Old Employment and Private Related Insurance (OE) Section

-----------------------------
| THROUGHOUT THE SPECIFICATIONS FOR THIS CAPI |
| SECTION, FOR SCREENS THAT SPECIFY THE REFERENCE |
| PERIOD {END DATE} AS PART OF THE CONTEXT HEADER, |
| CAPI DISPLAYS THE {END DATE} FOR ROUNDS 2-5. FOR |
| MOST PERSONS, THE END DATE FOR ROUNDS 2-4 WILL BE |
| THE INTERVIEW DATE. FOR MOST PERSONS, THE END |
| FOR ROUND 5 WILL BE DECEMBER 31 OF THE SECOND |
| YEAR OF THE PANEL. |
-----------------------------

BOX_00
=====

-----------------------------
| CONTEXT HEADER DISPLAY INSTRUCTIONS: |
| DISPLAY PERS.FULLNAME, ESTB.ESTBNAME, |
| PRND.BEGREFMM, PRND.BEGREFDD, PRND.BEGREFYY, |
| PRND.ENDREFMM, PRND.ENDREFDD, PRND.ENDREFYY |
-----------------------------


IF ONE OR MORE RU MEMBERS STILL HOLDS A 'CURRENT MAIN' OR 'CURRENT MISCELLANEOUS' JOB THIS ROUND THAT WAS REPORTED DURING THE PREVIOUS ROUND AS PROVIDING HEALTH INSURANCE ON THE DATE OF THE PREVIOUS ROUND’S INTERVIEW, THAT IS:

IF ONE OR MORE ESTABLISHMENT-PERSON-PAIRS IN THE RU MEET THE FOLLOWING CONDITIONS:
- RJ01 OR RJ06 WAS CODED ‘1’ (YES) DURING THIS ROUND FOR THIS PAIR, AND
- PERSON IS A JOBHOLDER AT ESTABLISHMENT, AND
- PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS INSURANCE, AND
- ESTABLISHMENT IS AN EMPLOYER FLAGGED DURING THE PREVIOUS ROUND AS 'PROVIDES HEALTH INSURANCE' AND,
- THE HEALTH INSURANCE PROVIDED BY ESTABLISHMENT COVERED PERSON ON THE DATE OF THE PREVIOUS ROUND’S INTERVIEW (HQ01 WAS CODED ‘1’ (WHOLE TIME) OR HQ02 WAS CODED ‘1’ (YES) IN THE PREVIOUS ROUND), AND
- JOB AT ESTABLISHMENT IS NOT FLAGGED AS ‘SELF-EMPLOYED’ WITH A FIRM-SIZE-1,

CONTINUE WITH LOOP_01

NOTE: IF POLICYHOLDER WAS NOT PHYSICALLY PRESENT IN THE RU ON THE PREVIOUS ROUND’S INTERVIEW DATE, THE FIFTH CONDITION ABOVE CAN BE MET IF AT LEAST ONE DEPENDENT WAS COVERED BY POLICYHOLDER’S INSURANCE ON THE PREVIOUS ROUND’S INTERVIEW DATE. THE LOOP WILL CYCLE ON THE POLICYHOLDER’S NAME.

OTHERWISE, GO TO BOX_10
NOTE: ESTABLISHMENT-PERSON-PAIRS WHERE THE POLICYHOLDER IS OUT-OF-SCOPE (E.G., DECEASED, INSTITUTIONALIZED, OUT OF COUNTRY) ON THE CURRENT ROUND’S INTERVIEW DATE, BUT WHERE THE ESTABLISHMENT-PERSON-PAIR COVERED DEPENDENTS WHO ARE STILL RU MEMBERS MAY STILL QUALIFY FOR LOOP_01.

LOOP_01
======

FOR EACH ELEMENT IN THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER, ASK NAV_OE01A - END_LP01

LOOP DEFINITION:

LOOP_01 COLLECTS INFORMATION ABOUT THE CONTINUATION OF INSURANCE COVERAGE THROUGH A 'CURRENT MAIN' OR 'CURRENT MISCELLANEOUS' JOB THAT WAS COLLECTED IN THE PREVIOUS ROUND. THIS LOOP CYCLES ON ESTABLISHMENT-PERSON-PAIRS THAT MEET THE FOLLOWING CONDITIONS:

- RJ01 OR RJ06 WAS CODED ‘1’ (YES) DURING THIS ROUND FOR THIS PAIR, AND
- PERSON IS A JOBHOLDER AT ESTABLISHMENT, AND
- PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS INSURANCE, AND
- ESTABLISHMENT IS AN EMPLOYER FLAGGED DURING THE PREVIOUS ROUND AS ‘PROVIDES HEALTH INSURANCE’ AND,
- THE HEALTH INSURANCE PROVIDED BY ESTABLISHMENT COVERED PERSON ON THE DATE OF THE PREVIOUS ROUND’S INTERVIEW (HQ01 WAS CODED ‘1’ (WHOLE TIME) OR HQ02 WAS CODED ‘1’ (YES) IN THE PREVIOUS ROUND), AND
- JOB AT ESTABLISHMENT IS NOT FLAGGED AS ‘SELF-EMPLOYED’ WITH A FIRM-SIZE-1

NAVIGATOR DETAILS: LOOP_01 USES BOTH NAV_OE01A AND OE01B TO CONTROL THE FLOW OF THE LOOP.
SERIES: Confirming Insurance from a Previous Round through a Current Employer (i.e., probing for who is still covered, any change in plan name, etc.)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65] [Status-25]
[2. First Name,[Middle Name],Last Name-65] [Status-25]
[3. First Name,[Middle Name],Last Name-65] [Status-25]

ROSTER DETAILS:
| COL # 1 HEADER: RU MEMBER |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME) |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED |

ROSTER DEFINITION:
| THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION. |

ROSTER BEHAVIOR:
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED. |
--- ROSTER FILTER: 
DISPLAY ALL RU MEMBERS WHO MEET THE CONDITIONS STATED AT THE LOOP_01 DEFINITION. 
---

--- CONTINUE WITH NAV_OE01B FOR SELECTED RU MEMBER. ---

--- NAV_OE01B =====

SERIES: Confirming Insurance from a Previous Round through a Current Employer (i.e., probing for who is still covered, any change in plan name, etc.)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED "DONE," USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

Policyholder...Employer Providing Insurance

[1. Policyholder’s Name-30]...[Establishment Name-30] [Status-25]
[2. Policyholder’s Name-30]...[Establishment Name-30] [Status-25]
[3. Policyholder’s Name-30]...[Establishment Name-30] [Status-25]

--- ROSTER DETAILS:---
| COL # 1 HEADER: POLICYHOLDER...EMPLOYER PROVIDING INSURANCE |
| INSTRUCTIONS: DISPLAY RU-ESTABLISHMENT-PERSON-PAIR |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH PAIR EACH TIME THE NAVIGATOR IS PRESENTED |

--- ROSTER DEFINITION:---
| THIS ITEM DISPLAYS THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION. |
ROSTER BEHAVIOR:
1. SELECT ALLOWED.
2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
DISPLAY ALL EMPLOYERS THAT MEET THE CONDITIONS STATED AT THE LOOP_01 DEFINITION.

CONTINUE WITH OE01 FOR SELECTED PAIR.

OE01

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

Now think about (POLICYHOLDER)’s health insurance through (ESTABLISHMENT). {(Are/Is)/(Were/Was)} (POLICYHOLDER) or anyone in the family covered by this insurance as of {today}, (END DATE)?

YES ................................... 1 {BOX_02}
NO .................................... 2 {OE02}
REF ................................... -7 {END_LP01}
DK .................................... -8 {END_LP01}

DISPLAY ‘(Are/Is)’ IF NOT ROUND 5. DISPLAY ‘(Was/Were)’ IF ROUND 5.
DISPLAY ‘today,’ IF NOT ROUND 5. OTHERWISE, USE A NULL DISPLAY.
On what date did (POLICYHOLDER)'s health insurance through (ESTABLISHMENT) end?

(If insurance ended after 12/31/{YEAR}, back-up to OE01 and select ‘Yes’.)

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7 {BOX_02}
DK .................................... -8 {BOX_02}

Can you just tell me if (POLICYHOLDER) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ........................... 1 {BOX_02}
PART OF THE MONTH ..................... 2 {BOX_02}
REF ................................... -7 {BOX_02}
DK .................................... -8 {BOX_02}

[Code One]
IF THE POLICYHOLDER IS THE ONLY PERSON COVERED AT THE PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, AUTOMATICALLY CODE OE03 AS ‘1’ (YES) AND GO TO BOX_03

OTHERWISE, CONTINUE WITH OE03

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)   (NAME OF ESTABLISHMENT)   {STR-DT}   {END-DT}

(Are/Were) (READ NAMES BELOW) all covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) {until {{OE02 DATE}/it ended}/on (END DATE)}?

YES ...................................  1 {BOX_03}
NO ....................................  2 {BOX_03}
REF ................................... -7 {BOX_03}
DK .................................... -8 {BOX_03}

DISPLAY ‘Are’ IF OE01 IS CODED ‘1’ (YES).
DISPLAY ‘Were’ IF OE01 IS CODED ‘2’ (NO) OR IF CURRENT ROUND IS ROUND 5.
DISPLAY ‘until {OE02 DATE}’ IF OE01 IS CODED ‘2’ (NO).
DISPLAY ‘on (END DATE)’ IF OE01 IS CODED ‘1’ (YES).
DISPLAY THE DATE RECORDED AT OE02 FOR ‘OE02 DATE’.
IF THE MONTH OR YEAR FIELD AT OE02 IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), DISPLAY ‘it ended’ FOR ‘OE02 DATE’.
ROSTER DETAILS:

TITLE: RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1

COL # 1 HEADER: NAME

INSTRUCTIONS: DISPLAY COVERED PERSONS’ NAMES (PERS.FULLNAME)

ROSTER DEFINITION:

THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR DISPLAY.

ROSTER BEHAVIOR:

1. DISPLAY ONLY.

2. SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:

1. PERSON WAS COVERED AT PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, INCLUDING THE POLICYHOLDER

2. PERSON IS AN RU MEMBER

IF COVERAGE IS CONTINUOUS FROM THE PREVIOUS ROUND TO THE END DATE OF THE CURRENT ROUND, THAT IS:

IF OE01 IS CODED ‘1’ (YES) AND OE03 IS CODED ‘1’ (YES),

FLAG INSURANCE FOR ALL COVERED PERSONS (INCLUDING THE POLICYHOLDER) AS ‘CONTINUOUS COVERAGE’ THROUGH THE REFERENCE PERIOD END DATE AND

GO TO BOX_05
IF COVERAGE IS CONTINUOUS FROM THE PREVIOUS ROUND TO PART OF THE CURRENT ROUND, THAT IS:

IF OE01 IS CODED ‘2’ (NO) AND OE03 IS CODED ‘1’ (YES),

FLAG INSURANCE FOR ALL COVERED PERSONS (INCLUDING THE POLICYHOLDER) AS ‘CONTINUOUS COVERAGE’ THROUGH THE DATE RECORDED AT OE02 AND

GO TO BOX_05

OTHERWISE (I.E., OE03 CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T KNOW)), CONTINUE WITH OE04

OE04

Who {is/was} no longer covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) {until {{OE02 DATE}/it ended}/on (END DATE)}?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]

DISPLAY ‘is’ IF OE01 IS CODED ‘1’ (YES).
DISPLAY ‘was’ IF OE01 IS CODED ‘2’ (NO) OR IF CURRENT ROUND IS ROUND 5.
DISPLAY ‘until {OE02 DATE}’ IF OE01 IS CODED ‘2’ (NO).
DISPLAY ‘on (END DATE)’ IF OE01 IS CODED ‘1’ (YES).
DISPLAY THE DATE RECORDED AT OE02 FOR ‘OE02 DATE’, IF THE MONTH OR YEAR FIELD AT OE02 IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), DISPLAY ‘it ended’ FOR ‘OE02 DATE’.
IF FAMILY STILL HAS INSURANCE THROUGH THIS
ESTABLISHMENT-PERSON-PAIR (OE01 IS CODED ‘1’
(YES)), FLAG INSURANCE FOR ALL PERSONS NOT
SELECTED AT OE04 AS ‘CONTINUOUS COVERAGE’ FROM THE
REFERENCE PERIOD START DATE UNTIL THE REFERENCE
PERIOD END DATE.

IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH
THIS ESTABLISHMENT-PERSON-PAIR (OE01 IS CODED ‘2’
(NO), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED
AT OE04 AS ‘CONTINUOUS COVERAGE’ FROM THE
REFERENCE PERIOD START DATE UNTIL DATE RECORDED
AT OE02.

GO TO LOOP_02

ROSTER DETAILS:
TITLE: RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1

COL # 1 HEADER: NAME
INSTRUCTIONS: DISPLAY COVERED PERSONS’ NAMES
(PERS.FULLNAME)

ROSTER DEFINITION:
THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD-
PERS-TRPLS-ROSTER FOR SELECTION.

ROSTER BEHAVIOR:
1. MULTIPLE SELECT ALLOWED.
2. ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
1. PERSON WAS COVERED AT PREVIOUS ROUND’S
   INTERVIEW DATE BY THE INSURANCE FROM THIS
   ESTABLISHMENT-PERSON-PAIR, INCLUDING THE
   POLICYHOLDER
2. PERSON IS AN RU MEMBER
LOOP_02
========

<table>
<thead>
<tr>
<th>FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER, ASK NAV_OE02 - END_LP02</th>
</tr>
</thead>
</table>

--------------------------------------------------------------------------------------------
<table>
<thead>
<tr>
<th>LOOP DEFINITION: LOOP_02 COLLECTS THE DATE ON WHICH THE INSURANCE COVERAGE THROUGH THIS ESTABLISHMENT-PERSON-PAIR ENDED FOR EACH RU MEMBER WHOSE COVERAGE ENDED EITHER PRIOR TO THE REFERENCE PERIOD END DATE OR THE DATE REPORTED IN OE02. THIS LOOP CYCLES ON PERSONS SELECTED AT OE04.</th>
</tr>
</thead>
</table>

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<table>
<thead>
<tr>
<th>NAVIGATOR DETAILS: LOOP_02 USES NAV_OE02 TO CONTROL THE FLOW OF THE LOOP.</th>
</tr>
</thead>
</table>

NAV_OE02
-------

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

SERIES: End Date of Insurance from (POLICYHOLDER)’s (ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65] [Status-25]
[2. First Name,[Middle Name],Last Name-65] [Status-25]
[3. First Name,[Middle Name],Last Name-65] [Status-25]
Roster Details:

| COL # 1 HEADER: RU MEMBER |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME) |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED |

Roster Definition:

| THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION. |

Roster Behavior:

1. SELECT ALLOWED.
2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.

Roster Filter:

| DISPLAY ALL RU MEMBERS SELECTED AT OE04. |

Continue with OE05 for selected RU member.

OE05

{POLICYHOLDER’S FIRST MIDDLE AND LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

On what date did the health insurance through (ESTABLISHMENT) end for (PERSON)?

[Enter Month-2, Day-2, Year-4] .......... REF ................................... -7 {BOX_04}
DK .................................... -8 {BOX_04}
IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE05OV

OTHERWISE, GO TO BOX_04

---

OE05OV

Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ...........................  1 {BOX_04}
PART OF THE MONTH ........................  2 {BOX_04}
REF ................................. -7 {BOX_04}
DK ................................. -8 {BOX_04}

[Code One]

---

BOX_04

---

FLAG INSURANCE FOR PERSON AS ‘CONTINUOUS COVERAGE’ THROUGH THE COMPLETE DATE RECORDED AT OE05 AND OE05OV.

---

END_LP02

---

CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION.

---

IF NO OTHER PERSONS MEET THE STATED CONDITIONS, END LOOP_02 AND CONTINUE WITH BOX_05
BOX_05
======

| IF AT LEAST ONE CURRENT RU MEMBER NOT COVERED BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, (THIS INCLUDES ALL NEW RU MEMBERS AND PREVIOUS RU MEMBERS NOT COVERED BY THIS INSURANCE ON THE PREVIOUS ROUND’S INTERVIEW DATE, BUT EXCLUDES RU MEMBERS JUST MARKED AS NO LONGER COVERED IN OE04), CONTINUE WITH OE06 |

----------------------------------------------------

| OTHERWISE, GO TO OE08A |

----------------------------------------------------

OE06
=====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT) (END-DT)

(Since (START DATE)/Between (START DATE) and (END DATE)), have any persons living here, we have not yet mentioned, been covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT)?

YES .............................. 1 (OE07)
NO .................................. 2 (OE08A)
REF ................................. -7 (OE08A)
DK ................................. -8 (OE08A)

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

----------------------------------------------------

| DISPLAY ‘Since (START DATE)’ IF NOT ROUND 5. |
| DISPLAY ‘Between (START DATE) and (END DATE)’ IF ROUND 5. |

----------------------------------------------------
OE07

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF ESTABLISHMENT)  (STR-DT)  (END-DT)

Who (has been/was) covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) (since (START DATE)/between (START DATE) and (END DATE)) that we have not yet mentioned?

PROBE: Anyone else?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]

----------------------------------------------------
| DISPLAY ‘has been’ AND ‘since (START DATE)’ IF NOT| ROUND 5. DISPLAY ‘was’ AND ‘between (START DATE) AND (END DATE)’ IF ROUND 5.
----------------------------------------------------

----------------------------------------------------
| WRITE PERSONS SELECTED TO THE RU-ESTB-PLCYHLDR- | COVRD-PERS-TRPLS-ROSTER.
----------------------------------------------------

----------------------------------------------------
| IF ‘PERSON NOT LISTED IN RU’ IS SELECTED, FLAG | INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR AS ‘COVERING PERSON NOT LISTED IN RU’.
----------------------------------------------------

----------------------------------------------------
| GO TO LOOP_03
----------------------------------------------------

----------------------------------------------------
| ROSTER DETAILS:
| TITLE: RU_MEMBERS_1
| COL # 1 HEADER: NAME
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME)
----------------------------------------------------
ROSTER DEFINITION:
THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION OF RU-MEMBERS.

ROSTER BEHAVIOR:
1. MULTIPLE SELECT ALLOWED. INTERVIEWER MAY SELECT ONE OR MORE FROM THE LISTED MEMBERS.
2. ADD, DELETE, AND EDIT DISALLOWED.
3. DISPLAY ‘PERSON NOT LISTED IN RU’ AS LAST ENTRY ON THIS ROSTER.

ROSTER FILTER:
DISPLAY PERSONS WHO WERE NOT COVERED BY THE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR ON THE PREVIOUS ROUND’S INTERVIEW DATE.

LOOP_03
-------
FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER, ASK NAV_OE03 - END_LP03

LOOP DEFINITION: LOOP_03 COLLECTS THE COVERAGE START DATE FOR ALL PERSONS NEWLY COVERED DURING THE CURRENT ROUND BY THE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR. THIS LOOP CYCLES ON PERSONS SELECTED AT OE07.

NAVIGATOR DETAILS: LOOP_03 USES NAV_OE03 TO CONTROL THE FLOW OF THE LOOP.
NAV_OE03

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF ESTABLISHMENT}   {STR-DT}   {END-DT}

SERIES:  Begin Date of Insurance from (POLICYHOLDER)’s (ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65]      [Status-25]
[2. First Name,[Middle Name],Last Name-65]      [Status-25]
[3. First Name,[Middle Name],Last Name-65]      [Status-25]

-----------------------------------------------
| ROSTER DETAILS:                              |
| COL # 1 HEADER: RU MEMBER                   |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME) |
| COL # 2 HEADER: EMPTY                        |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED |
| -----------------------------------------------

-----------------------------------------------
| ROSTER DEFINITION:                           |
| THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION. |
| -----------------------------------------------

-----------------------------------------------
| ROSTER BEHAVIOR:                             |
| 1. SELECT ALLOWED.                          |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED. |
| -----------------------------------------------
---

<table>
<thead>
<tr>
<th>ROSTER FILTER:</th>
</tr>
</thead>
<tbody>
<tr>
<td>DISPLAY ALL RU MEMBERS SELECTED AT OE07.</td>
</tr>
</tbody>
</table>

---

| CONTINUE WITH OE08 FOR SELECTED RU MEMBER. |

---

OE08

---

{PERSON’S FIRST MIDDLE AND LAST NAME} {NAME OF
ESTABLISHMENT} {STR-DT} {END-DT}

On what date did the health insurance through (ESTABLISHMENT) begin for (PERSON)?

