |  
| - 'HIGH RISK POOL' IF CODED '13' AT HX23 |  
| - THE TEXT ENTERED AT HX23OV IF CODED '91' AT HX23 |  
| - 'SOURCE THAT PROVIDED DIRECTLY PURCHASED |  
| INSURANCE' IF CODED '-7' OR '-8' |  
| |  
-----
```

-----  
| WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS- |  
ROSTER.

HP07  
=====

{STR-DT}  
{END-DT}

You mentioned that someone in the family receives health insurance from the plan of someone not living here. How does that policyholder get this insurance?

INTERVIEWER: RECORD ESTABLISHMENT NAME BELOW.

[Establishment Name] ..... {BOX\_02}

-----  
| ONLY CATEGORY '12' (UNDER PLAN OF SOMEONE NOT |  
LIVING HERE) OF HX23 IS ASKED HP07.

-----  
| WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS- |  
ROSTER.

HP08  
=====

OMITTED.

BOX\_02

=====

```
-----  
| IF HX03 IS CODED '1' OR '2' FLAG ESTABLISHMENT AS |  
| 'GROUP'. |  
| IF HX03 IS CODED '3', FLAG ESTABLISHMENT AS |  
| 'UNION'. |  
| IF HX03 IS CODED '5', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY-FROM AN AGENT'. |  
| IF HX03 IS CODED '6', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY'. |  
| IF HX03 IS CODED '7', FLAG ESTABLISHMENT AS 'HMO'. |  
| IF HX03 IS CODED '8', FLAG ESTABLISHMENT AS |  
| 'PREVIOUS EMPLOYER, NOT COBRA'. |  
| IF HX03 IS CODED '9', FLAG ESTABLISHMENT AS |  
| 'COBRA'. |  
| IF HX03 IS CODED '10', FLAG ESTABLISHMENT AS |  
| 'HIGH RISK POOL'. |  
| IF HX03 IS CODED '11', FLAG ESTABLISHMENT AS |  
| 'EXCHANGE COVERAGE'. |  
| IF HX03 IS CODED '91', FLAG ESTABLISHMENT AS |  
| 'UNKNOWN TYPE-COLLECTED AT OTHER'. |  
| |  
| IF HX23 IS CODED '1', FLAG ESTABLISHMENT AS |  
| 'GROUP'. |  
| IF HX23 IS CODED '3', FLAG ESTABLISHMENT AS |  
| 'SCHOOL'. |  
| IF HX23 IS CODED '4', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY-FROM AN AGENT'. |  
| IF HX23 IS CODED '5', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY'. |  
| IF HX23 IS CODED '6', FLAG ESTABLISHMENT AS |  
| 'HMO'. |  
| IF HX23 IS CODED '7', FLAG ESTABLISHMENT AS |  
| 'UNION'. |  
| IF HX23 IS CODED '8', FLAG ESTABLISHMENT AS |  
| 'COBRA'. |  
| IF HX23 IS CODED '9', FLAG ESTABLISHMENT AS |  
| 'PREVIOUS EMPLOYER, NOT COBRA'. |  
| IF HX23 IS CODED '10', FLAG ESTABLISHMENT AS |  
| 'SPOUSE PREVIOUS EMPLOYER'. |  
| IF HX23 IS CODED '11', FLAG ESTABLISHMENT AS |  
| 'EMPLOYER'. |  
| IF HX23 IS CODED '12', FLAG ESTABLISHMENT AS |  
| 'UNKNOWN TYPE-OUTSIDE RU'. |  
| IF HX23 IS CODED '13', FLAG ESTABLISHMENT AS |  
| 'HIGH RISK POOL'. |  
| IF HX23 IS CODED '14', FLAG ESTABLISHMENT AS |  
| 'EXCHANGE COVERAGE'. |  
| IF HX23 IS CODED '91', FLAG ESTABLISHMENT AS |  
| 'UNKNOWN TYPE - COLLECTED AT OTHER'. |  
-----
```

-----  
| NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE' |  
| (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS |  
| OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN |  
ALL FUTURE ROUNDS.

BOX\_03

=====

-----  
IF LOOPING ON AN HX23 CATEGORY, GO TO HP11

-----  
OTHERWISE, CONTINUE WITH HP09

HP09

=====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{{Are/Is}/As of {END DATE}, {were/was}} {you/{PERSON}} the primary  
insured person or policyholder of this health coverage through  
{ESTABLISHMENT}?

YES ..... 1 {LOOP\_02}  
NO ..... 2 {HP10}  
REF ..... -7 {HP10}  
DK ..... -8 {HP10}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

-----  
| DISPLAY '{Are/Is}' IF NOT ROUND 5. DISPLAY 'As of |  
{END DATE}, {were/was}' IF ROUND 5.

-----  
PERSON REFERS TO JOBHOLDER.

```
-----  
| IF CODED '1' (YES), FLAG JOBHOLDER AS |  
| 'POLICYHOLDER'. |  
-----
```

HP10

=====

```
{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}
```

Who {is/was} the primary insured person or policyholder of this  
health coverage through {ESTABLISHMENT} {on {END DATE}}?

```
{JOBHOLDER/EMPLOYER-PAIR 1}  
{JOBHOLDER/EMPLOYER-PAIR 2}  
{JOBHOLDER/EMPLOYER-PAIR 3}
```

```
JOBHOLDER/EMPLOYER IS LISTED ..... 1 {END_LP01}  
JOBHOLDER/EMPLOYER IS NOT LISTED ..... 2 {END_LP01}  
REF ..... -7 {END_LP01}  
DK ..... -8 {END_LP01}
```

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

[Code One]

```
-----  
| DISPLAY 'is' IF NOT ROUND 5. DISPLAY 'was' IF |  
| ROUND 5. DISPLAY 'on {END DATE}' IF ROUND 5. |  
| OTHERWISE, USE NULL DISPLAY. |  
-----
```

```
-----  
| IF CODED '2' (NO), '-7' (REFUSED) OR '-8' (DON'T |  
| KNOW), FLAG FOR EVENT CLEANUP. |  
-----
```

```
-----  
| ROSTER DETAILS: |  
| TITLE: RU_ESTB_PERS_PAIRS_2 |  
| |  
| COL # 1 HEADER: JOBHOLDER/EMPLOYER PAIR |  
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, |  
| AND LAST NAME/ESTABLISHMENT NAME (PERS.FULLNAME/ |  
| ESTB.ESTBNAME) |  
-----
```



-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS- |  
ROSTER FOR DISPLAY OF EMPLOYER/JOBHOLDER PAIRS.

-----  
| ROSTER BEHAVIOR: |  
| 1. DISPLAY ONLY. |  
| |  
2. SELECT, ADD, DELETE, AND EDIT DISALLOWED.

-----  
| ROSTER FILTER: |  
| DISPLAY ALL PAIRS ON THE RU-ESTABLISHMENT-PERSON- |  
| PAIRS-ROSTER THAT MEET BOTH OF THE FOLLOWING |  
| CONDITIONS: |  
| |  
| 1. ESTABLISHMENT IS FLAGGED AS AN 'EMPLOYER' THAT |  
| IS ALSO FLAGGED AS 'PROVIDES HEALTH INSURANCE' |  
| AND |  
| |  
| 2. PERSON IS A JOBHOLDER AT THE JOB PROVIDED BY |  
ESTABLISHMENT

HP11  
=====

{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}

{Please tell me the names of everyone who is a primary insured  
person or policyholder of the/Who {is/was} the primary insured  
person or policyholder of this} health coverage through  
{ESTABLISHMENT} {on {END DATE}}?

{CODE ALL THAT APPLY.}

[1. First Name, [Middle Name], Last Name-35] ..  
[2. First Name, [Middle Name], Last Name-35] ..  
[3. First Name, [Middle Name], Last Name-35] ..