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7 {BOX_06}
DK .................................... -8 {BOX_06}

---

| IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE08OV |

---

| OTHERWISE, GO TO BOX_06 |

---

OE08OV

---

Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ........................... 1 {BOX_06}
PART OF THE MONTH ........................ 2 {BOX_06}
REF ................................... -7 {BOX_06}
DK .................................... -8 {BOX_06}

[Code One]
HARD CHECK:
COMPLETE DATE AT OE08 MUST BE < THAN COMPLETE
DATE AT OE02 IF A DATE IS RECORDED AT OE02
OR < THAN REFERENCE PERIOD END DATE IF NO DATE
IS RECORDED AT OE02.

---

IF FAMILY STILL HAS INSURANCE THROUGH THIS
ESTABLISHMENT-PERSON-PAIR (OE01 IS CODED ’1’
(YES)), FLAG INSURANCE FOR THIS PERSON AS
‘CONTINUOUS COVERAGE’ FROM DATE RECORDED AT OE08
UNTIL THE REFERENCE PERIOD END DATE.

---

IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH
ESTABLISHMENT-PERSON-PAIR (OE01 IS CODED ’2’ (NO))
FLAG INSURANCE FOR THIS PERSON AS ‘CONTINUOUS
COVERAGE’ FROM DATE RECORDED AT OE08 UNTIL DATE
RECORDED AT OE02.

---

CYCLE ON NEXT PERSON IN RU-ESTB-PLCYHLDR-COVRD-
PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS STATED
IN THE LOOP DEFINITION.

---

IF NO OTHER PERSONS MEET THE STATED CONDITIONS,
END LOOP_03 AND GO TO BOX_07
(POLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF
ESTABLISHMENT)  (STR-DT)
(END-DT)

(Does/Between (START DATE) and (END DATE), did) (POLICYHOLDER)’s
health coverage through (ESTABLISHMENT) cover as dependents any
persons who do not live here?

YES .................................... 1 {BOX_07}
NO ..................................... 2 {BOX_07}
REF ................................... -7 {BOX_07}
DK .................................... -8 {BOX_07}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

----------------------------------------------------
| DISPLAY 'Does' IF NOT ROUND 5. DISPLAY 'Between  |
| (START DATE) and (END DATE), did' IF ROUND 5.     |
----------------------------------------------------

----------------------------------------------------
| IF CODED '1' (YES), FLAG INSURANCE THROUGH THIS    |
| ESTABLISHMENT-PERSON-PAIR AS 'COVERING PERSON NOT |
| LISTED IN RU' IN OE07                             |
----------------------------------------------------

BOX_07

----------------------------------------------------
| IF ONE OR MORE RU MEMBERS ARE STILL COVERED BY THE|
| INSURANCE THROUGH THE ESTABLISHMENT-PERSON-PAIR   |
| ON THE CURRENT ROUND’S INTERVIEW DATE, THAT IS,   |
| OE01 IS CODED ‘1’ (YES), CONTINUE WITH BOX_07A    |
----------------------------------------------------

----------------------------------------------------
| OTHERWISE, GO TO END_LP01                         |
----------------------------------------------------
| IF ROUND 3, CONTINUE WITH OE09A |

| OTHERWISE, GO TO OE09 |

OE09A

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT)
(END-DT)

For the coverage through (ESTABLISHMENT), does anyone in the family pay all of the premium or cost, some of the premium or cost, or none of the premium or cost?

[Do not include the cost of any copayments, coinsurance or deductibles anyone in the family may have had to pay.]

[Do include any contribution made to the plan as part of a paycheck.]

YES, PAY ALL OF PREMIUM/COST ........... 1 {OE09AA}
YES, PAY SOME OF PREMIUM/COST ........... 2 {OE09AA}
YES, BUT DON’T KNOW IF PAY ALL OR SOME OF PREMIUM/COST ................. 3 {OE09AA}
NO, DO NOT PAY .................................. 4 {OE09AAA}
REF ............................................ -7 {BOX_08AA}
DK .............................................. -8 {BOX_08AA}

[Code One]

HELP AVAILABLE FOR DEFINITION OF PREMIUM/COPAYMENT/COINSURANCE/DEDUCTIBLE.

OE09AA

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF
ESTABLISHMENT)  (STR-DT)
(END-DT)

How much (do/does) (POLICYHOLDER) pay for the (ESTABLISHMENT)
coverage?

[Enter Amount in Dollars] ..............
REF ............................ -7 (BOX_08A)
DK .............................. -8 (BOX_08A)

----------------------------------------------------
<p>|  NOTE:  THE ESTABLISHMENT NAME WHICH SHOULD BE     |
|  DISPLAYED HERE FOR THE INSURANCE FROM A           |
|  SELF-EMPLOYED-FIRM-SIZE-1 AND INSURANCE FROM      |
|  DIRECTLY PURCHASED SOURCES, SHOULD BE THE NAME OF |
|  THE SOURCE, NOT THE NAME OF THE EMPLOYER OR       |</p>
<table>
<thead>
<tr>
<th>DIRECTLY PURCHASED CATEGORY.</th>
</tr>
</thead>
</table>

----------------------------------------------------
<table>
<thead>
<tr>
<th>CONTINUE WITH OE09AAOV1</th>
</tr>
</thead>
</table>

OE09AAOV1

UNIT OF COVERAGE:

Is that per year, per month, per week, or what?

PER YEAR .............................. 1 (BOX_08A)
QUARTERLY/EVERY 3 MONTHS ............... 2 (BOX_08A)
BIMONTHLY/EVERY 2 MONTHS ............... 3 (BOX_08A)
PER MONTH .............................. 4 (BOX_08A)
PER WEEK ............................... 5 (BOX_08A)
BIWEEKLY/EVERY 2 WEEKS ................. 6 (BOX_08A)
SEMI-ANNUALLY/2 TIMES PER YEAR ........ 7 (BOX_08A)
SEMI-MONTHLY/2 TIMES PER MONTH ........ 8 (BOX_08A)
OTHER ................................. 91 (OE09AAOV2)
REF ................................. -7 (BOX_08A)
DK .................................. -8 (BOX_08A)

[Code One]
SPECIFY:

[Enter Other Specify] ..................   {BOX_08A}
REF ................................... -7 {BOX_08A}
DK .................................... -8 {BOX_08A}

-----------------------------------------------------
| IF OE09A IS CODED '1' (YES, PAY ALL OF PREMIUM/    |
|  COST), GO TO BOX_08AA                              |
-----------------------------------------------------

-----------------------------------------------------
| OTHERWISE, CONTINUE WITH OE09AAA                   |
-----------------------------------------------------

CHECK ALL THAT APPLY.

FEDERAL GOVERNMENT ..................... 1
STATE GOVERNMENT ...................... 2
LOCAL GOVERNMENT ..................... 3
SOME GOVERNMENT ....................... 4
EMPLOYER .............................. 5
UNION .................................. 6
OTHER ................................. 91 {OE09AAA0V}
REF ................................... -7 {BOX_08AA}
DK .................................... -8 {BOX_08AA}

[Code All That Apply]
DISPLAY 'else' IF OE09A IS CODED '2' (YES, PAY SOME OF PREMIUM/COST) OR '3' (YES, BUT DON'T KNOW IF PAY ALL OR SOME OF PREMIUM/COST). OTHERWISE, USE A NULL DISPLAY

DISPLAY 'some of' IF OE09A IS CODED '2' (YES, PAY SOME OF PREMIUM/COST) OR '3' (YES, BUT DON'T KNOW IF PAY ALL OR SOME OF PREMIUM/COST). DISPLAY 'for' IF OE09A IS CODED '4' (NO, DO NOT PAY).

FOR SPECIFICATIONS PURPOSES ONLY: CAPI DOES NOT ALLOW '-7' (REFUSED) OR '-8' (DON'T KNOW) IN COMBINATION WITH ANY OTHER CODE.

IF CODED '91' (OTHER), ALONE OR IN COMBINATION WITH ANY OTHER CODE, CONTINUE WITH OE09AAAOV

OTHERWISE, GO TO BOX_08AA

OE09AAAOV

SPECIFY:

[Enter Other Specify] ................. {BOX_08AA}
REF ................................... -7 {BOX_08AA}
DK .................................... -8 {BOX_08AA}
IF INSURANCE BEING ASKED ABOUT PROVIDES MEDICARE SUPPLEMENT/MEDIGAP COVERAGE (I.E., HX48 OR OE10 OR OE24 OR OE37 WAS CODED ‘5’ (MEDICARE SUPPLEMENT/MEDIGAP) EITHER ALONE OR WITH ANY COMBINATION OF CODES IN THE PREVIOUS ROUND FOR THIS ESTABLISHMENT -PERSON-PAIR), GO TO OE09

OTHERWISE, CONTINUE WITH OE09B

{POLICYHOLDER FIRST MIDDLE LAST NAME}  {NAME OF ESTABLISHMENT}  {STR-DT}  {END-DT}

Is the (family) annual deductible for medical care for this plan less than {$1,200 OR $1,200/$2,400 OR $2,400} or more? If there is a separate deductible for prescription drugs, hospitalization, or out-of-network care, do not include those deductible amounts here.

LESS THAN ($1,200/$2,400) .............. 1 {OE09}
{$1,200/$2,400} OR MORE .............. 2 {OE09C}
NO ANNUAL DEDUCTIBLE ............... 3 {OE09}
REF .................................... -7 {OE09}
DK ..................................... -8 {OE09}

[Code One]

HELP AVAILABLE FOR DEFINITION OF ANNUAL DEDUCTIBLE.
DISPLAY ‘$1,200 or $1,200’ IN THE QUESTION TEXT AND ‘$1,200’ IN THE RESPONSE CATEGORY OPTIONS IF THE POLICYHOLDER IS THE ONLY COVERED RU MEMBER AND THERE ARE NO DEPENDENTS OUTSIDE THE RU (OE08A IS CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T KNOW)) FOR THE PAIR BEING ASKED ABOUT. OTHERWISE (E.G., AT LEAST ONE RU MEMBER, OTHER THAN THE POLICYHOLDER IS LISTED AS A COVERED PERSON FOR THIS PAIR OR OE08A IS CODED ‘1’ (YES) FOR THIS PAIR OR THE POLICYHOLDER IS NOT IN THE RU), DISPLAY ‘family’ and ‘$2,400 or $2,400’ IN THE QUESTION TEXT AND ‘$2,400’ IN THE RESPONSE CATEGORY OPTIONS.

IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER <= 2 AND OE08A IS CODED ‘2’ (NO), ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), THEN DISPLAY ‘1,200 or 1,200’ IN THE QUESTION TEXT AND ‘1,200’ IN THE RESPONSE CATEGORY OPTIONS.

IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER <= 2 AND OE08A IS CODED ‘1’ (YES), THEN DISPLAY ‘family’ AND ‘2,400 or 2,400’ IN THE QUESTION TEXT AND ‘2,400’ IN THE RESPONSE CATEGORY OPTIONS.

IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER > 2, THEN DISPLAY ‘family’ AND ‘2,400 or 2,400’ IN THE QUESTION TEXT AND ‘2,400’ IN THE RESPONSE CATEGORY OPTIONS.
With this plan, is there a special account or fund that can be used to pay for medical expenses? The accounts are sometimes referred to as Health Savings Accounts (HSAs), Health Reimbursement Accounts (HRAs), Personal Care accounts, Personal Medical funds, or Choice funds, and are different from Flexible Spending Accounts.

YES .................................... 1 {OE09}
NO ..................................... 2 {OE09}
REF ................................... -7 {OE09}
DK .................................... -8 {OE09}

[Code One]

HELP AVAILABLE FOR DEFINITION OF HEALTH SAVINGS ACCOUNTS (HSAs).

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF ESTABLISHMENT}    {STR-DT} {END-DT}

{Last time we recorded that (POLICYHOLDER) (were/was) covered by (INSURER OF ESTAB-PERS-INSURER TRIPLE ON PREV RD INT DT).}

{Since (START DATE), has there been/Between (START DATE) and (END DATE), was there} any change in the plan name of the health insurance (POLICYHOLDER) (have/has) through (ESTABLISHMENT)?

YES .................................... 1 {OE10}
NO .................................... 2 {END_LP01}
REF ................................... -7 {END_LP01}
DK .................................... -8 {END_LP01}
FOR 'NAME OF INSURER BEING LOOPED ON', DISPLAY
THE NAME OF THIS POLICYHOLDER'S CURRENT ROUND'S
PRIVATE OR MEDIGAP INSURER FOR PLAN NAME. THAT IS,
DISPLAY THE NAME OF THE PLAN (PROVIDING MEDICARE
SUPPLEMENT / MEDIGAP BENEFITS OR HOSPITAL/
PHYSICIAN BENEFITS) ENTERED AT HX49, HX51, OE11,
OE25, OE36, OR OE38.

DISPLAY 'Since (START DATE), has there been' AND
'(have/has)' IF NOT ROUND 5. DISPLAY 'Between
(START DATE) and (END DATE), was there' AND 'had'
IF ROUND 5.

IF CODED '2' (NO), '-7' (REFUSED), OR '-8' (DON'T
KNOW), FLAG PREVIOUS ROUND'S INSURER AS CURRENT
ROUND'S INSURER FOR THIS ESTABLISHMENT-PERSON-
PAIR.
OE10
====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF
ESTABLISHMENT}   {STR-DT}
(END-DT)

SHOW CARD OE-1.

Looking at this card, what type of health insurance coverage
{(do/does)/did} (POLICYHOLDER) {now} have through (ESTABLISHMENT)’s
new plan {as of (END DATE)}?

PROBE: Any other health coverage through this plan?

CHECK ALL THAT APPLY.

HOSPITAL AND PHYSICIAN BENEFITS,
   INCLUDING COVERAGE THROUGH AN HMO ... 1
DENTAL ........................................ 2
PRESCRIPTION DRUGS .......................... 3
VISION .......................... 4
MEDICARE SUPPLEMENT/MEDIGAP ............ 5
LONG TERM CARE IN A NURSING HOME ...... 6
EXTRA CASH FOR HOSPITAL STAYS ........... 7
SERIOUS DISEASE OR DREAD DISEASE ...... 8
DISABILITY .............................. 9
WORKER’S COMPENSATION .................... 10
ACCIDENT .................................. 11
OTHER ..................................... 91 {OE10OV}
REF ....................................... -7 {BOX_08}
DK ....................................... -8 {BOX_08}

[Code All That Apply]

HELP AVAILABLE FOR DEFINITION OF ANSWER CATEGORIES.

[NOTE: ‘DISABILITY,’ ‘WORKER’S COMPENSATION,’ AND ‘ACCIDENT’
WILL NOT APPEAR ON THE SHOW CARD.]

--------------------------------------------------------------------------------
| DISPLAY ‘(do/does)’ IF NOT ROUND 5. DISPLAY ‘did’| |
| IF ROUND 5. |
| |
| DISPLAY ‘now’ IF NOT ROUND 5. OTHERWISE, USE A |
| NULL DISPLAY. |
| |
| DISPLAY ‘as of (END DATE)’ IF ROUND 5. OTHERWISE, |
| USE A NULL DISPLAY. |
--------------------------------------------------------------------------------
FOR SPECIFICATIONS PURPOSES ONLY: CAPI DOES NOT ALLOW ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) IN COMBINATION WITH ANY OTHER CODE.

----------------------------------------------------

IF CODED ‘91’ (OTHER), ALONE OR IN COMBINATION WITH ANY OTHER CODES, CONTINUE WITH OE10OV

----------------------------------------------------

OTHERWISE, GO TO BOX_08
What is the new plan name for (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) which provides the {hospital and physician benefits/Medicare Supplement or Medigap benefits}?

IF MORE THAN ONE NAME, PROBE:  What is the main new plan name?

RECORD THE NAME OF THE MAIN INSURER THAT PROVIDES THE {HOSPITAL AND PHYSICIAN/MEDIGAP} BENEFITS FOR THIS PAIR.

IF RESPONDENT SAYS BOTH INSURANCE COMPANY AND HMO, SELECT HMO.

NAME OF INSURER: [Enter Insurer] ............
   REF ......................... -7
   DK .... ..................... -8

TYPE:

   INSURANCE COMPANY ............... 1
   HMO ................................ 2
   SELF-INSURED COMPANY ............ 3
   REF ............................ -7
   DK ............................. -8

[Code One]

HELP AVAILABLE FOR DEFINITION OF INSURANCE CO/HMO/SELF-INSURED CO.

| DISPLAY 'hospital and physician benefits' AND |
| 'HOSPITAL AND PHYSICIAN' IF OE10 IS CODED '1' |
| (HOSPITAL AND PHYSICIAN BENEFITS), BUT NOT CODED |
| '5' (MEDICARE SUPPLEMENT/MEDIGAP). DISPLAY |
| 'Medicare supplement or Medigap benefits' AND |
| 'MEDIGAP' IF OE10 IS CODED '5' (MEDICARE |
| SUPPLEMENT/MEDIGAP). |

<p>| WRITE INSURER(S) TO THE RU-ESTAB-PERSON-INSURER- |
| TRIPLES-ROSTER FOR THE INSURANCE THROUGH THIS |
| ESTABLISHMENT-PERSON-PAIR. |</p>
<table>
<thead>
<tr>
<th>FLAG INSURER(S) COLLECTED AT OE11 AS CURRENT ROUND’S INSURER(S) FOR THIS ESTABLISHMENT-PERSON-PAIR.</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF OE10 IS CODED &quot;5&quot; (MEDICARE SUPPLEMENT/MEDIGAP)</td>
</tr>
<tr>
<td>FLAG INSURANCE CO./HMO AS 'SUPPLYING MEDICARE</td>
</tr>
<tr>
<td>SUPPLEMENT/MEDIGAP BENEFITS (WHICH INCLUDES</td>
</tr>
<tr>
<td>HOSPITAL/PHYSICIAN BENEFITS)’ FOR THE CURRENT</td>
</tr>
<tr>
<td>ROUND.</td>
</tr>
<tr>
<td>----------------------------------------------------</td>
</tr>
<tr>
<td>IF OE10 IS CODED '1' (HOSPITAL AND PHYSICIAN</td>
</tr>
<tr>
<td>BENEFITS), BUT NOT '5' (MEDICARE SUPPLEMENT/</td>
</tr>
<tr>
<td>MEDIGAP), FLAG INSURANCE CO./HMO AS 'SUPPLYING</td>
</tr>
<tr>
<td>HOSPITAL/PHYSICIAN BENEFITS’ FOR THE CURRENT</td>
</tr>
<tr>
<td>ROUND.</td>
</tr>
<tr>
<td>----------------------------------------------------</td>
</tr>
</tbody>
</table>

LOOP_04
-------

<table>
<thead>
<tr>
<th>FOR EACH ELEMENT ON THE RU-ESTAB-PERSON-INSURER-TRIPLES-ROSTER, ASK BOX_08B - END_LP04.</th>
</tr>
</thead>
</table>

LOOP DEFINITION: LOOP_04 COLLECTS OTHER POLICY NAMES AND MANAGED CARE INFORMATION FOR INSURERS COLLECTED AT OE11. THIS LOOP CYCLES ON TRIPLES THAT MEET THE FOLLOWING CONDITIONS:

- ESTABLISHMENT-PERSON-PAIR PROVIDES THE INSURANCE BEING ASKED ABOUT
- INSURER IS ENTERED AT OE11
BOX_08B

----------------------------------------------------
| IF AN INSURER NAME IS ENTERED AT OE11, CONTINUE   |
| WITH OE11A                                        |  
----------------------------------------------------

----------------------------------------------------
| IF INSURER NAME IS CODED ‘-7’ (REF) OR ‘-8’ (DK)  |
| AT OE11, GO TO BOX_09A                             |
----------------------------------------------------

OE11A

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF
ESTABLISHMENT) (STR-DT)
(END-DT)

Is there any other name for the (INSURANCE COMPANY OR HMO
NAME) policy, such as Option A, $100 Deductible Plan, 90/80
Plan, Gold Plan, or High Option Plan?

YES, ANOTHER NAME ....................... 1 (OE11AOV)

NO OTHER NAME .......................... 2 (BOX_09A)

REF ................................... -7 (BOX_09A)

DK .................................... -8 (BOX_09A)

HELP AVAILABLE FOR DEFINITION OF LOW OPTION/HIGH OPTION.

[Code One]

----------------------------------------------------
| DISPLAY THE NAME OF THE INSURANCE CO/HMO          |
| RECORDED IN OE11 WHICH IS BEING LOOped ON FOR     |
| ‘INSURANCE...NAME’.                              |
----------------------------------------------------
SPECIFY:

[Enter Policy Name] .................... {BOX_09A}
REF ................................... -7 {BOX_09A}
DK .................................... -8 {BOX_09A}

HELP AVAILABLE FOR DEFINITION OF LOW OPTION/HIGH OPTION.

---

| IF INSURER BEING LOOPED ON IS CODED ‘2’ (HMO) IN OE11, CONTINUE WITH OE11B |
---

| OTHERWISE, GO TO BOX_09 |
---

OE11B

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

INSURER NAME: {NAME OF INSURER BEING LOOPED ON}

Will (POLICYHOLDER)’s plan pay for any of the costs of visits to doctors who are not part of (POLICYHOLDER)’s HMO, even if (POLICYHOLDER) (do/does) not have a referral?

YES .................................... 1 {END_LP04}
NO ..................................... 2 {END_LP04}
REF ................................... -7 {END_LP04}
DK .................................... -8 {END_LP04}
ASK THE MANAGED CARE (MC) SECTION FOR THIS INSURER.
AT COMPLETION OF MANAGED CARE (MC) SECTION,
CONTINUE WITH END_LP04

CYCLE ON NEXT INSURER IN THE RU-ESTAB-PERSON-
INSURER-TRIPLES-ROSTER THAT MEETS THE CONDITIONS
STATED IN THE LOOP DEFINITION.

IF NO OTHER INSURERS MEET THE STATED CONDITIONS,
END LOOP_04 AND CONTINUE WITH END_LP01

CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-
PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN
THE LOOP DEFINITION.

IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END
LOOP_01 AND CONTINUE WITH BOX_10
IF ONE OR MORE RU MEMBERS DOES NOT STILL HOLD A 'CURRENT MAIN' OR 'CURRENT MISCELLANEOUS' JOB THIS ROUND THAT WAS REPORTED DURING THE PREVIOUS ROUND AS PROVIDING HEALTH INSURANCE ON THE DATE OF THE PREVIOUS ROUND'S INTERVIEW, THAT IS:

IF ONE OR MORE ESTABLISHMENT-PERSON-PAIRS IN THE RU MEET THE FOLLOWING CONDITIONS:
- RJ01 OR RJ06 WAS CODED '2' (NO), '-7' (REFUSED), '-8' (DON’T KNOW) DURING THIS ROUND FOR THIS PAIR, AND
- PERSON WAS A JOBHOLDER AT ESTABLISHMENT, AND
- PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS INSURANCE, AND
- ESTABLISHMENT IS AN EMPLOYER FLAGGED DURING THE PREVIOUS ROUND AS 'PROVIDES HEALTH INSURANCE' AND,
- THE HEALTH INSURANCE PROVIDED BY ESTABLISHMENT COVERED PERSON ON THE DATE OF THE PREVIOUS ROUND'S INTERVIEW (HQ01 WAS CODED '1' (WHOLE TIME) OR HQ02 WAS CODED '1' (YES) IN THE PREVIOUS ROUND), AND
- JOB AT ESTABLISHMENT IS NOT FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1,

CONTINUE WITH LOOP_05

OTHERWISE, GO TO BOX_19

NOTE: IF POLICYHOLDER WAS NOT PHYSICALLY PRESENT IN THE RU ON THE PREVIOUS ROUND’S INTERVIEW DATE, THE FIFTH CONDITION IN THE ABOVE BOX CAN BE MET IF AT LEAST ONE DEPENDENT WAS COVERED BY POLICYHOLDER’S INSURANCE ON THE PREVIOUS ROUND’S INTERVIEW DATE. COVERAGE FOR THE POLICYHOLDER IS ASSUMED IN THAT CASE AND THE LOOP WILL CYCLE ON THE POLICYHOLDER’S NAME.
NOTE: ESTABLISHMENT-PERSON-PAIRS WHERE THE POLICYHOLDER IS OUT-OF-SCOPE (E.G., DECEASED, INSTITUTIONALIZED, OUT OF COUNTRY) ON THE CURRENT ROUND’S INTERVIEW DATE, BUT WHERE THE ESTABLISHMENT-PERSON-PAIR COVERED DEPENDENTS WHO ARE STILL RU MEMBERS MAY STILL QUALIFY FOR LOOP_05.

-----------------------------

LOOP_05

========

FOR EACH ELEMENT IN THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER, ASK NAV_OE05A - END_LP05

-----------------------------

LOOP DEFINITION:

LOOP_05 COLLECTS INFORMATION ABOUT THE CONTINUATION OF INSURANCE COVERAGE THROUGH A NO LONGER HELD ‘CURRENT MAIN’ OR ‘CURRENT MISCELLANEOUS’ JOB THAT WAS COLLECTED IN THE PREVIOUS ROUND. THIS LOOP CYCLES ON ESTABLISHMENT-PERSON-PAIRS THAT MEET THE FOLLOWING CONDITIONS:

- RJ01 OR RJ06 WAS CODED ‘2’ (NO), ‘-7’ (REFUSED), ‘-8’ (DON’T KNOW) DURING THIS ROUND FOR THIS PAIR, AND
- PERSON WAS A JOBHOLDER AT ESTABLISHMENT, AND
- PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS INSURANCE, AND
- ESTABLISHMENT IS AN EMPLOYER FLAGGED DURING THE PREVIOUS ROUND AS ‘PROVIDES HEALTH INSURANCE’ AND,
- THE HEALTH INSURANCE PROVIDED BY ESTABLISHMENT COVERED PERSON ON THE DATE OF THE PREVIOUS ROUND’S INTERVIEW (HQ01 WAS CODED ‘1’ (WHOLE TIME) OR HQ02 WAS CODED ‘1’ (YES) IN THE PREVIOUS ROUND), AND
- JOB AT ESTABLISHMENT IS NOT FLAGGED AS ‘SELF-EMPLOYED’ WITH A FIRM-SIZE-1.

-----------------------------

NAVIGATOR DETAILS: LOOP_05 USES BOTH NAV_OE05A AND OE05B TO CONTROL THE FLOW OF THE LOOP.
SERIES: Confirming Insurance from a Previous Round through a Former Employer (i.e., probing for who is still covered, any change in plan name, etc.)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

RU Member

[1. First Name, [Middle Name], Last Name-65] [Status-25]
[2. First Name, [Middle Name], Last Name-65] [Status-25]
[3. First Name, [Middle Name], Last Name-65] [Status-25]
NAV_OE05B

SERIES: Confirming Insurance from a Previous Round through a Former Employer (i.e., probing for who is still covered, any change in plan name, etc.)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED "DONE," USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

Policyholder...Former Employer Providing Insurance

[1. Policyholder’s Name-30]...[Establishment Name-30]   [Status-25]
[2. Policyholder’s Name-30]...[Establishment Name-30]   [Status-25]
[3. Policyholder’s Name-30]...[Establishment Name-30]   [Status-25]

| ROSTER DETAILS: |
| COL # 1 HEADER: POLICYHOLDER...FORMER EMPLOYER PROVIDING INSURANCE |
| INSTRUCTIONS: DISPLAY RU-ESTABLISHMENT-PERSON-PAIR |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH PAIR EACH TIME THE NAVIGATOR IS PRESENTED |

<p>| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION. |
| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |</p>
<table>
<thead>
<tr>
<th>2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.</th>
</tr>
</thead>
</table>

<p>| ROSTER FILTER: |</p>
<table>
<thead>
<tr>
<th>DISPLAY ALL EMPLOYERS THAT MEET THE CONDITIONS STATED AT THE LOOP_05 DEFINITION.</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>CONTINUE WITH OE12 FOR SELECTED PAIR.</th>
</tr>
</thead>
</table>

---

OE12

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

Now think about (POLICYHOLDER)’s health insurance through (ESTABLISHMENT). {(Are/Is)/(Were/Was)} (POLICYHOLDER) or anyone in the family covered by this insurance as of {today,} (END DATE)?

YES ................................... 1 {OE16}
NO .................................... 2 {OE13}
REF ................................... -7 {END_LP05}
DK .................................... -8 {END_LP05}

<p>| DISPLAY ‘(Are/Is)’ IF NOT ROUND 5. DISPLAY ‘(Was/Were)’ IF ROUND 5. |
| --- | --- |</p>
<table>
<thead>
<tr>
<th>DISPLAY ‘today,’ IF NOT ROUND 5. OTHERWISE, USE A NULL DISPLAY.</th>
</tr>
</thead>
</table>
Did the health insurance (POLICYHOLDER) had through (ESTABLISHMENT) continue for any period of time after (POLICYHOLDER) stopped working at (ESTABLISHMENT)?

YES ...................................  1 {OE14}
NO ....................................  2 {OE15}
REF ................................... -7 {OE15}
DK .................................... -8 {OE15}

Did that health insurance continue through COBRA?

YES ...................................  1 {OE15}
NO ....................................  2 {OE15}
REF ................................... -7 {OE15}
DK .................................... -8 {OE15}

HELP AVAILABLE FOR DEFINITION OF COBRA.

On what date did (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) end?

{IF INSURANCE ENDED AFTER 12/31/{YEAR}, BACK-UP TO OE12 AND SELECT ‘YES’.}

[Enter Month-2, Day-2, Year-4] ..........
REF ................................... -7
DK .................................... -8
OE15OV

Can you just tell me if (POLICYHOLDER) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ...........................  1 {BOX_11}
PART OF THE MONTH ........................  2 {BOX_11}
REF ................................... -7 {BOX_11}
DK .................................... -8 {BOX_11}

[Code One]

OE16

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF ESTABLISHMENT}   {STR-DT}  {END-DT}

Is (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) now extended through COBRA?

YES  ....................................  1 {BOX_11}
NO ....................................  2 {BOX_11}
REF ................................... -7 {BOX_11}
DK .................................... -8 {BOX_11}

HELP AVAILABLE FOR DEFINITION OF COBRA.
IF THE POLICYHOLDER IS THE ONLY PERSON COVERED AT THE PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, AUTOMATICALLY CODE OE17 AS ‘1’ (YES) AND GO TO BOX_12

OTHERWISE, CONTINUE WITH OE17

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

{Are/Were} (READ NAMES BELOW) all covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) {until {{OE15 DATE}/it ended}/on (END DATE)}?

(YES) 1 {BOX_12}
(NO) 2 {BOX_12}
(REF) -7 {BOX_12}
(DK) -8 {BOX_12}
DISPLAY 'Are' IF OE12 IS CODED '1' (YES).
DISPLAY 'Were' IF OE12 IS CODED '2' (NO) OR IF CURRENT ROUND IS ROUND 5.
DISPLAY 'until {OE15 DATE}' IF OE12 IS CODED '2' (NO). DISPLAY 'on (END DATE)' IF OE12 IS CODED '1' (YES).
DISPLAY THE DATE RECORDED AT OE15 FOR 'OE15 DATE'.
IF THE MONTH OR YEAR FIELD AT OE15 IS CODED '-7' (REFUSED) OR '-8' (DON'T KNOW), DISPLAY 'it ended' FOR 'OE15 DATE'.

| ROSTER DETAILS: |
| TITLE: RU_ESTB_PLYCHLDR_COVRD_PERS_TRPLS_1 |
| COL # 1 HEADER: NAME |
| INSTRUCTIONS: DISPLAY COVERED PERSONS’ NAMES (PERS.FULLNAME) |

| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS PERSONS ON THE RU-ESTB-PLYCHLDR-COVRD-PERS-TRPLS-ROSTER FOR DISPLAY. |

| ROSTER BEHAVIOR: |
| 1. SELECT, ADD, DELETE, AND EDIT DISALLOWED. |

| ROSTER FILTER: |
| 1. PERSON WAS COVERED AT PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, INCLUDING THE POLICYHOLDER AND |
| 2. PERSON IS AN RU MBMBER |
IF COVERAGE IS CONTINUOUS FROM THE PREVIOUS ROUND TO THE END DATE OF THE CURRENT ROUND, THAT IS:

IF OE12 IS CODED ‘1’ (YES) AND OE17 IS CODED ‘1’ (YES),

FLAG INSURANCE FOR ALL COVERED PERSONS (INCLUDING THE POLICYHOLDER) AS ‘CONTINUOUS COVERAGE’ THROUGH THE REFERENCE PERIOD END DATE AND

GO TO BOX_14

IF COVERAGE IS CONTINUOUS FROM THE PREVIOUS ROUND TO PART OF THE CURRENT ROUND, THAT IS:

IF OE12 IS CODED ‘2’ (NO) AND OE17 IS CODED ‘1’ (YES),

FLAG INSURANCE FOR ALL COVERED PERSONS (INCLUDING THE POLICYHOLDER) AS ‘CONTINUOUS COVERAGE’ THROUGH THE DATE RECORDED AT OE15 AND

GO TO BOX_14

Otherwise (i.e., OE17 CODED ‘2’ (NO), ‘-7’ (REFUSED), or ‘-8’ (DON’T KNOW)), CONTINUE WITH OE18
OE18
====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF ESTABLISHMENT}    {STR-DT}    {END-DT}

Who {is/was} no longer covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) {until {{OE15 DATE}/it ended}/on (END DATE)}?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]

----------------------------------------------------
<p>| DISPLAY ‘is’ IF OE12 IS CODED ‘1’ (YES).          |
| DISPLAY ‘was’ IF OE12 IS CODED ‘2’ (NO) OR IF CURRENT ROUND IS ROUND 5. |
| DISPLAY ‘until {OE15 DATE}’ IF OE12 IS CODED ‘2’ (NO). DISPLAY ‘on (END DATE)’ IF OE12 IS CODED ‘1’ (YES). |
| DISPLAY THE DATE RECORDED AT OE15 FOR ‘OE15 DATE’. |</p>
<table>
<thead>
<tr>
<th>IF THE MONTH OR YEAR FIELD AT OE15 IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), DISPLAY ‘it ended’ FOR ‘OE15 DATE’.</th>
</tr>
</thead>
</table>
----------------------------------------------------
<p>| IF FAMILY STILL HAS INSURANCE THROUGH THIS         |</p>
<table>
<thead>
<tr>
<th>ESTABLISHMENT-PERSON-PAIR (OE12 IS CODED ‘1’ (YES)), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED AT OE18 AS ‘CONTINUOUS COVERAGE’ FROM THE REFERENCE PERIOD START DATE UNTIL THE REFERENCE PERIOD END DATE.</th>
</tr>
</thead>
</table>
----------------------------------------------------
| IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH    |
| THIS ESTABLISHMENT-PERSON-PAIR (OE12 IS CODED ‘2’, (NO)), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED AT OE18 AS ‘CONTINUOUS COVERAGE’ FROM THE REFERENCE PERIOD START DATE UNTIL DATE RECORDED AT OE15. |
----------------------------------------------------
| ROSTER DETAILS: |
| TITLE: RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1 |
| COL # 1 HEADER: NAME |
| INSTRUCTIONS: DISPLAY COVERED PERSONS’ NAMES |
| (PERS.FULLNAME) |

| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION. |

| ROSTER BEHAVIOR: |
| 1. MULTIPLE SELECT ALLOWED. |
| 2. ADD, DELETE, AND EDIT DISALLOWED. |

| ROSTER FILTER: |
| 1. PERSON WAS COVERED AT THE PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, INCLUDING THE POLICYHOLDER |
| 2. PERSON IS AN RU MEMBER |

LOOP_06
=======

| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER, ASK NAV_OE06 - END_LP06 |

| LOOP DEFINITION: LOOP_06 COLLECTS THE DATE ON WHICH THE INSURANCE COVERAGE THROUGH THIS ESTABLISHMENT-PERSON-PAIR ENDED FOR EACH RU MEMBER WHOSE COVERAGE ENDED PRIOR TO THE REFERENCE PERIOD END DATE OR THE DATE REPORTED IN OE15. THIS LOOP CYCLES ON PERSONS SELECTED AT OE18. |

| NAVIGATOR DETAILS: LOOP_06 USES NAV_OE06 TO CONTROL THE FLOW OF THE LOOP. |
NAV_OE06
========

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF
ESTABLISHMENT}    {STR-DT}
(END-DT)

SERIES: End Date of Insurance from (POLICYHOLDER)’s
(ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO
PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS
SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65]      [Status-25]
[2. First Name,[Middle Name],Last Name-65]      [Status-25]
[3. First Name,[Middle Name],Last Name-65]      [Status-25]

---------------------------------------------------------------------
| ROSTER DETAILS: |
| COL # 1 HEADER: RU MEMBER |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, |
| AND LAST NAMES (PERS.FULLNAME) |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR |
| STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR |
| IS PRESENTED |
---------------------------------------------------------------------

---------------------------------------------------------------------
| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS- |
| TRPLS-ROSTER FOR SELECTION. |
---------------------------------------------------------------------

---------------------------------------------------------------------
| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT |
| DISALLOWED. |
---------------------------------------------------------------------
ROSTER FILTER:
DISPLAY ALL RU MEMBERS SELECTED AT OE18.

CONTINUE WITH OE19 FOR SELECTED RU MEMBER.

OE19

{PERSON’S FIRST MIDDLE AND LAST NAME}    {NAME OF ESTABLISHMENT}    {STR-DT}    {END-DT}

On what date did the health insurance through (ESTABLISHMENT) end for (PERSON)?

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7 \{BOX_13\}
DK .................................... -8 \{BOX_13\}

IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’
(DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’
(REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE19OV

OTHERWISE, GO TO BOX_13

OE19OV

Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ........................... 1 \{BOX_13\}
PART OF THE MONTH ........................ 2 \{BOX_13\}
REF .............................. -7 \{BOX_13\}
DK .................................... -8 \{BOX_13\}

[Code One]
BOX_13
=======

| FLAG INSURANCE FOR PERSON AS ‘CONTINUOUS COVERAGE’ |
| THROUGH THE COMPLETE DATE RECORDED AT OE19 AND    |
| OE19OV.                                          |
|

END_LP06
=======

| CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR-    |
| COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS |
| STATED IN THE LOOP DEFINITION.                   |
|
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS,  |
| END LOOP_06 AND CONTINUE WITH BOX_14             |
|

BOX_14
=====

| IF AT LEAST ONE CURRENT RU MEMBER NOT COVERED BY |
| THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR,|
| (THIS INCLUDES ALL NEW RU MEMBERS AND PREVIOUS RU |
| MEMBERS NOT COVERED BY THIS INSURANCE ON THE     |
| PREVIOUS ROUND’S INTERVIEW DATE, BUT EXCLUDES RU |
| MEMBERS JUST MARKED AS NO LONGER COVERED IN OE18),|
| CONTINUE WITH OE20                                |
|
| OTHERWISE, GO TO OE22A                            |
|
(Since (START DATE)/Between (START DATE) and (END DATE)), have any persons living here, that we have not yet mentioned, been covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT)?

YES ........................................ 1 {OE21}
NO ........................................... 2 {OE22A}
REF ........................................ -7 {OE22A}
DK ........................................... -8 {OE22A}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

-------------------------------------------------------------------
| DISPLAY "Since (START DATE)" IF NOT ROUND 5.                   |
| DISPLAY "Between (START DATE) and (END DATE)" IF ROUND 5.      |
-------------------------------------------------------------------

OE21
====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF
ESTABLISHMENT)  (STR-DT)
(END-DT)

Who (has been/was) covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) (since (START DATE)/between (START DATE) and (END DATE)) that we have not yet mentioned?