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

[Code All that Apply]

-----  
| DISPLAY 'Please tell me the names of everyone who |  
| is a primary insured person or policyholder of |  
| the' IF HX23 IS CODED '14' (DIRECTLY FROM {STATE |  
| EXCHANGE NAME}). OTHERWISE, DISPLAY 'Who {is/was} |  
| the primary insured person or policyholder of |  
| the'. DISPLAY 'CODE ALL THAT APPLY' IF HX23 IS |  
| CODED '14' (DIRECTLY FROM {STATE EXCHANGE NAME}). |  
OTHERWISE, USE A NULL DISPLAY.

-----  
| DISPLAY 'is' IF NOT ROUND 5. DISPLAY 'was' IF |  
| ROUND 5. DISPLAY 'on {END DATE}' IF ROUND 5. |  
| OTHERWISE, USE NULL DISPLAY. DISPLAY A |  
| "POLICYHOLDER NOT LISTED IN DU" AND "POLICYHOLDER |  
DECEASED" OPTION ON THIS SCREEN.

-----  
| IF BOTH 'POLICYHOLDER NOT LISTED IN DU' AND |  
| 'POLICYHOLDER DECEASED' ARE NOT SELECTED, GO TO |  
LOOP\_02

-----  
| IF 'POLICYHOLDER DECEASED' SELECTED, ALONE OR IN |  
| COMBINATION WITH OTHER NAMES EXCEPT 'POLICYHOLDER |  
NOT LISTED IN DU', GO TO HP11B

-----  
| IF 'POLICYHOLDER NOT LISTED IN DU' SELECTED, ALONE |  
| OR IN COMBINATION WITH OTHER NAMES AND/OR |  
'POLICYHOLDER DECEASED', CONTINUE WITH HP11A

-----  
| ROSTER DETAILS: |  
| TITLE: DU\_MEMBERS\_1 |  
| |  
| COL # 1 HEADER: NAME |  
| INSTRUCTIONS: DISPLAY DU MEMBER'S FIRST, MIDDLE, |  
AND LAST NAMES (PERS.FULLNAME)

-----  
| ROSTER DEFINITION: THIS ITEM DISPLAYS DU-MEMBERS- |  
ROSTER FOR SELECTION.

```
-----  
| ROSTER BEHAVIOR: |  
| 1. MULTIPLE SELECT ALLOWED. |  
| |  
| 2. ADD, DELETE, AND EDIT DISALLOWED. |  
-----
```

```
-----  
| ROSTER FILTER: |  
| NO FILTER; DISPLAY ALL DU MEMBERS. |  
-----
```

HP11A  
=====

{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}

INTERVIEWER: ENTER NAME OR DESCRIPTION OF POLICYHOLDER WHO  
IS NOT IN THE DU:

[Enter Specify-15] ..... {LOOP\_02}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

```
-----  
| WHENEVER THIS POLICYHOLDER IS BEING ASKED ABOUT |  
| IN THE REMAINDER OF HP, HQ, HX, AND OE, THE |  
| POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE |  
| DISPLAYED AS 'PLCYHLDR NOT IN DU-' FOLLOWED BY |  
| THE 15 CHARACTER ENTRY AT HP11A. |  
-----
```