PROBE: Any else?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]

-------------------------------------------------------------------
| DISPLAY "has been" AND "since (START DATE)" IF NOT ROUND 5. |
| ROUND 5. DISPLAY "was" AND "between (START DATE) and (END DATE)" |
-------------------------------------------------------------------
WRITE PERSONS SELECTED TO THE RU-ESTB-PLCYHLDRL- 
COVRD-PERS-TRPLS-ROSTER.

----------------------------------------------------

IF ‘PERSON NOT LISTED IN RU’ IS SELECTED, FLAG 
INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR 
AS ‘COVERING PERSON NOT LISTED IN RU’.

----------------------------------------------------

ROSTER DETAILS:
Title: RU_MEMBERS_1

COL #1 HEADER: NAME
INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE 
AND LAST NAMES (PERS.FULLNAME)

----------------------------------------------------

ROSTER DEFINITION:
THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION 
OF RU-MEMBERS.

----------------------------------------------------

ROSTER BEHAVIOR:
1. MULTIPLE SELECT ALLOWED. INTERVIEWER MAY SELECT 
   ONE OR MORE FROM THE LISTED MEMBERS.
2. ADD, DELETE, AND EDIT DISALLOWED.
3. DISPLAY ‘PERSON NOT LISTED IN RU’ AS LAST ENTRY 
   ON THIS ROSTER.

----------------------------------------------------

ROSTER FILTER:
DISPLAY PERSONS WHO WERE NOT COVERED BY THE 
INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR 
ON THE PREVIOUS ROUND’S INTERVIEW DATE.
LOOP_07

-------------------------------
| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD- |
| PERS-TRPLS-ROSTER, ASK NAV_OE07 - END_LP07       |
-------------------------------

-------------------------------
| LOOP DEFINITION: LOOP_07 COLLECTS THE COVERAGE |
| START DATE FOR ALL PERSONS NEWLY COVERED DURING |
| THE CURRENT ROUND BY THE INSURANCE THROUGH THIS |
| ESTABLISHMENT-PERSON-PAIR. THIS LOOP CYCLES ON |
| PERSONS SELECTED AT OE21.                      |
-------------------------------

-------------------------------
| NAVIGATOR DETAILS: LOOP_07 USES NAV_OE07 TO     |
| CONTROL THE FLOW OF THE LOOP.                  |
-------------------------------

NAV_OE07

--------

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}  {NAME OF
ESTABLISHMENT}    {STR-DT}
(END-DT)

SERIES: Begin Date of Insurance from (POLICYHOLDER)’s
(ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO
PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS
SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65]    [Status-25]
[2. First Name,[Middle Name],Last Name-65]    [Status-25]
[3. First Name,[Middle Name],Last Name-65]    [Status-25]
<table>
<thead>
<tr>
<th>ROSTER DETAILS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>COL # 1 HEADER: RU MEMBER</td>
</tr>
<tr>
<td>INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME)</td>
</tr>
<tr>
<td>COL # 2 HEADER: EMPTY</td>
</tr>
<tr>
<td>INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ROSTER DEFINITION:</th>
</tr>
</thead>
<tbody>
<tr>
<td>THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ROSTER BEHAVIOR:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. SELECT ALLOWED.</td>
</tr>
<tr>
<td>2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ROSTER FILTER:</th>
</tr>
</thead>
<tbody>
<tr>
<td>DISPLAY ALL RU MEMBERS SELECTED AT OE21.</td>
</tr>
</tbody>
</table>

| CONTINUE WITH OE22 FOR SELECTED RU MEMBER. |
{PERSON’S FIRST MIDDLE AND LAST NAME}   {NAME OF
ESTABLISHMENT}    {STR-DT}
{END-DT}

On what date did the health insurance through (ESTABLISHMENT)
begin for (PERSON)?

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7
DK .................................... -8

----------------------------------------------------
<p>| IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T|
| KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) |</p>
<table>
<thead>
<tr>
<th>OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE22OV</th>
</tr>
</thead>
<tbody>
<tr>
<td>OTHERWISE, GO TO BOX_15</td>
</tr>
<tr>
<td>----------------------------------------------------</td>
</tr>
</tbody>
</table>

Can you just tell me if (PERSON) was covered under that
insurance the whole month or part of the month?

WHOLE MONTH ...........................  1 {BOX_15}
PART OF THE MONTH ........................  2 {BOX_15}
REF ................................... -7 {BOX_15}
DK .................................... -8 {BOX_15}

[Code One]

HARD CHECK:
COMPLETE DATE AT OE22 MUST BE < THAN COMPLETE DATE|
AT OE15 IF A DATE IS RECORDED AT OE15 OR < THAN |
REFERENCE PERIOD END DATE IF NO DATE IS RECORDED |
AT OE15.
IF FAMILY STILL HAS INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE12 IS CODED '1') (YES), FLAG INSURANCE FOR THIS PERSON AS 'CONTINUOUS COVERAGE' FROM DATE RECORDED AT OE22 UNTIL THE REFERENCE PERIOD END DATE.

IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE12 IS CODED '2') (NO), FLAG INSURANCE FOR THIS PERSON AS 'CONTINUOUS COVERAGE' FROM DATE RECORDED AT OE22 UNTIL DATE RECORDED AT OE15.

CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION.

IF NO OTHER PERSONS MEET THE STATED CONDITIONS, END LOOP_07 AND GO TO BOX_16
OE22A
=====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF
ESTABLISHMENT) (STR-DT)
(END-DT)

(Does/Between (START DATE) and (END DATE), did) (POLICYHOLDER)’s
health coverage through (ESTABLISHMENT) cover as dependents any
persons who do not live here?

YES ........................................ 1 {BOX_16}
NO ....................................... 2 {BOX_16}
REF .................................... -7 {BOX_16}
DK ..................................... -8 {BOX_16}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

--------------------------------------------------------------------------------
| DISPLAY ‘Does’ IF NOT ROUND 5. DISPLAY ‘Between |
| (START DATE) and (END DATE), did’ IF ROUND 5. |
--------------------------------------------------------------------------------

--------------------------------------------------------------------------------
| IF CODED ‘1’ (YES), FLAG INSURANCE THROUGH THIS |
| ESTABLISHMENT-PERSON-PAIR AS ‘COVERING PERSON NOT |
| LISTED IN RU’ IN OE21 |
--------------------------------------------------------------------------------

BOX_16
=====

--------------------------------------------------------------------------------
| IF ONE OR MORE RU MEMBERS ARE STILL COVERED BY THE |
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR |
| ON THE CURRENT ROUND’S INTERVIEW DATE, THAT IS, |
| OE12 IS CODED ‘1’(YES), CONTINUE WITH BOX_16A |
--------------------------------------------------------------------------------

--------------------------------------------------------------------------------
| OTHERWISE, GO TO END_LP05 |
--------------------------------------------------------------------------------
BOX_16A
======

-----------------------------
| IF ROUND 3, CONTINUE WITH OE23A |
-----------------------------

-----------------------------
| OTHERWISE, GO TO OE23         |
-----------------------------

OE23A
=====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}  {NAME OF ESTABLISHMENT} {STR-DT}
{END-DT}

For the coverage through (ESTABLISHMENT), does anyone in the family pay all of the premium or cost, some of the premium or cost, or none of the premium or cost?

[Do not include the cost of any copayments, coinsurance or deductibles anyone in the family may have had to pay.]

[Do include any contribution made to the plan as part of a paycheck.]

YES, PAY ALL OF PREMIUM/COST ........... 1
YES, PAY SOME OF PREMIUM/COST ........... 2
YES, BUT DON’T KNOW IF PAY ALL OR SOME
OF PREMIUM/COST .......................... 3
NO, DO NOT PAY ........................... 4 {OE23AAA}
REF ..................................... -7 {BOX_17AA}
DK ....................................... -8 {BOX_17AA}

[Code One]

HELP AVAILABLE FOR DEFINITION OF PREMIUM/COPAYMENT/COINSURANCE/DEDUCTIBLE.

-----------------------------------------------
| NOTE: THE ESTABLISHMENT NAME WHICH SHOULD BE |
| DISPLAYED HERE FOR THE INSURANCE FROM A      |
| SELF-EMPLOYED-FIRM-SIZE-1 AND INSURANCE FROM |
| DIRECTLY PURCHASED SOURCES, SHOULD BE THE NAME OF |
| THE SOURCE, NOT THE NAME OF THE EMPLOYER OR |
| DIRECTLY PURCHASED CATEGORY.                 |
-----------------------------------------------

240
OE23AA
======

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF ESTABLISHMENT) (STR-DT) (END-DT)

How much (do/does) (POLICYHOLDER) pay for the (ESTABLISHMENT) coverage?

[Enter Amount in Dollars] ..............   {OE23AAOV1}
REF ................................... -7 {BOX_17A}
DK .................................... -8 {BOX_17A}

----------------------------------------------------
<p>| NOTE: THE ESTABLISHMENT NAME WHICH SHOULD BE     |
| DISPLAYED HERE FOR THE INSURANCE FROM A           |
| SELF-EMPLOYED-FIRM-SIZE-1 AND INSURANCE FROM     |
| DIRECTLY PURCHASED SOURCES, SHOULD BE THE NAME OF |
| THE SOURCE, NOT THE NAME OF THE EMPLOYER OR       |</p>
<table>
<thead>
<tr>
<th>DIRECTLY PURCHASED CATEGORY.</th>
</tr>
</thead>
</table>

OE23AAOV1
======

Is that per year, per month, per week, or what?

UNIT OF COVERAGE:

PER YEAR .............................. 1 {BOX_17A}
QUARTERLY/EVERY 3 MONTHS .......... 2 {BOX_17A}
BIMONTHLY/EVERY 2 MONTHS .......... 3 {BOX_17A}
PER MONTH .............................. 4 {BOX_17A}
PER WEEK .............................. 5 {BOX_17A}
BIWEEKLY/EVERY 2 WEEKS ............. 6 {BOX_17A}
SEMI-ANNUALLY/2 TIMES PER YEAR .... 7 {BOX_17A}
SEMI-MONTHLY/2 TIMES PER MONTH ... 8 {BOX_17A}
OTHER ................................. 91 {OE23AAOV2}
REF ................................... -7 {BOX_17A}
DK .................................... -8 {BOX_17A}

[Code One]
OE23AAOV2
========

SPECIFY:

[Enter Other Specify] ..................   {BOX_17A}
REF ................................... -7 {BOX_17A}
DK .................................... -8 {BOX_17A}

BOX_17A
========

-----------------------------------------------------
| IF OE23A IS CODED '1' (YES, PAY ALL OF PREMIUM/     |
| COST), GO TO BOX_17AA                            |
-----------------------------------------------------

-----------------------------------------------------
| OTHERWISE, CONTINUE WITH OE23AAA                  |
-----------------------------------------------------

OE23AAA
======

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}  {NAME OF
ESTABLISHMENT}  {STR-DT}
{END-DT}

Who {else} pays {some of/for} the premium or cost of this insurance?

CHECK ALL THAT APPLY.

FEDERAL GOVERNMENT .................  1
STATE GOVERNMENT .....................  2
LOCAL GOVERNMENT ....................  3
SOME GOVERNMENT .....................  4
EMPLOYER ..............................  5
UNION ................................  6
OTHER .................................. 91
REF ................................... -7 {BOX_17AA}
DK .................................... -8 {BOX_17AA}

[Code All That Apply]
DISPLAY 'else' IF OE23A IS CODED '2' (YES, PAY SOME OF PREMIUM/COST) OR '3' (YES, BUT DON'T KNOW IF PAY ALL OR SOME OF PREMIUM/COST). OTHERWISE, USE A NULL DISPLAY.

DISPLAY 'some of' IF OE23A IS CODED '2' (YES, PAY SOME OF PREMIUM/COST) OR '3' (YES, BUT DON'T KNOW IF PAY ALL OR SOME OF PREMIUM/COST). DISPLAY 'for' IF OE23A IS CODED '4' (NO, DO NOT PAY).

----------------------------------------

FOR SPECIFICATIONS PURPOSES ONLY: CAPI DOES NOT ALLOW -7 OR -8 IN COMBINATION WITH ANY OTHER CODE.

----------------------------------------

IF CODED '91' (OTHER), ALONE OR IN COMBINATION WITH ANY OTHER CODE, CONTINUE WITH OE23AAOV

----------------------------------------

OTHERWISE, GO TO BOX_17AA

----------------------------------------

---

OE23AAOV

=======

SPECIFY:

[Enter Other Specify] .................. {BOX_17AA}
REF ................................... -7 {BOX_17AA}
DK .................................... -8 {BOX_17AA}
| IF INSURANCE BEING ASKED ABOUT PROVIDES MEDICARE | | SUPPLEMENT/MEDIGAP COVERAGE (I.E., HX48 OR OE10 | | OR OE24 OR OE37 WAS CODED '5' (MEDICARE SUPPLEMENT| | /MEDIGAP) EITHER ALONE OR WITH ANY COMBINATION OF | | CODES IN THE PREVIOUS ROUND FOR THIS ESTABLISHMENT| | -PERSON-PAIR), GO TO OE23 |

| OTHERWISE, CONTINUE WITH OE23B |

OE23B

(POLICYHOLDER FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT)
(END-DT)

Is the {family} annual deductible for medical care for this plan less than {$1,200 or $1,200/$2,400 or $2,400} or more? If there is a separate deductible for prescription drugs, hospitalization, or out-of-network care, do not include those deductible amounts here.

LESS THAN {$1,200/$2,400} .................. 1 {OE23}
{$1,200/$2,400} OR MORE ................. 2 {OE23C}
NO ANNUAL DEDUCTIBLE .................. 3 {OE23}
REF ..................................... -7 {OE23}
DK ...................................... -8 {OE23}

[Code One]

HELP AVAILABLE FOR DEFINITION OF ANNUAL DEDUCTIBLE.
<table>
<thead>
<tr>
<th>DISPLAY ‘$1,200 or $1,200’ IN THE QUESTION TEXT AND ‘$1,200’ IN THE RESPONSE CATEGORY OPTIONS IF THE POLICYHOLDER IS THE ONLY COVERED RU MEMBER AND THERE ARE NO DEPENDENTS OUTSIDE THE RU (OE22A IS CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T KNOW)) FOR THE PAIR BEING ASKED ABOUT. OTHERWISE (E.G., AT LEAST ONE RU MEMBER, OTHER THAN THE POLICYHOLDER IS LISTED AS A COVERED PERSON FOR THIS PAIR OR OE22A IS CODED ‘1’ (YES) FOR THIS PAIR OR THE POLICYHOLDER IS NOT IN THE RU), DISPLAY ‘family’ and ‘$2,400 or $2,400’ IN THE QUESTION TEXT AND ‘$2,400’ IN THE RESPONSE CATEGORY OPTIONS.</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER &lt;= 2 AND OE22A IS CODED ‘2’ (NO), ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), THEN DISPLAY ‘1,200 or 1,200’ IN THE QUESTION TEXT AND ‘1,200’ IN THE RESPONSE CATEGORY OPTIONS.</td>
</tr>
<tr>
<td>IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER &lt;= 2 AND OE22A IS CODED ‘1’ (YES), THEN DISPLAY ‘family’ AND ‘2,400 or 2,400’ IN THE QUESTION TEXT AND ‘2,400’ IN THE RESPONSE CATEGORY OPTIONS.</td>
</tr>
<tr>
<td>IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER &gt; 2, THEN DISPLAY ‘family’ AND ‘2,400 or 2,400’ IN THE QUESTION TEXT AND ‘2,400’ IN THE RESPONSE CATEGORY OPTIONS.</td>
</tr>
</tbody>
</table>
With this plan, is there a special account or fund that can be used to pay for medical expenses? The accounts are sometimes referred to as Health Savings Accounts (HSAs), Health Reimbursement Accounts (HRAs), Personal Care accounts, Personal Medical funds, or Choice funds, and are different from Flexible Spending Accounts.

YES .................................... 1 {OE23}
NO ..................................... 2 {OE23}
REF ................................... -7 {OE23}
DK .................................... -8 {OE23}

[Code One]
HELP AVAILABLE FOR DEFINITION OF HEALTH SAVINGS ACCOUNTS (HSAs).

DISPLAY FIRST PARAGRAPH IF THE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR HAD ANY INSURERS FLAGGED AS PROVIDING MEDIGAP OR HOSPITAL/PHYSICIAN BENEFITS AT ANY TIME DURING THE PREVIOUS ROUND.
FOR ‘NAME OF INSURER BEING LOOPED ON’, DISPLAY
THE NAME OF THIS POLICYHOLDER’S CURRENT ROUND’S
PRIVATE OR MEDIGAP INSURER FOR PLAN NAME. THAT IS,
DISPLAY THE NAME OF THE PLAN (PROVIDING MEDICARE
SUPPLEMENT / MEDIGAP BENEFITS OR HOSPITAL/
PHYSICIAN BENEFITS) ENTERED AT HX49, HX51, OE11,
OE25, OE36, OR OE38.

DISPLAY ‘Since (START DATE), has there been’ AND
‘(have/has)’ IF NOT ROUND 5. DISPLAY ‘Between
(START DATE) and (END DATE), ‘was there’ AND ‘had’
IF ROUND 5.

IF CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T
KNOW), FLAG PREVIOUS ROUND’S INSURER AS CURRENT
ROUND’S INSURER FOR THIS ESTABLISHMENT-PERSON-
PAIR.
SHOW CARD OE-1.

Looking at this card, what type of health insurance coverage ((do/does)/did) (POLICYHOLDER) (now) have through (ESTABLISHMENT)’s new plan (as of (END DATE))? 

PROBE: Any other health coverage through this plan?

CHECK ALL THAT APPLY.

HOSPITAL AND PHYSICIAN BENEFITS, INCLUDING COVERAGE THROUGH AN HMO .... 1
DENTAL ........................................ 2
PRESCRIPTION DRUGS ......................... 3
VISION ......................................... 4
MEDICARE SUPPLEMENT/MEDIGAP ............ 5
LONG TERM CARE IN A NURSING HOME ...... 6
EXTRA CASH FOR HOSPITAL STAYS .......... 7
SERIOUS DISEASE OR DREAD DISEASE ...... 8
DISABLED ............................... 9
WORKER’S COMPENSATION ................. 10
ACCIDENT .............................. 11
OTHER ...................................... 91
REF ........................................ -7 {BOX_17}
DK ......................................... -8 {BOX_17}

[Code All That Apply]

HELP AVAILABLE FOR DEFINITION OF ANSWER CATEGORIES.

[NOTE: ‘DISABILITY,’ ‘WORKER’S COMPENSATION,’ AND ‘ACCIDENT’ WILL NOT APPEAR ON THE SHOW CARD.]
FOR SPECIFICATIONS PURPOSES ONLY: CAPI DOES NOT ALLOW ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) IN COMBINATION WITH ANY OTHER CODE.

IF CODED ‘91’ (OTHER), ALONE OR IN COMBINATION WITH ANY OTHER CODES, CONTINUE WITH OE24OV

OTHERWISE, GO TO BOX_17

OE24OV

SPECIFY:

[Enter Other Specify] ................. {BOX_17}
REF ................................... -7 {BOX_17}
DK .................................... -8 {BOX_17}

HELP AVAILABLE FOR DEFINITION OF ANSWER CATEGORIES.


BOX_17

----------------------------------------------------
| IF OE24 IS CODED ‘1’ (HOSPITAL AND PHYSICIAN BENEFITS) OR ‘5’ (MEDICARE SUPPLEMENT/MEDIGAP), ALONE OR WITH ANY OTHER COMBINATION OF CODES, CONTINUE WITH OE25 |
----------------------------------------------------
| OTHERWISE, GO TO END_LP05 |
OE25
====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)   (NAME OF
ESTABLISHMENT)   (STR-DT)
(END-DT)

What is the new plan name for (POLICYHOLDER)’s health insurance
through (ESTABLISHMENT) which provides the {hospital and
physician benefits/Medicare supplement or Medigap benefits}?

IF MORE THAN ONE NAME, PROBE:  What is the main new plan name?

RECORD THE NAME OF THE MAIN INSURER THAT PROVIDES THE {HOSPITAL
AND PHYSICIAN/MEDIGAP} BENEFITS FOR THIS PAIR.

IF RESPONDENT SAYS BOTH INSURANCE COMPANY AND HMO, SELECT ‘HMO’.

NAME OF INSURER: [Enter Insurer] ............
REF ......................... -7
DK ..... ..................... -8

TYPE:

INSURANCE COMPANY ......................... 1 \{LOOP_08\}
HMO .................................... 2 \{LOOP_08\}
SELF-INSURED COMPANY ................... 3 \{LOOP_08\}

[Code One]

HELP AVAILABLE FOR DEFINITION OF INSURANCE CO/HMO/SELF-INSURED CO.
FLAG INSURER(S) COLLECTED AT OE25 AS CURRENT ROUND’S INSURER(S) FOR THIS ESTABLISHMENT-PERSON-PAIR.

IF OE24 IS CODED ‘5’ (MEDICARE SUPPLEMENT/MEDIGAP) FLAG INSURANCE CO./HMO AS ‘SUPPLYING MEDICARE SUPPLEMENT/MEDIGAP BENEFITS (WHICH INCLUDES HOSPITAL/PHYSICIAN BENEFITS)’ FOR THE CURRENT ROUND.

IF OE24 IS CODED ‘1’ (HOSPITAL AND PHYSICIAN BENEFITS), BUT NOT ‘5’ (MEDICARE SUPPLEMENT/MEDIGAP), FLAG INSURANCE CO./HMO AS ‘SUPPLYING HOSPITAL/PHYSICIAN BENEFITS’ FOR THE CURRENT ROUND.

LOOP_08

FOR EACH ELEMENT ON THE RU-ESTAB-PERSON-INSURER-TRIPLES-ROSTER, ASK BOX_17B - END_LP08.

LOOP DEFINITION: LOOP_08 COLLECTS OTHER POLICY NAMES AND MANAGED CARE INFORMATION FOR INSURERS COLLECTED AT OE25. THIS LOOP CYCLES ON TRIPLES THAT MEET THE FOLLOWING CONDITIONS:

- ESTABLISH-PERSON-PAIR PROVIDES THE INSURANCE BEING ASKED ABOUT
- INSURER IS ENTERED AT OE25
BOX_17B

--------

<table>
<thead>
<tr>
<th>IF AN INSURER NAME IS ENTERED AT OE25, CONTINUE WITH OE25AA</th>
</tr>
</thead>
</table>

--------

<table>
<thead>
<tr>
<th>IF INSURER NAME IS CODED '-7' (REF) OR '-8' (DK) AT OE25, GO TO BOX_18A</th>
</tr>
</thead>
</table>

--------

OE25AA

-------

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT) (END-DT)

Is there any other name for the (INSURANCE COMPANY OR HMO NAME) policy, such as Option A, $100 Deductible Plan, 90/80 Plan, Gold Plan, or High Option Plan?

   YES, ANOTHER NAME ...................... 1 (OE25AAOV)
   NO OTHER NAME .......................... 2 (BOX_18A)
   REF ................................... -7 (BOX_18A)
   DK .................................... -8 (BOX_18A)

HELP AVAILABLE FOR DEFINITION OF LOW OPTION/HIGH OPTION.

       [Code One]

--------

| DISPLAY THE NAME OF THE INSURANCE CO/HMO RECORDED IN OE25 WHICH IS BEING LOOPED ON FOR 'INSURANCE...NAME'. |

--------
SPECIFY:

[Enter Policy Name] .................... {BOX_18A}
REF ................................... -7 {BOX_18A}
DK .................................... -8 {BOX_18A}

HELP AVAILABLE FOR DEFINITION OF LOW OPTION/HIGH OPTION.

---

---

| IF INSURER BEING LOOPED ON IS CODED ‘2’ (HMO) IN
| OE25, CONTINUE WITH OE25B
---

---

| OTHERWISE, GO TO BOX_18
---

---

OE25B

---

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF
ESTABLISHMENT} {STR-DT}
{END-DT}

INSURER NAME: {NAME OF INSURER BEING LOOPED ON}

Will (POLICYHOLDER)’s plan pay for any of the costs of
visits to doctors who are not part of (POLICYHOLDER)’s
HMO, even if (POLICYHOLDER) (do/does) not have a referral?

YES ................................. 1 {END_LP08}
NO ................................. 2 {END_LP08}
REF ................................. -7 {END_LP08}
DK ................................. -8 {END_LP08}
ASK THE MANAGED CARE (MC) SECTION FOR THIS INSURER
AT COMPLETION OF MANAGED CARE (MC) SECTION,
CONTINUE WITH END_LP08

CYCLE ON NEXT INSURER IN THE RU-ESTAB-PERSON-
INSURER-TRIPLES-ROSTER THAT MEETS THE CONDITIONS
STATED IN THE LOOP DEFINITION.

IF NO OTHER INSURERS MEET THE STATED CONDITIONS,
END LOOP_08 AND CONTINUE WITH END_LP05

CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-
PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN
THE LOOP DEFINITION.

IF NO OTHER PAIRS MEET THE STATED CONDITIONS,
END LOOP_05 AND CONTINUE WITH BOX_19
BOX_19
======

------------------------------------------------------------------------
<table>
<thead>
<tr>
<th>IF ONE OR MORE OF RU MEMBERS WAS COVERED BY INSURANCE THROUGH A NON-CURRENT EMPLOYER FROM THE PREVIOUS ROUND, AN EMPLOYER FLAGGED AS ‘SELF-EMPLOYED’ WITH A FIRM-SIZE-1, OR A DIRECT PURCHASE SOURCE ON THE PREVIOUS ROUND’S INTERVIEW DATE, THAT IS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>IF ONE OR MORE ESTABLISHMENT-PERSON-PAIRS IN THE RU MEETS THE FOLLOWING CONDITIONS:</td>
</tr>
<tr>
<td>- ESTABLISHMENT IS ONE OF THE FOLLOWING TYPES:</td>
</tr>
<tr>
<td>- FLAGGED AS A DIRECT PURCHASE SOURCE</td>
</tr>
<tr>
<td>- FLAGGED AS AN ‘EMPLOYER’ WITH FIRM-SIZE-1, FLAGGED DURING THE PREVIOUS ROUND AS ‘PROVIDES HEALTH INSURANCE’, OR</td>
</tr>
<tr>
<td>- FLAGGED AS AN ‘EMPLOYER’ WITH FIRM-SIZE-GREATER-Than-1, FLAGGED DURING THE PREVIOUS ROUND AS ‘PROVIDES HEALTH INSURANCE’, AND HAD ONE OF THE FOLLOWING JOB SUBTYPES DURING THE PREVIOUS ROUND:</td>
</tr>
<tr>
<td>- ‘FORMER MAIN WITHIN REFERENCE PERIOD’</td>
</tr>
<tr>
<td>- ‘FORMER MISCELLANEOUS JOB WITHIN REFERENCE PERIOD’</td>
</tr>
<tr>
<td>- ‘LAST JOB OUTSIDE REFERENCE PERIOD’</td>
</tr>
<tr>
<td>- ‘RETIREMENT JOB’</td>
</tr>
<tr>
<td>- PERSON IS OR WAS A JOBHOLDER AT ESTABLISHMENT, IF THE ESTABLISHMENT IS ONE OF THE SECOND 2 TYPES NOTED ABOVE;</td>
</tr>
<tr>
<td>- PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS INSURANCE;</td>
</tr>
<tr>
<td>- THE HEALTH INSURANCE PROVIDED BY ESTABLISHMENT COVERED PERSON ON THE DATE OF THE PREVIOUS ROUND’S INTERVIEW (HQ WAS CODED ‘1’ (WHOLE TIME) OR HQ02 WAS CODED ‘1’ (YES) IN THE PREVIOUS ROUND);</td>
</tr>
<tr>
<td>------------------------------------------------------------------------</td>
</tr>
<tr>
<td>CONTINUE WITH LOOP_09</td>
</tr>
</tbody>
</table>
------------------------------------------------------------------------

------------------------------------------------------------------------
| OTHERWISE, GO TO BOX_29 |
------------------------------------------------------------------------
NOTE: IF POLICYHOLDER WAS NOT PHYSICALLY PRESENT IN THE RU ON THE PREVIOUS ROUND’S INTERVIEW DATE, THE LAST CONDITION IN THE ABOVE BOX CAN BE MET IF AT LEAST ONE DEPENDENT WAS COVERED BY POLICYHOLDER’S INSURANCE ON THE PREVIOUS ROUND’S INTERVIEW DATE. THE LOOP WILL CYCLE ON THE POLICYHOLDER’S NAME.


LOOP_09
=======

FOR EACH ELEMENT IN THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER, ASK NAV_OE09A - END_LP09

LOOP DEFINITION: LOOP_09 COLLECTS INFORMATION ABOUT THE CONTINUATION OF INSURANCE COVERAGE THROUGH A NON-CURRENT EMPLOYER FROM THE PREVIOUS ROUND, AN EMPLOYER FLAGGED AS ‘SELF-EMPLOYED’ WITH A FIRM-SIZE-1, OR A DIRECT PURCHASE SOURCE THAT WAS COLLECTED IN THE PREVIOUS ROUND. THIS LOOP CYCLES ON ESTABLISHMENT-PERSON-PAIRS THAT MEET THE FOLLOWING CONDITIONS:

- ESTABLISHMENT IS ONE OF THE FOLLOWING TYPES:
  - FLAGGED AS A DIRECT PURCHASE SOURCE
  - FLAGGED AS AN ‘EMPLOYER’ WITH FIRM-SIZE-1, FLAGGED DURING THE PREVIOUS ROUND AS ‘PROVIDES HEALTH INSURANCE’, OR
  - FLAGGED AS AN ‘EMPLOYER’ WITH FIRM-SIZE-GREATER-THAN-1, FLAGGED DURING THE PREVIOUS ROUND AS ‘PROVIDES HEALTH INSURANCE’, AND HAD ONE OF THE FOLLOWING JOB SUBTYPES DURING THE PREVIOUS ROUND:
    - ‘FORMER MAIN WITHIN REFERENCE PERIOD’
    - ‘FORMER MISCELLANEOUS JOB WITHIN REFERENCE PERIOD’
    - ‘LAST JOB OUTSIDE REFERENCE PERIOD’
    - ‘RETIREMENT JOB’
- PERSON IS OR WAS A JOBHOLDER AT ESTABLISHMENT, IF THE ESTABLISHMENT IS ONE OF THE SECOND 2 TYPES NOTED ABOVE;
- PERSON IS FLAGGED AS THE POLICYHOLDER OF THIS INSURANCE;
- THE HEALTH INSURANCE PROVIDED BY ESTABLISHMENT COVERED PERSON ON THE DATE OF THE PREVIOUS ROUND’S INTERVIEW (HQ WAS CODED ‘1’ (WHOLE TIME) OR HQ02 WAS CODED ‘1’ (YES) IN THE PREVIOUS ROUND)

NAVIGATOR DETAILS: LOOP_09 USES BOTH NAV_OE09A AND OE09B TO CONTROL THE FLOW OF THE LOOP.
SERIES:  Confirming Insurance Obtained by Someone in the Family in a Previous Round (i.e., probing for who is still covered, any change in plan name, etc.)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

---

<table>
<thead>
<tr>
<th>ROSTER DETAILS:</th>
</tr>
</thead>
<tbody>
<tr>
<td>COL # 1 HEADER: RU MEMBER</td>
</tr>
<tr>
<td>INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME)</td>
</tr>
<tr>
<td>COL # 2 HEADER: EMPTY</td>
</tr>
<tr>
<td>INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED</td>
</tr>
</tbody>
</table>

---

<table>
<thead>
<tr>
<th>ROSTER DEFINITION:</th>
</tr>
</thead>
<tbody>
<tr>
<td>THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION.</td>
</tr>
</tbody>
</table>

---

<table>
<thead>
<tr>
<th>ROSTER BEHAVIOR:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. SELECT ALLOWED.</td>
</tr>
<tr>
<td>2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.</td>
</tr>
</tbody>
</table>
MEPS P15R5/P16R3/P17R1 Old Empl and Private Related Insurance (OE) Section
November 3, 2011

-------------------------------
| ROSTER FILTER:              |
| DISPLAY ALL RU MEMBERS WHO MEET THE CONDITIONS |
| STATED AT THE LOOP_09 DEFINITION. |
-------------------------------

-------------------------------
| CONTINUE WITH NAV_OE09B FOR SELECTED RU MEMBER. |
-------------------------------

NAV_OE09B

------

SERIES: Confirming Insurance Obtained by Someone in the Family in a Previous Round (i.e., probing for who is still covered, any change in plan name, etc.)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED "DONE," USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

**Policyholder...Establishment Providing Insurance**

[1. Policyholder’s Name-30]...[Establishment Name-30] [Status-25]
[2. Policyholder’s Name-30]...[Establishment Name-30] [Status-25]
[3. Policyholder’s Name-30]...[Establishment Name-30] [Status-25]

-------------------------------
| ROSTER DETAILS:            |
| COL # 1 HEADER: POLICYHOLDER...ESTABLISHMENT |
| PROVIDING INSURANCE         |
| INSTRUCTIONS: DISPLAY RU-ESTABLISHMENT-PERSON-|
| PAIR                      |
| COL # 2 HEADER: EMPTY      |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR |
| STATUS FOR EACH PAIR EACH TIME THE NAVIGATOR |
| IS PRESENTED              |
-------------------------------
| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION. |

| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED. |

| ROSTER FILTER: |
| DISPLAY ALL ESTABLISHMENTS THAT MEET THE CONDITIONS STATED AT THE LOOP_09 DEFINITION. |

| CONTINUE WITH BOX_19A FOR SELECTED PAIR. |

BOX_19A
=======

| IF THE POLICYHOLDER OF THIS ESTABLISHMENT-PERSON-PAIR IS FLAGGED AS 'POLICYHOLDER NOT LISTED IN RU (DU)' OR 'POLICYHOLDER DECEASED', CONTINUE WITH OE25A |

| OTHERWISE, GO TO OE26 |

----------------------------------------------------
|----------------------------------------------------|
OE25A

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}    {NAME OF
ESTABLISHMENT}    {STR-DT}
{END-DT}

INTERVIEWER: IF (POLICYHOLDER)’S NAME IS LISTED ON THE
ROSTER BELOW, SELECT IT. IF NOT, SELECT ‘NAME NOT ON ROSTER’
AND CONTINUE.

[1. First Name,[Middle Name],Last Name-35] .
[2. First Name,[Middle Name],Last Name-35] .
[3. First Name,[Middle Name],Last Name-35] .

[Code One]

| IF A DU MEMBER’S NAME IS SELECTED FROM THE |
| ROSTER, REPLACE THIS NAME AS THE CURRENT |
| POLICYHOLDER OF THIS ESTABLISHMENT-PERSON-PAIR. |
| IF ‘NAME NOT ON ROSTER’ SELECTED LEAVE THE |
| POLICYHOLDER NAME OF THIS ESTABLISHMENT-PERSON- |
| PAIR AS IS. |

| ROSTER DETAILS: |
| TITLE: DU_MEMBERS_1 |
| COL # 1 HEADER: NAME |
| INSTRUCTIONS: DISPLAY DU MEMBERS’ FIRST, MIDDLE, |
| AND LAST NAMES (PERS.FULLNAME) |

| ROSTER DEFINITION: |
| THIS ITEMDISPLAYS PERSONS ON THE DU-MEMBERS- |
| ROSTER FOR SELECTION. |

| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND Edit |
|    DISALLOWED. |
| 3. DISPLAY ‘NAME NOT ON ROSTER’ AS LAST ENTRY ON |
|    THIS ROSTER. |
OE26
=====

(POLICYHOLDER'S FIRST MIDDLE LAST NAME)    (NAME OF  
ESTABLISHMENT)    {STR-DT}                    
{END-DT}

Now think about (POLICYHOLDER)'s health insurance through 
(ESTABLISHMENT).  {(Are/Is)/(Were/Was)} (POLICYHOLDER) or anyone in 
the family covered by this insurance as of {today,} (END DATE)? 

YES .................................... 1
NO ..................................... 2 [OE28]
REF ................................... -7 [END_LP09]
DK .................................... -8 [END_LP09]

| DISPLAY '(Are/Is)' IF NOT ROUND 5. DISPLAY  
| '(Was/Were)' IF ROUND 5.                    
| DISPLAY 'today,' IF NOT ROUND 5. OTHERWISE, USE A 
| NULL DISPLAY.                              

| IF CODED '1' (YES) AND THIS ESTABLISHMENT-PERSON-  
| PAIR IS AN ESTABLISHMENT FLAGGED AS 'SELF- 
| EMPLOYED' WITH FIRM-SIZE-1, CONTINUE WITH OE27  

| OTHERWISE (I.E., IF CODED '1' (YES) AND 
| ESTABLISHMENT-PERSON-PAIR IS NOT AN ESTABLISHMENT 
| WITH FIRM-SIZE-1), GO TO BOX_20              

262
OE27
====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}    {NAME OF ESTABLISHMENT}    {STR-DT}    {END-DT}

Is this insurance still through (POLICYHOLDER)’s self-employed business?

YES ............................................. 1 {BOX_20}
NO ............................................. 2 {BOX_20}
REF ............................................ -7 {BOX_20}
DK ............................................. -8 {BOX_20}

HELP AVAILABLE FOR DEFINITION OF SELF-EMPLOYED.

OE28
====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}    {NAME OF ESTABLISHMENT}    {STR-DT}    {END-DT}

On what date did (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) end?

{IF INSURANCE ENDED AFTER 12/31/{YEAR}, BACK-UP TO OE26 AND SELECT ‘YES’.)

[Enter Month-2, Day-2, Year-4] ..........
REF ............................................ -7 {BOX_20}
DK ............................................. -8 {BOX_20}

-----------------------------
| DISPLAY ‘IF INSURANCE ENDED... SELECT ‘YES’.’ IF |
| ROUND 5. OTHERWISE, USE A NULL DISPLAY |
-----------------------------

-----------------------------
| IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T |
| KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) |
| OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE28OV |
-----------------------------

-----------------------------
| OTHERWISE, GO TO BOX_20 |
-----------------------------
Can you just tell me if (POLICYHOLDER) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ..................................... 1 {BOX_20}
PART OF THE MONTH ............................ 2 {BOX_20}
REF .................................. -7 {BOX_20}
DK .................................... -8 {BOX_20}

[Code One]

-----------------------------------------
| IF THE POLICYHOLDER IS THE ONLY PERSON COVERED AT |
| THE PREVIOUS ROUND’S INTERVIEW DATE BY THE |
| INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, |
| AUTOMATICALLY CODE OE29 AS ‘1’ (YES) AND GO TO |
| BOX_21                                            |
-----------------------------------------

-----------------------------------------
| OTHERWISE, CONTINUE WITH OE29           |
-----------------------------------------

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF
ESTABLISHMENT}    {STR-DT}
(END-DT)

{Are/Were} (READ NAMES BELOW) all covered by (POLICYHOLDER)’s health
insurance through (ESTABLISHMENT) {until {{OE28 DATE}/it ended}/on
(END DATE)}?

{PERSON WITH ESTAB-PERSON-PAIR INSURANCE ON PREV RD INTV DT}
{PERSON WITH ESTAB-PERSON-PAIR INSURANCE ON PREV RD INTV DT}
{PERSON WITH ESTAB-PERSON-PAIR INSURANCE ON PREV RD INTV DT}

YES ..................................... 1 {BOX_21}
NO ..................................... 2 {BOX_21}
REF .................................. -7 {BOX_21}
DK .................................... -8 {BOX_21}
- DISPLAY 'Are' IF OE26 IS CODED '1' (YES).
- DISPLAY 'Were' IF OE26 IS CODED '2' (NO) OR IF CURRENT ROUND IS ROUND 5.
- DISPLAY 'until {OE28 DATE}' IF OE26 IS CODED '2' (NO). DISPLAY 'on (END DATE)' IF OE26 IS CODED '1' (YES).
- DISPLAY THE DATE RECORDED AT OE28 FOR 'OE28 DATE'.
- IF THE MONTH OR YEAR FIELD AT OE28 IS CODED '-7' (REFUSED) OR '-8' (DON'T KNOW), DISPLAY 'it ended' FOR 'OE28 DATE'.