```
-----  
| IF 'POLICYHOLDER DECEASED' SELECTED AT HP11, |  
| CONTINUE WITH HP11B |  
-----
```

```
-----  
| OTHERWISE, GO TO LOOP_02 |  
-----
```

HP11B  
=====

{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}

INTERVIEWER: ENTER NAME OF DECEASED POLICYHOLDER:

[Enter Specify-40] ..... {LOOP\_02}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

-----  
FLAG POLICYHOLDER AS 'DECEASED'.

-----  
| WHENEVER THE POLICYHOLDER IS BEING ASKED ABOUT |  
| IN THE REMAINDER OF HP, HQ, HX, AND OE, THE |  
| POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE |  
| DISPLAYED AS 'PLCYHLDR DECEASED-' FOLLOWED BY THE |  
FIRST 15 CHARACTERS OF THE ENTRY AT HP11B.

LOOP\_02  
=====

-----  
| FOR EACH ELEMENT ON THE RU-ESTABLISHMENT-PERSON- |  
PAIRS-ROSTER, ASK BOX\_04 - END\_LP02

-----  
| LOOP DEFINITION: LOOP\_02 COLLECTS INFORMATION |  
| ABOUT THE POLICYHOLDER AND DEPENDENTS FOR EACH |  
| ESTABLISHMENT-PERSON. THIS LOOP CYCLES ON EACH |  
| ESTABLISHMENT-PERSON-PAIR CREATED AT HP09 AND HP11 |  
| DURING THE CURRENT ROUND FOR THE ESTABLISHMENT |  
BEING CYCLED ON IN LOOP\_01.

BOX\_04

=====

-----  
| IF LOOPING ON AN ESTABLISHMENT FLAGGED IN |  
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE', GO TO |  
BOX\_07

-----  
OTHERWISE, CONTINUE WITH BOX\_05

BOX\_05

=====

-----  
| IF HX23 IS CODED '8' (PREVIOUS EMPLOYER-COBRA), |  
| '9' (PREVIOUS EMPLOYER-NOT COBRA), '10' (SPOUSE |  
| PREVIOUS EMPLOYER), OR '11' (OTHER EMPLOYER) |  
CONTINUE WITH BOX\_06

-----  
OTHERWISE, GO TO BOX\_07

BOX\_06

=====

-----  
| IF POLICYHOLDER WAS FLAGGED AT HP11 AS 'DECEASED', |  
| CODE HP12 AS '4' (DECEASED) AUTOMATICALLY BY CAPI |  
AND GO TO HP13

-----  
| IF POLICYHOLDER IS NOT A CURRENT RU MEMBER, GO TO |  
BOX\_07

-----  
OTHERWISE, CONTINUE WITH HP12

HP12  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{Are/Is} {you/{POLICYHOLDER}} currently employed at this job,  
retired from this job, previously employed at this job, or is  
it some other situation?

CURRENTLY EMPLOYED ..... 1 {HP13}  
RETIRED ..... 2 {HP13}  
PREVIOUSLY EMPLOYED ..... 3 {HP13}  
DECEASED ..... 4 {HP13}  
OTHER ..... 91 {HP12OV}  
REF ..... -7 {HP13}  
DK ..... -8 {HP13}

HELP AVAILABLE FOR DEFINITIONS OF ANSWER CATEGORIES.

[Code One]

-----  
| IF CODED '4' (DECEASED), FLAG POLICYHOLDER AS |  
'DECEASED'.

-----  
| HARD CHECK: |  
| CODE '4' (DECEASED) CANNOT BE SELECTED FOR A |  
POLICYHOLDER WHO IS A CURRENT RU MEMBER.

HP12OV  
=====

SPECIFY:

[Enter Other Specify] ..... {HP13}  
REF ..... -7 {HP13}  
DK ..... -8 {HP13}

HP13  
====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{{Are/Is}/{Were/Was}} {you/{POLICYHOLDER}} a federal government  
employee at this job?

YES ..... 1 {BOX\_07}  
NO ..... 2 {BOX\_07}  
REF ..... -7 {BOX\_07}  
DK ..... -8 {BOX\_07}

HELP AVAILABLE FOR DEFINITION OF FEDERAL GOVERNMENT.

-----  
| DISPLAY '{Are/Is}' IF HP12 IS CODED '1' (CURRENTLY|  
EMPLOYED). OTHERWISE, DISPLAY '{Were/Was}'.

BOX\_07

=====