---

**ROSTER DETAILS:**
**TITLE:** RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1

**COL # 1 HEADER:** NAME
**INSTRUCTIONS:** DISPLAY COVERED PERSONS' NAMES (PERS.FULLNAME)

---

**ROSTER DEFINITION:**
THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR DISPLAY.

---

**ROSTER BEHAVIOR:**
1. DISPLAY ONLY.
2. SELECT, ADD, DELETE, AND EDIT DISALLOWED.

---

**ROSTER FILTER:**
1. PERSON WAS COVERED AT PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, INCLUDING THE POLICYHOLDER
2. PERSON IS AN RU MEMBER
IF COVERAGE IS CONTINUOUS FROM THE PREVIOUS ROUND TO THE END DATE OF THE CURRENT ROUND, THAT IS:

IF OE26 IS CODED ‘1’ (YES) AND OE29 IS CODED ‘1’ (YES),

FLAG INSURANCE FOR ALL COVERED PERSONS (INCLUDING THE POLICYHOLDER) AS ‘CONTINUOUS COVERAGE’ THROUGH THE REFERENCE PERIOD END DATE AND

GO TO BOX_23

----------------------------------------------------

----------------------------------------------------

IF COVERAGE IS CONTINUOUS FROM THE PREVIOUS ROUND TO PART OF THE CURRENT ROUND, THAT IS:

IF OE26 IS CODED ‘2’ (NO) AND OE29 IS CODED ‘1’ (YES).

FLAG INSURANCE FOR ALL COVERED PERSONS (INCLUDING THE POLICYHOLDER) AS ‘CONTINUOUS COVERAGE’ THROUGH THE DATE RECORDED AT OE28 AND

GO TO BOX_23

----------------------------------------------------

----------------------------------------------------

OTHERWISE (I.E., OE29 CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T KNOW)), CONTINUE WITH OE30

----------------------------------------------------

OE30
====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

Who {is/was} no longer covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) {{until {OE28 DATE}/it ended}/on (END DATE)}?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]
DISPLAY 'is' IF OE26 IS CODED '1' (YES).
DISPLAY 'was' IF OE26 IS CODED '2' (NO) OR IF CURRENT ROUND IS ROUND 5.
DISPLAY 'until {OE28 DATE}' IF OE26 IS CODED '2' (NO).
DISPLAY 'on (END DATE)' IF OE26 IS CODED '1' (YES).

DISPLAY THE DATE RECORDED AT OE28 FOR 'OE28 DATE'.
IF THE MONTH OR YEAR FIELD AT OE28 IS CODED '-7' (REFUSED) OR '-8' (DON'T KNOW), DISPLAY 'it ended' FOR 'OE28 DATE'.

IF FAMILY STILL HAS INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE26 IS CODED '1' (YES)), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED AT OE30 AS 'CONTINUOUS COVERAGE' FROM THE REFERENCE PERIOD START DATE UNTIL THE REFERENCE PERIOD END DATE.

IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE26 IS CODED '2' (NO)), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED AT OE30 AS 'CONTINUOUS COVERAGE' FROM THE REFERENCE PERIOD START DATE UNTIL DATE RECORDED AT OE28.

GO TO LOOP_10

ROSTER DETAILS:
TITLE: RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1

COL # 1 HEADER: NAME
INSTRUCTIONS: DISPLAY COVERED PERSONS' NAMES (PERS.FULLNAME)

ROSTER DEFINITION:
THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION.
ROSTER BEHAVIOR:
1. MULTIPLE SELECT ALLOWED.
2. ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
1. PERSON WAS COVERED AT PREVIOUS ROUND'S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR, INCLUDING THE POLICYHOLDER
2. PERSON IS AN RU MEMBER

LOOP_10
======

FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER, ASK NAV_OE10 - END_LP10

LOOP DEFINITION: LOOP_10 COLLECTS THE DATE ON WHICH THE INSURANCE COVERAGE THROUGH THIS ESTABLISHMENT-PERSON-PAIR ENDED FOR EACH RU MEMBER WHOSE COVERAGE ENDED EITHER PRIOR TO THE REFERENCE PERIOD END DATE OR THE DATE REPORTED IN OE28. THIS LOOP CYCLES ON PERSONS SELECTED AT OE30.

NAVIGATOR DETAILS: LOOP_10 USES NAV_OE10 TO CONTROL THE FLOW OF THE LOOP.
NAV_OE10
========

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)   {NAME OF
ESTABLISHMENT)   {STR-DT}
(END-DT)

SERIES: End Date of Insurance from (POLICYHOLDER)’s
(ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO
PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS
SERIES.

__________________________
RU Member

[1. First Name,[Middle Name],Last Name-65]      [Status-25]
[2. First Name,[Middle Name],Last Name-65]      [Status-25]
[3. First Name,[Middle Name],Last Name-65]      [Status-25]

------------------------------------------------------------------------------
| ROSTER DETAILS: |
| COL # 1 HEADER: RU MEMBER |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, |
| AND LAST NAMES (PERS.FULLNAME) |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR |
| STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR |
| IS PRESENTED |
------------------------------------------------------------------------------

------------------------------------------------------------------------------
| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS- |
| TRPLS-ROSTER FOR SELECTION. |
------------------------------------------------------------------------------

------------------------------------------------------------------------------
| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT |
| DISALLOWED. |
------------------------------------------------------------------------------
<table>
<thead>
<tr>
<th>ROSTER FILTER:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>DISPLAY ALL RU MEMBERS SELECTED AT OE30.</td>
<td></td>
</tr>
</tbody>
</table>

| CONTINUE WITH OE31 FOR SELECTED RU MEMBER. |

---

OE31

{PERSON’S FIRST MIDDLE AND LAST NAME}  {NAME OF ESTABLISHMENT}  {STR-DT}  {END-DT}

On what date did the health insurance through (ESTABLISHMENT) end for (PERSON)?

[Enter Month-2, Day-2, Year-4] .........  {OE31OV}

REF ............................... -7  {BOX_22}

DK .................................... -8  {BOX_22}

---

IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE31OV  

---

IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE31OV  

OTHERWISE, GO TO BOX_22

---

OE31OV

---

Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ...........................  1  {BOX_22}

PART OF THE MONTH ..........................  2  {BOX_22}

REF ............................... -7  {BOX_22}

DK .................................... -8  {BOX_22}

(Code One)
BOX_22
=======

<table>
<thead>
<tr>
<th></th>
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</thead>
<tbody>
<tr>
<td>FLAG INSURANCE FOR PERSON AS 'CONTINUOUS COVERAGE'</td>
</tr>
<tr>
<td>THROUGH THE COMPLETE DATE RECORDED AT OE31 AND</td>
</tr>
<tr>
<td>OE31OV.</td>
</tr>
</tbody>
</table>

END_LP10
=======

<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR-</td>
</tr>
<tr>
<td>COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS</td>
</tr>
<tr>
<td>STATED IN THE LOOP DEFINITION.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>IF NO OTHER PERSONS MEET THE STATED CONDITIONS,</td>
</tr>
<tr>
<td>END LOOP_10 AND CONTINUE WITH BOX_23</td>
</tr>
</tbody>
</table>

BOX_23
=======

<table>
<thead>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>IF AT LEAST ONE CURRENT RU MEMBER NOT COVERED BY</td>
</tr>
<tr>
<td>THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR,</td>
</tr>
<tr>
<td>(THIS INCLUDES ALL NEW RU MEMBERS AND PREVIOUS RU</td>
</tr>
<tr>
<td>MEMBERS NOT COVERED BY THIS INSURANCE ON THE</td>
</tr>
<tr>
<td>PREVIOUS ROUND’S INTERVIEW DATE, BUT EXCLUDES RU</td>
</tr>
<tr>
<td>MEMBERS JUST MARKED AS NO LONGER COVERED IN OE30),</td>
</tr>
<tr>
<td>CONTINUE WITH OE32</td>
</tr>
</tbody>
</table>

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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>OTHERWISE, GO TO OE34A</td>
</tr>
</tbody>
</table>

271
(Since (START DATE)/Between (START DATE) and (END DATE)), have any persons living here, we have not yet mentioned, been covered by (POLICYHOLDER)'s health insurance through (ESTABLISHMENT)?

YES ...................................... 1 {OE33}
NO ...................................... 2 {OE34A}
REF ...................................... -7 {OE34A}
DK ...................................... -8 {OE34A}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

| DISPLAY 'Since (START DATE)' IF NOT ROUND 5. | DISPLAY 'Between (START DATE) and (END DATE)' IF ROUND 5. |

Who has been/was covered by (POLICYHOLDER)'s health insurance through (ESTABLISHMENT) since (START DATE)/between (START DATE) and (END DATE) that we have not yet mentioned?

PROBE: Anyone else?

[1. First Name, [Middle Name], Last Name-65]  
[2. First Name, [Middle Name], Last Name-65]  
[3. First Name, [Middle Name], Last Name-65]

| DISPLAY 'has been' AND 'since (START DATE)' IF NOT ROUND 5. |
| DISPLAY 'was' AND 'between (START DATE) and (END DATE)' IF ROUND 5. |
WRITE PERSONS SELECTED TO THE RU-ESTB-PLCYHLD- 
COVRD-PERS-TRPLS-ROSTER.

----------------------------------------------------

IF ‘PERSON NOT LISTED IN RU’ IS SELECTED, FLAG 
INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR 
AS ‘COVERING PERSON NOT LISTED IN RU’.

----------------------------------------------------

GO TO LOOP_11

---

ROSTER DETAILS:
TITLE: RU_MEMBERS_1

COL # 1 HEADER: NAME
INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, 
AND LAST NAMES (PERS.FULLNAME)

---

ROSTER DEFINITION:
THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION 
OF RU-MEMBERS.

---

ROSTER BEHAVIOR:
1. MULTIPLE SELECT ALLOWED. INTERVIEWER MAY 
SELECT ONE OR MORE FROM THE LISTED MEMBERS.
2. ADD, DELETE, AND EDIT DISALLOWED.
3. DISPLAY ‘PERSON NOT LISTED IN RU’ AS LAST ENTRY 
ON THIS ROSTER.

---

ROSTER FILTER:
DISPLAY PERSONS WHO WERE NOT COVERED BY THE 
INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR 
ON THE PREVIOUS ROUND’S INTERVIEW DATE.
LOOP_11

| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLD-COVRD-PERS-TRPLS-ROSTER, ASK NAV_OE11 - END_LP11 |

| LOOP DEFINITION: LOOP_11 COLLECTS THE COVERAGE START DATE FOR ALL PERSONS NEWLY COVERED DURING THE CURRENT ROUND BY THE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR. THIS LOOP CYCLES ON PERSONS SELECTED AT OE33. |

| NAVIGATOR DETAILS: LOOP_11 USES NAV_OE11 TO CONTROL THE FLOW OF THE LOOP. |

NAV_OE11

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

SERIES: Begin Date of Insurance from (POLICYHOLDER)’s (ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65] [Status-25]
[2. First Name,[Middle Name],Last Name-65] [Status-25]
[3. First Name,[Middle Name],Last Name-65] [Status-25]
ROSTER DETAILS:
COL # 1 HEADER: RU MEMBER
INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME)
COL # 2 HEADER: EMPTY
INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED

ROSTER DEFINITION:
THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION.

ROSTER BEHAVIOR:
1. SELECT ALLOWED.
2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
DISPLAY ALL RU MEMBERS SELECTED AT OE33.

CONTINUE WITH OE34 FOR SELECTED RU MEMBER.

OE34
====

(Person’s First Middle And Last Name)   (Name Of Establishment)   (Str-Dt)
(End-Dt)

On what date did the health insurance through (Establishment) begin for (Person)?

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7 {BOX_24}
DK .................................... -8 {BOX_24}
| IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE34OV |

| OTHERWISE, GO TO BOX_24 |

OE34OV
=====
Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ........................... 1 {BOX_24}
PART OF THE MONTH ........................ 2 {BOX_24}
REF ................................... -7 {BOX_24}
DK .................................... -8 {BOX_24}

[Code One]

| HARD CHECK: |
| COMPLETE DATE AT OE34 MUST BE < THAN COMPLETE DATE AT OE28 IF A DATE IS RECORDED AT OE28 OR < THAN REFERENCE PERIOD END DATE IF NO DATE IS RECORDED AT OE28. |

BOX_24
=====

| IF FAMILY STILL HAS INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE26 IS CODED ‘1’ (YES)), FLAG INSURANCE FOR THIS PERSON AS ‘CONTINUOUS COVERAGE’ FROM DATE RECORDED AT OE34 UNTIL THE REFERENCE PERIOD END DATE. |
| IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH | |
| THIS ESTABLISHMENT-PERSON-PAIR (OE26 IS CODED ‘2’ (NO)), FLAG INSURANCE FOR THIS PERSON AS | |
| ‘CONTINUOUS COVERAGE’ FROM DATE RECORDED AT OE34 | |
| UNTIL DATE RECORDED AT OE28. |

END_LP11
=====

| CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR- | |
| COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS | |
| STATED IN THE LOOP DEFINITION. |

| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, | |
| END LOOP_11 AND CONTINUE WITH BOX_25 |

OE34A
=====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT}
{END-DT}

(Does/Between (START DATE) and (END DATE), did) (POLICYHOLDER)’s health coverage through (ESTABLISHMENT) cover as dependents any persons who do not live here?

YES .................................... 1 {BOX_25}
NO ..................................... 2 {BOX_25}
REF ................................... -7 {BOX_25}
DK .................................... -8 {BOX_25}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

<p>| DISPLAY ’Does’ IF NOT ROUND 5. DISPLAY ’Between | |
| (START DATE) and (END DATE), did’ IF ROUND 5. | |
| IF CODED ‘1’ (YES), FLAG INSURANCE THROUGH THIS |
| ESTABLISHMENT-PERSON-PAIR AS ‘COVERING PERSON NOT |</p>
<table>
<thead>
<tr>
<th>LISTED IN RU’ IN OE33</th>
</tr>
</thead>
</table>

BOX_25
======

<p>| IF ONE OR MORE RU MEMBERS ARE STILL COVERED BY THE|
| INSURANCE THROUGH THE ESTABLISHMENT-PERSON-PAIR ON|
| THE CURRENT ROUND’S INTERVIEW DATE, THAT IS, OE26 |</p>
<table>
<thead>
<tr>
<th>IS CODED ‘1’ (YES), CONTINUE WITH BOX_25A</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>OTHERWISE, GO TO END_LP09</th>
</tr>
</thead>
</table>

BOX_25A
======

<table>
<thead>
<tr>
<th>IF ROUND 3, CONTINUE WITH OE35A</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>OTHERWISE, GO TO OE35</th>
</tr>
</thead>
</table>

-----------------------------------------------
OE35A

{POLICYHOLDER'S FIRST MIDDLE LAST NAME}  {NAME OF
ESTABLISHMENT} {STR-DT}
{END-DT}

For the coverage through (ESTABLISHMENT), does anyone in the
family pay all of the premium or cost, some of the premium or
cost, or none of the premium or cost?

[Do not include the cost of any copayments, coinsurance or
deductibles anyone in the family may have had to pay.]

[Do include any contribution made to the plan as part of a
paycheck.]

YES, PAY ALL OF PREMIUM/COST ........... 1 {OE35AA}
YES, PAY SOME OF PREMIUM/COST .......... 2 {OE35AA}
YES, BUT DON'T KNOW IF PAY ALL OR SOME
OF PREMIUM/COST ........................ 3 {OE35AA}
NO, DO NOT PAY ............................ 4 {OE35AAA}
REF ................................... -7 {BOX_26AA}
DK .................................... -8 {BOX_26AA}

[Code One]

HELP AVAILABLE FOR DEFINITION OF PREMIUM/COPAYMENT/COINSURANCE/DEDUCTIBLE.

----------------------------------------
| NOTE: THE ESTABLISHMENT NAME WHICH SHOULD BE |
| DISPLAYED HERE FOR THE INSURANCE FROM A |
| SELF-EMPLOYED-FIRM-SIZE-1 AND INSURANCE FROM |
| DIRECTLY PURCHASED SOURCES, SHOULD BE THE NAME OF |
| THE SOURCE, NOT THE NAME OF THE EMPLOYER OR |
| DIRECTLY PURCHASED CATEGORY. |
----------------------------------------
OE35AA
=======

{POLICYHOLDER'S FIRST MIDDLE LAST NAME}  {NAME OF ESTABLISHMENT} (STR-DT)
{END-DT}

How much (do/does) (POLICYHOLDER) pay for the (ESTABLISHMENT) coverage?

[Enter Amount in Dollars] .............. {OE35AAOV1}
REF ................................... -7 {BOX_26A}
DK .................................... -8 {BOX_26A}

----------------------------------------------------
| NOTE: THE ESTABLISHMENT NAME WHICH SHOULD BE     |
| DISPLAYED HERE FOR THE INSURANCE FROM A           |
| SELF-EMPLOYED-FIRM-SIZE-1 AND INSURANCE FROM     |
| DIRECTLY PURCHASED SOURCES, SHOULD BE THE NAME OF |
| THE SOURCE, NOT THE NAME OF THE EMPLOYER OR       |
| DIRECTLY PURCHASED CATEGORY.                     |
----------------------------------------------------

OE35AAOV1
========

Is that per year, per month, per week, or what?

UNIT OF COVERAGE:

PER YEAR ............................... 1 {BOX_26A}
QUARTERLY/EVERY 3 MONTHS ............... 2 {BOX_26A}
BIMONTHLY/EVERY 2 MONTHS ............... 3 {BOX_26A}
PER MONTH .............................. 4 {BOX_26A}
PER WEEK .................................. 5 {BOX_26A}
BIWEEKLY/EVERY 2 WEEKS ................. 6 {BOX_26A}
SEMI-ANNUALLY/2 TIMES PER YEAR ........ 7 {BOX_26A}
SEMI-MONTHLY/2 TIMES PER MONTH ........ 8 {BOX_26A}
OTHER ................................. 91 {OE35AAOV2}
REF ................................... -7 {BOX_26A}
DK .................................... -8 {BOX_26A}

[Code One]
OE35AAOV2

=====

SPECIFY:

[Enter Other Specify] ..................   {BOX_26A}
REF ................................... -7 {BOX_26A}
DK .................................... -8 {BOX_26A}

BOX_26A

=====

-----------------------------------------------------
| IF OE35A IS CODED '1' (YES, PAY ALL OF PREMIUM/   |
| COST), GO TO BOX_26AA                          |
-----------------------------------------------------

-----------------------------------------------------
| OTHERWISE, CONTINUE WITH OE35AAA                |
-----------------------------------------------------

OE35AAA

=====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF
ESTABLISHMENT)  {STR-DT}
{END-DT}

Who {else} pays {some of/for} the premium or cost of this
insurance?

CHECK ALL THAT APPLY.

FEDERAL GOVERNMENT ...................... 1
STATE GOVERNMENT ....................... 2
LOCAL GOVERNMENT ....................... 3
SOME GOVERNMENT ......................... 4
EMPLOYER .................................. 5
UNION ..................................... 6
OTHER ...................................... 91 {OE35AAA0V}
REF ....................................... -7 {BOX_26AA}
DK ......................................... -8 {BOX_26AA}

[Code All That Apply]
| DISPLAY 'else' IF OE35A IS CODED '2' (YES, PAY SOME OF PREMIUM/COST) OR '3' (YES, BUT DON'T KNOW IF PAY ALL OR SOME OF PREMIUM/COST). OTHERWISE, USE A NULL DISPLAY |
| DISPLAY 'some of' IF OE35A IS CODED '2' (YES, PAY SOME OF PREMIUM/COST) OR '3' (YES, BUT DON'T KNOW IF PAY ALL OR SOME OF PREMIUM/COST). DISPLAY 'for' IF OE35A IS CODED '4' (NO, DO NOT PAY). |

FOR SPECIFICATIONS PURPOSES ONLY: CAPI DOES NOT ALLOW '-7' (REFUSED) OR '-8' (DON'T KNOW) IN COMBINATION WITH ANY OTHER CODE.

| IF CODED '91' (OTHER), ALONE OR IN COMBINATION WITH ANY OTHER CODE, CONTINUE WITH OE35AA00V |

| OTHERWISE, GO TO BOX_26AA |

OE35AA00V ======

SPECIFY:

[Enter Other Specify] ................. {BOX_26AA}
REF ..................................... -7 {BOX_26AA}
DK ....................................... -8 {BOX_26AA}
| IF INSURANCE BEING ASKED ABOUT PROVIDES MEDICARE SUPPLEMENT/MEDIGAP COVERAGE (I.E., HX48 OR OE10 OR OE24 OR OE37 WAS CODED '5' (MEDICARE SUPPLEMENT/MEDIGAP) EITHER ALONE OR WITH ANY COMBINATION OF CODES IN THE PREVIOUS ROUND FOR THIS ESTABLISHMENT -PERSON-PAIR), GO TO OE35 |

----------------------------------------------------

| OTHERWISE, CONTINUE WITH OE35B |

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---

**OE35B**

---

{POLICYHOLDER FIRST MIDDLE LAST NAME}  {NAME OF ESTABLISHMENT}  {STR-DT}  {END-DT}

Is the {family} annual deductible for medical care for this plan less than {$1,200 or $1,200/$2,400 or $2,400} or more? If there is a separate deductible for prescription drugs, hospitalization, or out-of-network care, do not include those deductible amounts here.

LESS THAN {$1,200/$2,400} ............ 1 {OE35}
{1,200/$2,400} OR MORE ............ 2 {OE35C}
NO ANNUAL DEDUCTIBLE ............ 3 {OE35}
REF ................................ 7 {OE35}
DK ................................ 8 {OE35}

[Code One]

HELP AVAILABLE FOR DEFINITION OF ANNUAL DEDUCTIBLE.
DISPLAY ‘$1,200 or $1,200’ IN THE QUESTION TEXT AND ‘$1,200’ IN THE RESPONSE CATEGORY OPTIONS IF THE POLICYHOLDER IS THE ONLY COVERED RU MEMBER AND THERE ARE NO DEPENDENTS OUTSIDE THE RU (OE34A IS CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T KNOW)) FOR THE PAIR BEING ASKED ABOUT. OTHERWISE (E.G., AT LEAST ONE RU MEMBER, OTHER THAN THE POLICYHOLDER IS LISTED AS A COVERED PERSON FOR THIS PAIR OR OE34A IS CODED ‘1’ (YES) FOR THIS PAIR OR THE POLICYHOLDER IS NOT IN THE RU), DISPLAY ‘family’ and ‘$2,400 or $2,400’ IN THE QUESTION TEXT AND ‘$2,400’ IN THE RESPONSE CATEGORY OPTIONS.

IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER <= 2 AND OE34A IS CODED ‘2’ (NO), ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), THEN DISPLAY ‘1,200 or 1,200’ IN THE QUESTION TEXT AND ‘1,200’ IN THE RESPONSE CATEGORY OPTIONS.

IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER <= 2 AND OE34A IS CODED ‘1’ (YES), THEN DISPLAY ‘family’ AND ‘2,400 or 2,400’ IN THE QUESTION TEXT AND ‘2,400’ IN THE RESPONSE CATEGORY OPTIONS.

IF POLICYHOLDER IS FLAGGED AS ‘DECEASED’ AND THE NUMBER OF COVERED PERSONS ON RU-ESTB-PLCYHLDR-CVRD-PERS-TRPLS-ROSTER > 2, THEN DISPLAY ‘family’ AND ‘2,400 or 2,400’ IN THE QUESTION TEXT AND ‘2,400’ IN THE RESPONSE CATEGORY OPTIONS.
With this plan, is there a special account or fund that can be used to pay for medical expenses? The accounts are sometimes referred to as Health Savings Accounts (HSAs), Health Reimbursement Accounts (HRAs), Personal Care accounts, Personal Medical funds, or Choice funds, and are different from Flexible Spending Accounts.

YES .................................... 1 {OE35}
NO ..................................... 2 {OE35}
REF ................................... -7 {OE35}
DK .................................... -8 {OE35}

[Code One]
HELP AVAILABLE FOR DEFINITION OF HEALTH SAVINGS ACCOUNTS (HSAs).

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}    {NAME OF ESTABLISHMENT}    {STR-DT}
{END-DT}

{Last time we recorded that (POLICYHOLDER) (were/was) covered by (INSURER OF ESTAB-PERS-INSURER TRIPLE ON PREV RD INT DT).}

{Since (START DATE), has there been/Between (START DATE) and (END DATE), was there} any change in the plan name of the health insurance (POLICYHOLDER) {(have/has)/had} through (ESTABLISHMENT)?

YES .................................... 1
NO ..................................... 2 {END_LP09}
REF ................................... -7 {END_LP09}
DK .................................... -8 {END_LP09}
| FOR ‘NAME OF INSURER BEING LOOPED ON’, DISPLAY |
| THE NAME OF THIS POLICYHOLDER’S CURRENT ROUND’S |
| PRIVATE OR MEDIGAP INSURER FOR PLAN NAME. THAT IS,|
| DISPLAY THE NAME OF THE PLAN (PROVIDING MEDICARE |
| SUPPLEMENT / MEDIGAP BENEFITS OR HOSPITAL/ |
| PHYSICIAN BENEFITS) ENTERED AT HX49, HX51, OE11, |
| OE25, OE36, OR OE38. |

| DISPLAY ‘Since (START DATE), has there been’ AND |
| ‘(have/has)’ IF NOT ROUND 5. DISPLAY ‘Between |
| (START DATE) and (END DATE), was there’ AND ‘had’ |
| IF ROUND 5. |

| IF CODED ‘2’ (NO), ‘-7’ (REFUSED), OR ‘-8’ (DON’T |
| KNOW), FLAG PREVIOUS ROUND’S INSURER AS CURRENT |
| ROUND’S INSURER FOR THIS ESTABLISHMENT-PERSON- |
| PAIR. |

| IF CODED ‘1’ (YES) AND ESTABLISHMENT IS FLAGGED AS |
| AN ‘INSURANCE CO.’, ‘INSURANCE CO.-FROM AGENT’, OR |
| ‘HMO’, CONTINUE WITH OE36 |

| IF CODED ‘1’ (YES) AND ESTABLISHMENT IS NOT |
| FLAGGED AS AN ‘INSURANCE CO.’, ‘INSURANCE CO.-FROM |
| AGENT’, OR ‘HMO’, GO TO OE37 |
What is the new plan name of (POLICYHOLDER)’s health insurance through (ESTABLISHMENT)?

[Enter Plan Name/Establishment Name] .............. {OE37}
SHOW CARD OE-1.

Looking at this card, what type of health insurance coverage (do/does/did) (POLICYHOLDER) now have through (ESTABLISHMENT)’s new plan as of (END DATE)?

PROBE: Any other health coverage through this plan?

CHECK ALL THAT APPLY.

<table>
<thead>
<tr>
<th>HOSPITAL AND PHYSICIAN BENEFITS, INCLUDING COVERAGE THROUGH AN HMO</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>DENTAL</td>
<td>2</td>
</tr>
<tr>
<td>PRESCRIPTION DRUGS</td>
<td>3</td>
</tr>
<tr>
<td>VISION</td>
<td>4</td>
</tr>
<tr>
<td>MEDICARE SUPPLEMENT/MEDIGAP</td>
<td>5</td>
</tr>
<tr>
<td>LONG TERM CARE IN A NURSING HOME</td>
<td>6</td>
</tr>
<tr>
<td>EXTRA CASH FOR HOSPITAL STAYS</td>
<td>7</td>
</tr>
<tr>
<td>SERIOUS DISEASE OR DREAD DISEASE</td>
<td>8</td>
</tr>
<tr>
<td>DISABILITY</td>
<td>9</td>
</tr>
<tr>
<td>WORKER’S COMPENSATION</td>
<td>10</td>
</tr>
<tr>
<td>ACCIDENT</td>
<td>11</td>
</tr>
<tr>
<td>OTHER</td>
<td>91 [OE37OV]</td>
</tr>
<tr>
<td>REF</td>
<td>-7 [BOX_26]</td>
</tr>
<tr>
<td>DK</td>
<td>-8 [BOX_26]</td>
</tr>
</tbody>
</table>

[Code All That Apply]

HELP AVAILABLE FOR DEFINITION OF ANSWER CATEGORIES.

[NOTE: ‘DISABILITY,’ ‘WORKER’S COMPENSATION,’ AND ‘ACCIDENT’ WILL NOT APPEAR ON THE SHOW CARD.]

---------------------------------------------
| DISPLAY `(do/does)` IF NOT ROUND 5. DISPLAY `did` |
| IF ROUND 5. |
| |
| DISPLAY `now` IF NOT ROUND 5. OTHERWISE, USE A |
| NULL DISPLAY. |
| |
| DISPLAY `as of (END DATE)` IF ROUND 5. |
| OTHERWISE, USE A NULL DISPLAY. |
---------------------------------------------
FOR SPECIFICATIONS PURPOSES ONLY: CAPI DOES NOT ALLOW '-7' (REFUSED) OR '-8' (DON'T KNOW) IN COMBINATION WITH ANY OTHER CODE.

IF CODED '91' (OTHER), ALONE OR IN COMBINATION WITH ANY OTHER CODES, CONTINUE WITH OE37OV

OTHERWISE, GO TO BOX_26

SPECIFY:

[Enter Other Specify] ................. {BOX_26}
REF ............................. -7 {BOX_26}
DK .............................. -8 {BOX_26}

HELP AVAILABLE FOR DEFINITION OF ANSWER CATEGORIES.

IF OE37 IS CODED '1' (HOSPITAL AND PHYSICIAN BENEFITS) OR '5' (MEDICARE SUPPLEMENT/MEDIGAP), ALONE OR WITH ANY OTHER COMBINATION OF CODES, CONTINUE WITH BOX_27

OTHERWISE, GO TO END_LP09
| IF ESTABLISHMENT ALREADY FLAGGED AS ‘INSURANCE CO’, ‘INSURANCE CO.-FROM AGENT’, OR ‘HMO’, AUTOMATICALLY CODE OE38 WITH APPROPRIATE RESPONSES | AND GO TO LOOP_12 |}

| OTHERWISE, CONTINUE WITH OE38 |

OE38

(POLICYHOLDER’S FIRST MIDDLE LAST NAME)   {NAME OF ESTABLISHMENT}   {STR-DT}
(END-DT)

What is the new plan name for (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) which provides the (hospital and physician benefits/Medicare supplement or Medigap benefits)?

IF MORE THAN ONE NAME, PROBE:  What is the main new plan name?

RECORD THE NAME OF THE MAIN INSURER THAT PROVIDES THE (HOSPITAL AND PHYSICIAN/MEDIGAP) BENEFITS FOR THIS PAIR.

IF RESPONDENT SAYS BOTH INSURANCE COMPANY AND HMO, SELECT ‘HMO’.

NAME OF INSURER: [Enter Insurer] ...........
REF ......................... -7
DK ..... .................. -8

TYPE:

| INSURANCE COMPANY ......................... 1 {LOOP_12} |
| HMO ....................................... 2 {LOOP_12} |
| SELF-INSURED COMPANY ..................... 3 {LOOP_12} |

[Code One]

HELP AVAILABLE FOR DEFINITION OF INSURANCE CO/HMO/SELF-INSURED CO.
DISPLAY 'hospital and physician benefits' AND 'HOSPITAL AND PHYSICIAN' IF OE37 IS CODED '1' (HOSPITAL AND PHYSICIAN BENEFITS), BUT NOT CODED '5' (MEDICARE SUPPLEMENT/MEDIGAP).
DISPLAY 'Medicare supplement or Medigap benefits' AND 'MEDIGAP' IF OE37 IS CODED '5' (MEDICARE SUPPLEMENT/MEDIGAP).

WRITE INSURER(S) TO THE RU-ESTAB-PERSON-INSURER-TRIPLES-ROSTER FOR THE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR.

FLAG INSURER(S) COLLECTED AT OE38 AS CURRENT ROUND'S INSURER(S) FOR THIS ESTABLISHMENT-PERSON-PAIR.

IF OE37 IS CODED '5' (MEDICARE SUPPLEMENT/MEDIGAP) FLAG INSURANCE CO./HMO AS 'SUPPLYING MEDICARE SUPPLEMENT/MEDIGAP BENEFITS (WHICH INCLUDES HOSPITAL/PHYSICIAN BENEFITS)' FOR THE CURRENT ROUND.

IF OE37 IS CODED '1' (HOSPITAL AND PHYSICIAN BENEFITS), BUT NOT '5' (MEDICARE SUPPLEMENT/MEDIGAP), FLAG INSURANCE CO./HMO AS 'SUPPLYING HOSPITAL/PHYSICIAN BENEFITS' FOR THE CURRENT ROUND.
LOOP_12
-------

| FOR EACH ELEMENT ON THE RU-ESTAB-PERSON-INSURER-TRIPLES-ROSTER, ASK BOX_27A - END_LP12. |

LOOP DEFINITION: LOOP_12 COLLECTS OTHER POLICY NAMES AND MANAGED CARE INFORMATION FOR INSURERS COLLECTED AT OE38. THIS loop CYCLES ON TRIPLES THAT MEET THE FOLLOWING CONDITIONS:

- ESTABLISHMENT-PERSON-PAIR PROVIDES THE INSURANCE BEING ASKED ABOUT
- INSURER IS ENTERED AT OE38

BOX_27A
-------

| IF AN INSURER NAME IS ENTERED AT OE38, CONTINUE WITH OE38A |

| IF INSURER NAME IS CODED ‘-7’ (REF) OR ‘-8’ (DK) AT OE38, GO TO BOX_28A |
Is there any other name for the INSURANCE COMPANY OR HMO NAME policy, such as Option A, $100 Deductible Plan, 90/80 Plan, Gold Plan, or High Option Plan?

YES, ANOTHER NAME ...................... 1 {OE38AOV}
NO OTHER NAME .......................... 2 {BOX_28A}
REF ................................... -7 {BOX_28A}
DK .................................... -8 {BOX_28A}

HELP AVAILABLE FOR DEFINITION OF LOW OPTION/HIGH OPTION.

[Code One]

----------------------------------------------------
| DISPLAY THE NAME OF THE INSURANCE CO/HMO         |
| RECORDED IN OE38 WHICH IS BEING LOOPED ON         |
| FOR 'INSURANCE NAME'.                             |
----------------------------------------------------

SPECIFY:

[Enter Policy Name] ....................   {BOX_28A}
REF ................................... -7 {BOX_28A}
DK .................................... -8 {BOX_28A}

HELP AVAILABLE FOR DEFINITION OF LOW OPTION/HIGH OPTION.
Will (POLICYHOLDER)’s plan pay for any of the costs of visits to doctors who are not part of (POLICYHOLDER)’s HMO, even if (POLICYHOLDER) (do/does) not have a referral?

YES .................................... 1 {END_LP12}
NO ..................................... 2 {END_LP12}
REF ................................... -7 {END_LP12}
DK .................................... -8 {END_LP12}

---

| ASK THE MANAGED CARE (MC) SECTION FOR THIS INSURER |
| AT COMPLETION OF MANAGED CARE (MC) SECTION, |
| CONTINUE WITH END_LP12 |
---

| CYCLE ON NEXT INSURER IN THE RU-ESTAB-PERSON- |
| INSURER-TRIPLES-ROSTER THAT MEETS THE CONDITIONS |
| STATED IN THE LOOP DEFINITION. |
---

| IF NO OTHER INSURERS MEET THE STATED CONDITIONS, |
| END LOOP_12 AND CONTINUE WITH END_LP09 |
---
END_LP09

----------------------------------------------------
| CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-|  
| PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN  |  
| THE LOOP DEFINITION.                             |  
----------------------------------------------------

----------------------------------------------------
| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |  
| LOOP_09 AND CONTINUE WITH BOX_29                  |  
----------------------------------------------------

BOX_29

----------------------------------------------------
| IF ONE OR MORE RU MEMBERS WAS A COVERED PERSON BY |  
| AN ESTABLISHMENT-PERSON-PAIR ON THE PREVIOUS     |  
| ROUND’S INTERVIEW DATE WHERE THE ESTABLISHMENT IS |  
| A PRIVATE SOURCE OF INSURANCE AND THE POLICYHOLDER|  
| IS FLAGGED AS ‘POLICYHOLDER/DEPENDENT IN DIFFERENT|  
| RUS’ AT THE CURRENT ROUND’S INTERVIEW DATE,       |  
| CONTINUE WITH LOOP_13                             |  
----------------------------------------------------

----------------------------------------------------
| OTHERWISE, GO TO BOX_33                           |  
----------------------------------------------------

----------------------------------------------------
| NOTE: WHEN A POLICYHOLDER LEAVES AN RU, WE WILL   |  
| NEVER ASK RJ AND THAT POLICYHOLDER WILL NEVER    |  
| QUALIFY FOR LOOPS 01, 05, OR 09. WE CREATED A    |  
| NEW LOOP, LOOP_13 THAT WILL HANDLE THE SITUATIONS |  
| WHERE THE POLICYHOLDER HAS LEFT THE RU AND LEFT   |  
| DEPENDENTS BEHIND, OR THE SITUATION WHERE THE    |  
| DEPENDENTS HAVE LEFT THE RU (WITHOUT THE          |  
| POLICYHOLDER). THIS SITUATION WILL BE FLAGGED AS  |  
| ‘POLICYHOLDER/DEPENDENT IN DIFFERENT RUs’. THIS   |  
| FLAG CAN BE ASSOCIATED WITH ANY ESTABLISHMENT-    |  
| PERSON-PAIR IN A PARTICULAR RU WHERE THEY ARE     |  
| COVERED PERSONS, BUT THE POLICYHOLDER IS IN      |  
| ANOTHER RU. THIS FLAG SHOULD NEVER EXIST ON A     |  
| PAIR IN AN RU WHERE THE POLICYHOLDER OF THE PAIR  |  
| IS IN THE SAME RU AS ALL OF THE DEPENDENTS OR     |  
| WHERE THE POLICYHOLDER OF THE PAIR WAS ORIGINALLY|  
| CREATED AS ‘POLICYHOLDER NOT IN RU/DU’ OR        |  
| ‘POLICYHOLDER DECEASED’.                          |  
----------------------------------------------------
LOOP_13
======

-----------------------------------------------
| FOR EACH ELEMENT IN THE RU-ESTABLISHMENT-PERSON- |  
| PAIRS-ROSTER, ASK NAV_OE13A - END_LP13  
|  -------------------------------

-----------------------------------------------
| LOOP DEFINITION:                           |
|  
|  LOOP_13collects information about the      |
|  continuation of insurance coverage through an |
|  establishment-person-pair where the policyholder |
|  or the eligible dependent(s) have moved from the |
|  ru. this loop cycles on establishment-person-pairs |
|  that meet the following conditions:       |
|  
|  - the establishment is a private source of |
|  insurance                              |
|  - the establishment-person-pair is flagged as |
|  ‘policyholder/dependent moved’ at the current |
|  round’s interview date for this ru     |
|  - at least one ru member was a covered person for |
|  this establishment-person-pair on the previous |
|  round’s interview date                  |
|  - policyholder is not a current ru member |
|-----------------------------------------------

-----------------------------------------------
| NAVIGATOR DETAILS: LOOP_13 uses both NAV_OE13A |
| and OE13B to control the flow of the loop.    |
-----------------------------------------------
SERIES: Confirming all of the RU Member’s Insurance from a Previous Round and Policyholder is not in the RU (i.e., probing for who is still covered)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

**Policyholder**

| 1. First Name, [Middle Name], Last Name-65 | [Status-25] |
| 2. First Name, [Middle Name], Last Name-65 | [Status-25] |
| 3. First Name, [Middle Name], Last Name-65 | [Status-25] |

---

| ROSTER DETAILS: |
| COL # 1 HEADER: POLICYHOLDER |
| INSTRUCTIONS: DISPLAY POLICYHOLDER’S FIRST, MIDDLE, AND LAST NAMES |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH POLICYHOLDER EACH TIME THE NAVIGATOR IS PRESENTED |

---

| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION. |

---

| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED. |
---

| ROSTER FILTER: | 
| DISPLAY ALL POLICYHOLDERS WHO MEET THE CONDITIONS STATED AT THE LOOP_13 DEFINITION. |

---

| CONTINUE WITH NAV_OE13B FOR SELECTED POLICYHOLDER. |

---

NAV_OE13B

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}

SERIES: Confirming all of the RU Member’s Insurance from a Previous Round and Policyholder is not in the RU (i.e., probing for who is still covered)

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

PolicyHolder...Establishment

[1. Policyholder’s Name-30]...[Establishment Name-30]   [Status-25]
[2. Policyholder’s Name-30]...[Establishment Name-30]   [Status-25]
[3. Policyholder’s Name-30]...[Establishment Name-30]   [Status-25]

---

| ROSTER DETAILS: |
| COL # 1 HEADER: POLICYHOLDER...ESTABLISHMENT |
| INSTRUCTIONS: DISPLAY RU-ESTABLISHMENT-PERSON-PAIR |
| COL # 2 HEADER: EMPTY |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH PAIR EACH TIME THE NAVIGATOR IS PRESENTED |

---

| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR SELECTION. |

---

298
ROSTER BEHAVIOR:
1. SELECT ALLOWED.
2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
DISPLAY ALL ESTABLISHMENTS THAT MEET THE CONDITIONS STATED AT THE LOOP_13 DEFINITION.