```
-----  
| IF ESTABLISHMENT THAT PROVIDES INSURANCE IS |  
| FLAGGED AS: |  
| |  
| 'EMPLOYER' AND JOB SUBTYPE IS NOT 'CURRENT MAIN', |  
| 'CURRENT MISCELLANEOUS JOB WITHIN REFERENCE |  
| PERIOD', OR 'RETIREMENT JOB' |  
| OR |  
| 'EMPLOYER' AND [JOB SUBTYPE IS 'FORMER MAIN', |  
| 'FORMER MISCELLANEOUS' OR 'LAST JOB OUTSIDE |  
| REFERENCE PERIOD'] AND JOB IS ALSO FLAGGED AS |  
| 'NOT RETIRED FROM' |  
| OR |  
| 'PREVIOUS EMPLOYER, NOT COBRA' (I.E., HX03-CODE |  
| '8'; HX23-CODE '9') |  
| OR |  
| 'EMPLOYER' (I.E., HX23-CODE '11') AND HP12 IS NOT |  
| CODED '1' (CURRENTLY EMPLOYED) |  
| OR |  
| 'SPOUSE PREVIOUS EMPLOYER' (I.E., HX23-CODE '10') |  
| OR |  
| 'UNKNOWN TYPE-OUTSIDE RU' (I.E., HX23-CODE '12') |  
| OR |  
| 'UNKNOWN TYPE-COLLECTED AT OTHER' (I.E., HX23- |  
| CODE '91'), |  
| |  
| CONTINUE WITH HP14 |  
-----
```

```
-----  
| OTHERWISE, GO TO BOX_07A |  
-----
```



HP14  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Some employer insurance can be continued after leaving the  
company by continuing to pay the premium. This is sometimes  
referred to as a COBRA plan.

{Is/Was} {your/{POLICYHOLDER}'s} {ESTABLISHMENT} insurance like that  
{on {END DATE}}?

YES ..... 1 {BOX\_07A}  
NO ..... 2 {BOX\_07A}  
REF ..... -7 {BOX\_07A}  
DK ..... -8 {BOX\_07A}

HELP AVAILABLE FOR DEFINITION OF COBRA.

-----  
| DISPLAY 'Is' IF NOT ROUND 5. DISPLAY 'Was' IF |  
| ROUND 5. DISPLAY 'on {END DATE}' IF ROUND 5. |  
OTHERWISE, USE NULL DISPLAY.

BOX\_07A  
=====

-----  
| **SMALL BUSINESS DETERMINATION** |  
| IF ESTABLISHMENT-PERSON-PAIR BEING ASKED ABOUT |  
| MEETS THE FOLLOWING CONDITIONS: |  
| - PERSON WAS A JOBHOLDER AT ESTABLISHMENT, AND |  
| - PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS |  
| INSURANCE, AND |  
| - ESTABLISHMENT IS AN EMPLOYER FLAGGED AS |  
| 'PROVIDES HEALTH INSURANCE', AND |  
| - ESTABLISHMENT FLAGGED AS A CURRENT-MAIN-JOB, AND |  
| - JOB IS FLAGGED AS 'SELF-EMPLOYED', AND |  
| - EM124 IS GREATER THAN 1 BUT LESS THAN 200, |  
CONTINUE WITH HP14A

```
-----
| SMALL BUSINESS DETERMINATION |
| |
| IF ESTABLISHMENT-PERSON-PAIR BEING ASKED ABOUT |
| MEETS THE FOLLOWING CONDITIONS: |
| - PERSON WAS A JOBHOLDER AT ESTABLISHMENT, AND |
| - PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS |
| INSURANCE, AND |
| - ESTABLISHMENT IS AN EMPLOYER FLAGGED AS |
| 'PROVIDES HEALTH INSURANCE', AND |
| - ESTABLISHMENT FLAGGED AS A CURRENT-MAIN-JOB, AND |
| - JOB IS FLAGGED AS 'NOT SELF-EMPLOYED', AND |
| - FIRM SIZE IS SMALL (SEE DETERMINATION BELOW) |
|   - EM91 IS LESS THAN 200 |
|   OR |
|   - EM92 IS CODED '1' (LESS THAN 10), '2' (10 TO |
| 25), '3' (26 TO 49) OR '4' (50 TO 100), AND |
| - EM93 IS CODED '2' (NO), |
| CONTINUE WITH HP14A |
| |
|-----
| OTHERWISE, GO TO HP15 |
|-----
```

HP14A  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

In {RU STATE}, {STATE SHOP NAME} is a {new} program where  
small businesses will be able to shop for health insurance  
plans for their employees. Is {your/{POLICYHOLDER}'s} health  
insurance coverage through {ESTABLISHMENT} related at all to  
a program like that?

YES ..... 1 {HP15}  
NO ..... 2 {HP15}  
REF ..... -7 {HP15}  
DK ..... -8 {HP15}

```
-----  
|   FOR 'RU STATE', DISPLAY THE FULL STATE NAME   |  
|   ASSOCIATED WITH THIS RU'S ADDRESS.           |  
|  
|   FOR 'STATE SHOP NAME', DISPLAY THE SMALL BUSINESS |  
|   HEALTH OPTIONS PROGRAM NAME ASSOCIATED WITH THE |  
|   STATE IN WHICH INTERVIEW IS BEING CONDUCTED.   |  
|  
|   DISPLAY 'new' IF PANEL 17 ROUND 5, PANEL 18   |  
|   ROUNDS 3-5, PANEL 19 ROUNDS 1-5 OR PANEL 20 ROUNDS |  
|   1-3 (YEARS 2014 AND 2015). OTHERWISE, USE A NULL |  
|   DISPLAY.                                       |  
-----
```

HP15  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Was anyone {living here} covered as a dependent under  
{your/{POLICYHOLDER}'s} health coverage through {ESTABLISHMENT}  
at any time {since {START DATE}/between {START DATE} and  
{END DATE}}?

YES ..... 1 {HP16}  
NO ..... 2 {HP17}  
REF ..... -7 {HP17}  
DK ..... -8 {HP17}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

```
-----  
|   DISPLAY 'living here' IF LOOPING ON CODE '12'   |  
|   (OUTSIDE RU) AT HX23.                           |  
|  
|   DISPLAY 'since {START DATE}' IF NOT ROUND 5.   |  
|   DISPLAY 'between {START DATE} and {END DATE}' IF |  
|   ROUND 5.                                       |  
-----
```

HP16

====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DATE}

Who is that?

PROBE: Was anyone else covered as a dependent {since  
{START DATE}/between {START DATE} and {END DATE}}?

- [1. First Name, [Middle Name], Last Name-35]
- [2. First Name, [Middle Name], Last Name-35]
- [3. First Name, [Middle Name], Last Name-35]

[Code All That Apply]

-----  
| DISPLAY 'since {START DATE}' IF NOT ROUND 5. |  
| DISPLAY 'between {START DATE} and {END DATE}' IF |  
ROUND 5.

-----  
| IF 'PERSON NOT LISTED IN RU' IS SELECTED, FLAG |  
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR |  
AS 'COVERING PERSON NOT LISTED IN RU'.

-----  
GO TO BOX\_08

-----  
| ROSTER DETAILS: |  
| TITLE: RU\_MEMBERS\_1 |  
| COL # 1 HEADER: NAME |  
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, |  
AND LAST NAMES (PERS.FULLNAME)

-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION |  
OF RU-MEMBERS.

-----  
| ROSTER BEHAVIOR: |  
| 1. MULTIPLE SELECT ALLOWED. |  
| |  
| 2. ADD, DELETE, AND EDIT DISALLOWED. |  

-----  
| ROSTER FILTER: |  
| DISPLAY ALL PERSONS ON THE RU-MEMBERS-ROSTER |  
| EXCLUDING THE PERSON WHO IS THE POLICYHOLDER FOR |  
| THIS INSURANCE; THAT IS, DO NOT DISPLAY THE NAME |  
| OF PERSON IN THE ESTABLISHMENT-PERSON-PAIR BEING |  
| ASKED ABOUT. |  

-----  
| DISPLAY 'PERSON NOT LISTED IN RU' AS LAST ITEM ON |  
| ROSTER. |  

HP17  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{Does/Between {START DATE} and {END DATE}, did} {your/  
{POLICYHOLDER}'s} health coverage through {ESTABLISHMENT} cover  
as dependents any persons who do not live here?

YES ..... 1 {BOX\_08}  
NO ..... 2 {BOX\_08}  
REF ..... -7 {BOX\_08}  
DK ..... -8 {BOX\_08}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

-----  
| DISPLAY 'Does' IF NOT ROUND 5. DISPLAY 'Between |  
| {START DATE} and {END DATE}, did' IF ROUND 5. |  

-----  
| IF CODED '1' (YES), FLAG INSURANCE THROUGH THIS |  
| ESTABLISHMENT-PERSON-PAIR AS 'COVERING PERSON NOT |  
| LISTED IN RU' IN HP16. |  

BOX\_08

=====