CONTINUE WITH OE39 FOR SELECTED PAIR.

OE39
====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT)
(END-DT)

Now think about (POLICYHOLDER)’s health insurance through (ESTABLISHMENT). (Is/Was) anyone in the family, living here (now), covered by this insurance as of (today,) (END DATE)?

IF RESPONDENT VOLUNTEERS THAT THIS INSURANCE HAS ALREADY BEEN DISCUSSED, SELECT ‘INSURANCE ALREADY DISCUSSED’.

YES .................................... 1
NO ........................................ 2 (OE40)
INSURANCE ALREADY DISCUSSED ............ 3 (END_LP13)
REF ....................................... -7 (END_LP13)
DK ........................................ -8 (END_LP13)

[Code One]

DISPLAY ‘Is’ IF NOT ROUND 5. DISPLAY ‘Was’ IF ROUND 5.
DISPLAY ‘today,’ AND ‘now’ IF NOT ROUND 5.
OTHERWISE, USE A NULL DISPLAY.
IF CODED ‘3’ (INSURANCE ALREADY DISCUSSED), FLAG ITEM FOR SOURCE CLEAN-UP.

IF YES AND ONLY ONE PERSON IS FLAGGED AS COVERED AT THE END OF THE PREVIOUS ROUND, AUTOMATICALLY CODE OE41 AS ‘1’ (YES) AND GO TO BOX_31.

IF YES AND MORE THAN ONE PERSON FLAGGED AS COVERED AT THE END OF THE PREVIOUS ROUND, GO TO OE41.

OE40
====

(POLICYHOLDER’S FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT) (END-DT)

On what date did this health insurance through (ESTABLISHMENT) end?

(IF INSURANCE ENDED AFTER 12/31/{YEAR}, BACK-UP TO OE39 AND SELECT ‘YES’.)

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7
DK .................................... -8

DISPLAY ‘IF INSURANCE ENDED... SELECT ‘YES’.’ IF ROUND 5. OTHERWISE, USE A NULL DISPLAY

IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE40OV

IF ONLY ONE PERSON COVERED AT THE END OF THE PREVIOUS ROUND, GO TO LOOP_14
Can you just tell me if (POLICYHOLDER) was covered under that insurance the whole month or part of the month?

WHOLE MONTH .................................. 1
PART OF THE MONTH ............................ 2
REF ............................................. -7
DK ............................................... -8

[Code One]

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF ESTABLISHMENT}   {STR-DT}   {END-DT}

{Are/Were} (READ NAMES BELOW) all covered by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT) until {{OE40 DATE}/it ended}/on (END DATE)?

{PERSON WITH ESTAB-PERSON-PAIR INSURANCE ON PREV RD INTV DT}
{PERSON WITH ESTAB-PERSON-PAIR INSURANCE ON PREV RD INTV DT}
{PERSON WITH ESTAB-PERSON-PAIR INSURANCE ON PREV RD INTV DT}

YES ........................................... 1
NO ............................................. 2
REF ............................................. -7
DK ............................................... -8
DISPLAY 'Are' IF OE39 IS CODED '1' (YES).
DISPLAY 'Were' IF OE39 IS CODED '2' (NO) OR IF CURRENT ROUND IS ROUND 5.
DISPLAY 'until {OE40 DATE}' IF OE39 IS CODED '2' (NO).
DISPLAY 'on (END DATE)' IF OE39 IS CODED '1' (YES).
DISPLAY THE DATE RECORDED AT OE40 FOR 'OE40 DATE'. IF THE MONTH AND DAY FIELD AT OE40 IS CODED '-7' (REFUSED) OR '-8' (DON'T KNOW), DISPLAY 'it ended' FOR 'OE40 DATE'.

IF OE39 IS CODED '1' (YES) AND OE41 IS CODED '1' (YES),
FLAG INSURANCE FOR ALL COVERED PERSONS AS 'CONTINUOUS COVERAGE' THROUGH THE REFERENCE PERIOD END DATE.

IF OE39 IS CODED '2' (NO) AND OE41 IS CODED '1' (YES),
FLAG INSURANCE FOR ALL COVERED PERSONS AS 'CONTINUOUS COVERAGE' THROUGH THE DATE RECORDED AT OE40.

IF OE41 IS CODED '1' (YES) AND OE39 IS CODED '1' (YES) OR '2' (NO), GO TO BOX_31

OTHERWISE (I.E., OE41 CODED '2' (NO), '-7' (REFUSED), OR '-8' (DON'T KNOW)), CONTINUE WITH OE42
ROSTER DETAILS:
TITLE: RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1

COL # 1 HEADER: NAME
INSTRUCTIONS: DISPLAY COVERED PERSONS’ NAMES
(PERS.FULLNAME)

ROSTER DEFINITION:
THIS ITEM DISPLAYS PERSONS ON THE RU-ESTB-
PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR DISPLAY.

ROSTER BEHAVIOR:
1. SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
1. PERSON WAS COVERED AT THE PREVIOUS ROUND’S
   INTERVIEW DATE BY THE INSURANCE FROM THIS
   ESTABLISHMENT-PERSON-PAIR
   AND
2. PERSON IS AN RU MEMBER

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF
ESTABLISHMENT}    {STR-DT}
{END-DT}

Who {is/was} no longer covered by (POLICYHOLDER)’s health
insurance through (ESTABLISHMENT) {until {{OE40 DATE}/it ended}/on
(END DATE)}?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]
DISPLAY 'is' IF OE39 IS CODED '1' (YES).
DISPLAY 'was' IF OE39 IS CODED '2' (NO) OR IF CURRENT ROUND IS ROUND 5.
DISPLAY 'until {OE40 DATE}' IF OE39 IS CODED '2' (NO).
DISPLAY 'on (END DATE)' IF OE39 IS CODED '1' (YES).
DISPLAY THE DATE RECORDED AT OE40 FOR 'OE40 DATE'.
IF THE MONTH AND DAY FIELD AT OE40 IS CODED '-7' (REFUSED) OR '-8' (DON'T KNOW), DISPLAY 'it ended' FOR 'OE40 DATE'.

IF FAMILY STILL HAS INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE39 IS CODED '1' (YES)), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED AT OE42 AS 'CONTINUOUS COVERAGE' FROM THE REFERENCE PERIOD START DATE UNTIL THE REFERENCE PERIOD END DATE.

IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE39 IS CODED '2' (NO), FLAG INSURANCE FOR ALL PERSONS NOT SELECTED AT OE42 AS 'CONTINUOUS COVERAGE' FROM THE REFERENCE PERIOD START DATE UNTIL DATE RECORDED AT OE40.

ROSTER DETAILS:
TITLE: RU_ESTB_PLCYHLDR_COVRD_PERS_TRPLS_1
COL # 1 HEADER: NAME
INSTRUCTIONS: DISPLAY COVERED PERSONS’ NAMES (PERS.FULLNAME)

ROSTER DEFINITION:
THIS ITEM DISPLAYS THE RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION.
ROSTER BEHAVIOR:
1. MULTIPLE SELECT ALLOWED.
2. ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
1. PERSON WAS COVERED AT PREVIOUS ROUND’S INTERVIEW DATE BY THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR
   AND
2. PERSON IS AN RU MEMBER

LOOP_14
=======

FOR EACH ELEMENT IN THE RU-ESTB-PLC YHLDR-COVRD-PERS-TRPLS-ROSTER, ASK NAV_OE14 - END_LP14

LOOP DEFINITION: LOOP_14 COLLECTS THE DATE ON WHICH THE INSURANCE COVERAGE THROUGH THIS ESTABLISHMENT-PERSON-PAIR ENDED FOR EACH RU MEMBER WHOSE COVERAGE ENDED EITHER PRIOR TO THE REFERENCE PERIOD END DATE OR THE DATE REPORTED IN OE40. THIS LOOP CYCLES ON PERSONS SELECTED AT OE42.

NAVIGATOR DETAILS: LOOP_14 USES NAV_OE14 TO CONTROL THE FLOW OF THE LOOP.
NAV_OE14

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF ESTABLISHMENT}   {STR-DT}   {END-DT}

SERIES: End Date of Insurance from (POLICYHOLDER)’s (ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65]      [Status-25]
[2. First Name,[Middle Name],Last Name-65]      [Status-25]
[3. First Name,[Middle Name],Last Name-65]      [Status-25]

----------------------------------------------------
| ROSTER DETAILS:                                   |
| COL # 1 HEADER: RU MEMBER                         |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, |
| AND LAST NAMES (PERS.FULLNAME)                    |
| COL # 2 HEADER: EMPTY                             |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR |
| STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR |
| IS PRESENTED                                      |
----------------------------------------------------

----------------------------------------------------
| ROSTER DEFINITION:                                |
| THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS-   |
| TRPLS-ROSTER FOR SELECTION.                      |
----------------------------------------------------

----------------------------------------------------
| ROSTER BEHAVIOR:                                  |
| 1. SELECT ALLOWED.                               |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT         |
| DISALLOWED.                                      |
----------------------------------------------------

306
ROSTER FILTER:
DISPLAY ALL RU MEMBERS SELECTED AT OE42.

CONTINUE WITH OE43 FOR SELECTED RU MEMBER.

---

OE43

{PERSON’S FIRST MIDDLE AND LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

On what date did the health insurance through (ESTABLISHMENT) end for (PERSON)?

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7
DK .................................... -8

---

IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE43OV

---

OTHERWISE, GO TO BOX_30

---

OE43OV

Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ........................... 1 {BOX_30}
PART OF THE MONTH ..................... 2 {BOX_30}
REF ................................... -7 {BOX_30}
DK .................................... -8 {BOX_30}

[Code One]
BOX_30

-------------
| FLAG INSURANCE FOR PERSON AS 'CONTINUOUS COVERAGE' |
| THROUGH THE COMPLETE DATE RECORDED AT OE43 AND    |
| OE43OV.                                           |
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END_LP14

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| CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR-      |
| COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS   |
| STATED IN THE LOOP DEFINITION.                     |
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| IF NO OTHER PERSONS MEET THE STATED CONDITIONS,    |
| END LOOP_14 AND CONTINUE WITH BOX_31              |
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BOX_31

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| IF AT LEAST ONE CURRENT RU MEMBER NOT COVERED BY   |
| THE INSURANCE FROM THIS ESTABLISHMENT-PERSON-PAIR,|
| (THIS INCLUDES ALL NEW RU MEMBERS AND PREVIOUS RU   |
| MEMBERS NOT COVERED BY THIS INSURANCE ON THE       |
| PREVIOUS ROUND'S INTERVIEW DATE, BUT EXCLUDES RU   |
| MEMBERS JUST MARKED AS NO LONGER COVERED IN OE42),|
| CONTINUE WITH OE44                                 |
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| OTHERWISE, GO TO OE47                             |
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{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF
ESTABLISHMENT}   {STR-DT}
{END-DT}

{Since (START DATE)/Between (START DATE) and (END DATE)}, have
any persons living here, we have not yet mentioned, been covered
by (POLICYHOLDER)’s health insurance through (ESTABLISHMENT)?

YES ...................................  1 {OE45}
NO ....................................  2 {OE47}
REF ................................... -7 {OE47}
DK .................................... -8 {OE47}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

----------------------------------------------------
|  DISPLAY ‘Since (START DATE)’ IF NOT ROUND 5.     |
|  DISPLAY ‘Between (START DATE) and (END DATE)’ IF  |
|  ROUND 5.                                         |
----------------------------------------------------

OE45
====

{POLICYHOLDER’S FIRST MIDDLE LAST NAME}   {NAME OF
ESTABLISHMENT}   {STR-DT}
{END-DT}

Who {has been/was} covered by (POLICYHOLDER)’s health insurance
through (ESTABLISHMENT) {since (START DATE)/between (START DATE)
and (END DATE)} that we have not yet mentioned?

PROBE: Anyone else?

[1. First Name, [Middle Name], Last Name-65]
[2. First Name, [Middle Name], Last Name-65]
[3. First Name, [Middle Name], Last Name-65]

----------------------------------------------------
|  DISPLAY ‘has been’ AND ‘since (START DATE)’ IF NOT|
|  ROUND 5. DISPLAY ‘was’ AND ‘between (START DATE)’ |
|  and (END DATE)’ IF ROUND 5.                       |
----------------------------------------------------
| WRITE PERSONS SELECTED TO THE RU-ESTB-PLCYHLDRL- |
| COVRD-PERS-TRPLS-ROSTER. |

| IF 'PERSON NOT LISTED IN RU' IS SELECTED, FLAG |
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR |
| AS 'COVERING PERSON NOT LISTED IN RU'. |

| ROSTER DETAILS: |
| TITLE: RU_MEMBERS_1 |
| COL # 1 HEADER: NAME |
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, |
| AND LAST NAMES (PERS.FULLNAME) |

| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION |
| OF RU-MEMBERS. |

| ROSTER BEHAVIOR: |
| 1. MULTIPLE SELECT ALLOWED. INTERVIEWER MAY |
| SELECT ONE OR MORE FROM THE LISTED MEMBERS. |
| 2. ADD, DELETE, AND EDIT DISALLOWED. |
| 3. DISPLAY 'PERSON NOT LISTED IN RU' AS LAST ENTRY |
| ON THIS ROSTER. |

| ROSTER FILTER: |
| DISPLAY PERSONS WHO WERE NOT COVERED BY THE |
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR |
| ON THE PREVIOUS ROUND'S INTERVIEW DATE. |
LOOP_15

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| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD- |
| PERS-TRPLS-ROSTER, ASK NAV_OE15 - END_LP15     |
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| LOOP DEFINITION: LOOP_15 COLLECTS THE COVERAGE |
| START DATE FOR ALL PERSONS NEWLY COVERED DURING |
| THE CURRENT ROUND BY THE INSURANCE THROUGH THIS |
| ESTABLISHMENT-PERSON-PAIR. THIS LOOP CYCLES ON |
| PERSONS SELECTED AT OE45.                      |
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| NAVIGATOR DETAILS: LOOP_15 USES NAV_OE15 TO    |
| CONTROL THE FLOW OF THE LOOP.                  |
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NAV_OE15

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{POLICYHOLDER’S FIRST MIDDLE LAST NAME} {NAME OF
ESTABLISHMENT} {STR-DT}
{END-DT}

SERIES: Begin Date of Insurance from (POLICYHOLDER)’s
(ESTABLISHMENT) plan.

USE THE LINKS BELOW TO COMPLETE ALL QUESTIONS WITHIN THIS SERIES.

WHEN ALL LINKS ARE MARKED “DONE,” USE [Continue Interview] TO GO
PAST THIS SERIES.

IF NEEDED, [Previous Page] WILL TAKE YOU TO QUESTIONS BEFORE THIS
SERIES.

RU Member

[1. First Name,[Middle Name],Last Name-65] [Status-25]
[2. First Name,[Middle Name],Last Name-65] [Status-25]
[3. First Name,[Middle Name],Last Name-65] [Status-25]
ROSTER DETAILS:
| COL # 1 HEADER: RU MEMBER                 |
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME) |
| COL # 2 HEADER: EMPTY                     |
| INSTRUCTIONS: DISPLAY THE MOST CURRENT NAVIGATOR STATUS FOR EACH RU MEMBER EACH TIME THE NAVIGATOR IS PRESENTED |

ROSTER DEFINITION:
| THIS ITEM DISPLAYS RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER FOR SELECTION. |

ROSTER BEHAVIOR:
1. SELECT ALLOWED.
2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
DISPLAY ALL RU MEMBERS SELECTED AT OE45.

CONTINUE WITH OE46 FOR SELECTED RU MEMBER

OE46
====

{PERSON’S FIRST MIDDLE AND LAST NAME}   {NAME OF ESTABLISHMENT}   {STR-DT}   {END-DT}

On what date did the health insurance through (ESTABLISHMENT) begin for (PERSON)?

[Enter Month-2, Day-2, Year-4] ........
REF ................................... -7
DK .................................... -8
| IF DAY FIELD IS CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW) AND MONTH FIELD IS NOT CODED ‘-7’ (REFUSED) OR ‘-8’ (DON’T KNOW), CONTINUE WITH OE46OV |
-----------------------------------------------
| OTHERWISE, GO TO BOX_32 |
-----------------------------------------------

OE46OV
======

Can you just tell me if (PERSON) was covered under that insurance the whole month or part of the month?

WHOLE MONTH ........................... 1 {BOX_32}
PART OF THE MONTH .......................... 2 {BOX_32}
REF ................................... -7 {BOX_32}
DK .................................... -8 {BOX_32}

[Code One]

| HARD CHECK: |
| EDIT: COMPLETE DATE AT OE46 MUST BE < THAN COMPLETE DATE AT OE40 IF A DATE IS RECORDED AT OE40 OR < THAN REFERENCE PERIOD END DATE IF NO DATE IS RECORDED AT OE40. |
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BOX_32
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| IF FAMILY STILL HAS INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR (OE39 IS CODED ‘1’ (YES)), FLAG INSURANCE FOR THIS PERSON AS ‘CONTINUOUS COVERAGE’ FROM DATE RECORDED AT OE46 UNTIL THE REFERENCE PERIOD END DATE. |
-----------------------------------------------
| IF FAMILY DOES NOT STILL HAVE INSURANCE THROUGH ESTABLISHMENT-PERSON-PAIR (OE39 IS CODED ‘2’ (NO)) | FLAG INSURANCE FOR THIS PERSON AS ‘CONTINUOUS COVERAGE’ FROM DATE RECORDED AT OE46 UNTIL DATE RECORDED AT OE40. |

END_LP15

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| CYCLE ON NEXT PERSON IN RU-ESTB-PLCYHLDR-COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION. |

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| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, END LOOP_15 AND GO TO END_LP13 |

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OE47

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{POLICYPOLICYHOLDER’S FIRST MIDDLE LAST NAME)  (NAME OF ESTABLISHMENT}  {STR-DT}
{END-DT}

{Does/Between (START DATE) and (END DATE), did} (POLICYPOLICYHOLDER’S) health coverage through (ESTABLISHMENT) cover as dependents any persons who do not live here?

YES .................................... 1 {END_LP13}
NO ..................................... 2 {END_LP13}
REF ................................... -7 {END_LP13}
DK .................................... -8 {END_LP13}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

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| DISPLAY ‘Does’ IF NOT ROUND 5. DISPLAY ‘Between (START DATE) and (END DATE), did’ IF ROUND 5. |
IF CODED '1' (YES), FLAG INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR AS 'COVERING PERSON NOT LISTED IN RU' IN OE45

END_LP13

CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION.

IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END LOOP_13 AND CONTINUE WITH BOX_33

BOX_33

RETURN TO ORIGINAL QUESTIONNAIRE SECTION IN HX.