```
-----  
| IF THERE ARE NO POLICYHOLDERS OR DEPENDENTS WHO |  
| ARE CURRENT RU MEMBERS, THAT IS, POLICYHOLDER IS A |  
| DU MEMBER BUT NOT A CURRENT RU MEMBER, OR IS |  
| FLAGGED AS 'NOT LISTED IN DU' OR 'POLICYHOLDER |  
| DECEASED' AND INSURANCE ALSO FLAGGED ONLY AS |  
| 'COVERING PERSON NOT IN RU', GO TO END_LP02 |  
-----
```

```
-----  
| OTHERWISE, CONTINUE WITH LOOP_03 |  
-----
```

LOOP\_03

=====

```
-----  
| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD- |  
| PERS-TRPLS-ROSTER, ASK NAV_HP03 - END_LP03 |  
-----
```

```
-----  
| LOOP DEFINITION: LOOP_03 COLLECTS TIME PERIOD |  
| COVERAGE FOR ALL CURRENT RU MEMBERS COVERED BY THE |  
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR. |  
| THIS LOOP CYCLES ON CURRENT RU MEMBERS WHO ARE |  
| SELECTED AS DEPENDENTS AT HP16 AND THE RU MEMBER |  
| WHO IS FLAGGED AS THE POLICYHOLDER FOR THIS |  
| INSURANCE. |  
-----
```

```
-----  
| NAVIGATOR DETAILS: LOOP_03 USES NAV_HP03 TO |  
| CONTROL THE FLOW OF THE LOOP. |  
-----
```

NAV\_HP03

=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}

SERIES: {Insurance Coverage Duration during Reference  
Period / Self-Employed RU Member's Insurance Coverage}

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED "**DONE**," USE [Continue Interview] TO GO  
PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS  
SERIES.

#### Question Series

- [1. Coverage duration for [Person's Name-65] through  
[Establishment Name-30]] [Status-25]
- [2. Coverage duration for [Person's Name-65] through  
[Establishment Name-30]] [Status-25]
- [3. Coverage duration for [Person's Name-65] through  
[Establishment Name-30]] [Status-25]

-----  
| DISPLAY 'Self-Employed RU Member's Insurance |  
| Coverage' IF LOOPING ON AN HX03 CATEGORY. |  
| OTHERWISE, DISPLAY 'Insurance Coverage Duration |  
during Reference Period.'

-----  
| ROSTER DETAILS: |  
| COL # 1 HEADER: QUESTION SERIES |  
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, |  
| AND LAST NAMES (PERS.FULLNAME) |  
| COL # 2 HEADER: EMPTY |  
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR |  
| STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR |  
IS PRESENTED

-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD- |  
PERS-TRPLS-ROSTER FOR SELECTION.

```
-----  
| ROSTER BEHAVIOR: |  
| 1. SELECT ALLOWED. |  
| |  
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT |  
| DISALLOWED. |  
-----
```

```
-----  
| ROSTER FILTER: |  
| DISPLAY ALL RU MEMBERS SELECTED AT HP16. |  
-----
```

```
-----  
| CONTINUE WITH BOX_09 FOR SELECTED RU MEMBER. |  
-----
```

BOX\_09  
=====

```
-----  
| ASK THE TIME PERIOD COVERED DETAIL (HQ) SECTION. |  
| |  
| AT COMPLETION OF TIME PERIOD COVERED DETAIL (HQ) |  
| SECTION, CONTINUE WITH END_LP03 |  
-----
```

END\_LP03  
=====

```
-----  
| CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR- |  
| COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS |  
| STATED IN THE LOOP DEFINITION. |  
-----
```

```
-----  
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |  
| END LOOP_03 AND CONTINUE WITH END_LP02 |  
-----
```



END\_LP02

=====

-----  
| CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON- |  
| PAIRS-ROSTER WHO MEETS THE CONDITIONS STATED IN |  
THE LOOP DEFINITION.

-----  
| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |  
LOOP\_02 AND CONTINUE WITH BOX\_10

BOX\_10

=====

-----  
| IF LOOPING ON AN ESTABLISHMENT FLAGGED IN |  
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT |  
| FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, |  
| OR |  
| IF LOOPING ON AN ESTABLISHMENT FLAGGED AS |  
| 'EXCHANGE COVERAGE' (I.E., LOOPING ON HX03 |  
| CATEGORY '11' OR HX23 CATEGORY '14'), GO TO |  
END\_LP01

-----  
OTHERWISE, CONTINUE WITH HP18

HP18  
====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Aside from {your/{POLICYHOLDER}'s} {ESTABLISHMENT} insurance, is  
there another health insurance plan that anyone in the family obtains  
from {CATEGORY NAME FROM HX03 OR HX23}?

YES ..... 1 {END\_LP01}  
NO ..... 2 {END\_LP01}  
REF ..... -7 {END\_LP01}  
DK ..... -8 {END\_LP01}

-----  
| DISPLAY THE FOLLOWING FOR 'CATEGORY NAME FROM HX03 |  
| OR HX23': |  
| |  
| - 'a professional association' IF CODED '1' AT |  
| HX03 |  
| - 'a small business group' IF CODED '2' AT HX03 |  
| - 'a union' IF CODED '3' AT HX03 |  
| - 'an insurance agent' IF CODED '5' AT HX03 |  
| - 'an insurance company' IF CODED '6' AT HX03 |  
| - 'an HMO' IF CODED '7' AT HX03 |  
| - 'a previous employer' IF CODED '8' AT HX03 |  
| - 'a previous employer (COBRA)' IF CODED '9' AT |  
| HX03 |  
| - 'a high risk pool' IF CODED '10' AT HX03 |  
| - 'the {HX03OV OTHER SPECIFY TEXT}' IF CODED '91' |  
| AT HX03 |  
| - 'source purchased for that business' IF CODED |  
| '-7' OR '-8' AT HX03 |  
| |  
| - 'a group or association' IF CODED '1' AT HX23 |  
| - 'a school' IF CODED '3' AT HX23 |  
| - 'an insurance agent' IF CODED '4' AT HX23 |  
| - 'an insurance company' IF CODED '5' AT HX23 |  
| - 'an HMO' IF CODED '6' AT HX23 |  
| - 'a union' IF CODED '7' AT HX23 |  
| - 'a previous employer (COBRA)' IF CODED '8' AT |  
| HX23 |  
| - 'a previous employer (not COBRA)' IF CODED '9' |  
| AT HX23 |  
| - 'a spouse's (or deceased spouse's) previous |  
| employer' IF CODED '10' AT HX23 |  
| - 'some other employer' IF CODED '11' AT HX23 |  
| - 'the plan of someone not living here' IF CODED |  
| '12' AT HX23 |  
| - 'a high risk pool' IF CODED '13' AT HX23 |  
| - 'the {HX23OV OTHER SPECIFY TEXT}' IF CODED '91' |  
| AT HX23 |  
| - 'a source that provided directly purchased |  
| insurance' IF CODED '-7' OR '-8' |  

END\_LP01

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| IF HP18 IS CODED '1' (YES), CYCLE TO COLLECT NEXT |  
ESTABLISHMENT NAME.

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| IF HP18 IS NOT ASKED OR IS CODED '2' (NO), '-7' |  
| (REFUSED), OR '-8' (DON'T KNOW), END LOOP\_01 AND |  
CONTINUE WITH BOX\_11

BOX\_11

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RETURN TO THE HEALTH INSURANCE (HX) SECTION.