NOTE: THROUGHOUT THIS SECTION IN CAPI, FOR "CATEGORY NAME FROM HX03 OR HX23", DISPLAY THE FOLLOWING:

- 'PROFESSIONAL ASSOCIATION' IF CODED '1' AT HX03
- 'SMALL BUSINESS GROUP' IF CODED '2' AT HX03
- 'UNION' IF CODED '3' AT HX03
- 'INSURANCE AGENT' IF CODED '5' AT HX03
- 'INSURANCE COMPANY' IF CODED '6' AT HX03
- 'HMO' IF CODED '7' AT HX03
- 'PREVIOUS EMPLOYER' IF CODED '8' AT HX03
- 'PREVIOUS EMPLOYER (COBRA)' IF CODED '9' AT HX03
- 'SOURCE THE INSURANCE WAS PURCHASED FROM FOR THAT BUSINESS' IF CODED '-7' OR '-8' AT HX03
- 'GROUP OR ASSOCIATION' IF CODED '1' AT HX23
- 'SCHOOL' IF CODED '3' AT HX23
- 'INSURANCE AGENT' IF CODED '4' AT HX23
- 'INSURANCE COMPANY' IF CODED '5' AT HX23
- 'HMO' IF CODED '6' AT HX23
- 'UNION' IF CODED '7' AT HX23
- 'ANYONE’S PREVIOUS EMPLOYER (COBRA)' IF CODED '8' AT HX23
- 'ANYONE’S PREVIOUS EMPLOYER (NOT COBRA)' IF CODED '9' AT HX23
- 'SPOUSE’S/DECEASED SPOUSE’S PREVIOUS EMPLOYER’ IF CODED '10' AT HX23
- 'SOME OTHER EMPLOYER’ IF CODED '11' AT HX23
- 'PLAN OF SOMEONE NOT LIVING HERE’ IF CODED '12' AT HX23
- 'SOURCE THAT PROVIDED THE DIRECTLY PURCHASED INSURANCE' IF CODED '-7' OR '-8'
THROUGHOUT THE SPECIFICATIONS FOR THIS CAPI SECTION, FOR SCREENS THAT SPECIFY THE REFERENCE PERIOD {END DATE} AS PART OF THE CONTEXT HEADER, CAPI DISPLAYS THE {END DATE} ONLY FOR ROUND 5. IN ANY OTHER ROUND, CAPI DOES NOT DISPLAY THE {END DATE} IN THE CONTEXT HEADER. FOR MOST PERSONS, THE END DATE FOR ROUND 5 WILL BE DECEMBER 31 OF THE SECOND YEAR OF THE PANEL.

NOTE THAT ‘HEALTH INSURANCE PURCHASING ALLIANCE’ (CODE ‘4’ AT HX03 AND CODE ‘2’ AT HX23) WAS OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN ALL FUTURE ROUNDS.

NOTE THAT ESTABLISHMENT ADDRESS INFORMATION AND THE INFORMED CONSENT SCREENS WERE OMITTED STARTING IN PANEL 12 ROUND 3. THIS INFORMATION WAS IN PANEL 12 ROUNDS 1 AND 2.

STARTING IN PANEL 13 THESE ITEMS WILL BE OMITTED IN ALL ROUNDS.

CONTEXT HEADER DISPLAY INSTRUCTIONS:
DISPLAY PERS.FULLNAME, ESTB.ESTBNAME, PRND.BEGREFMM, PRND.BEGREFDD, PRND.BEGREFYY, PRND.ENDREFMM, PRND.ENDREFDD, PRND.ENDREFYY, ‘INSURANCE SOURCE’.

FOR ‘INSURANCE SOURCE’, DISPLAY THE CATEGORY TEXT FROM HX23. IF HX23=91, DISPLAY THE OTHER SPECIFY TEXT.
BOX_01

| IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN THE EMPLOYMENT (EM) SECTION AS 'PROVIDES HEALTH INSURANCE' AND NOT FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, GO TO LOOP_01 |

----------------------------------------------------

| IF LOOPING ON AN HX03 CATEGORY OR IF LOOPING ON AN HX23 CATEGORY (EXCEPT CODE '3' (DIRECTLY FROM A SCHOOL)), GO TO HP03 |

----------------------------------------------------

| IF LOOPING ON CODE '3' (DIRECTLY FROM A SCHOOL) AT HX23, CONTINUE WITH HP01 |

----------------------------------------------------

HP01

Does the insurance from the school cover only injuries caused by accidents, or does it have general health coverage?

GENERAL HEALTH COVERAGE ............... 1 {HP02} 
ONLY INJURIES CAUSED BY ACCIDENTS ...... 2 {BOX_11} 
REF ...................................... -7 {HP02} 
DK ....................................... -8 {HP02} 

HELP AVAILABLE FOR DEFINITION OF GENERAL HEALTH COVERAGE.

[Code One]
Would the insurance from the school cover health services outside of a school clinic?

YES .................................... 1 {HP03}
NO ..................................... 2 {BOX_11}
REF ................................... -7 {HP03}
DK .................................... -8 {HP03}

INSURANCE SOURCE: [CATEGORY NAME FROM HX03 OR HX23]

I'd like to talk about the insurance which is from (a/an) [CATEGORY NAME FROM HX03 OR HX23].

SELECT 'CONTINUE' UNLESS RESPONDENT VOLUNTEERS INSURANCE REPORTED IN ERROR.

CONTINUE ......................... 1 {LOOP_01}
INSURANCE REPORTED IN ERROR ............ 2 {BOX_11}

[Code One]
LOOP_01

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>FOR EACH OF THE FOLLOWING:</td>
<td></td>
</tr>
<tr>
<td>ESTABLISHMENT 1</td>
<td></td>
</tr>
<tr>
<td>ESTABLISHMENT 2</td>
<td></td>
</tr>
<tr>
<td>ESTABLISHMENT 3</td>
<td></td>
</tr>
<tr>
<td>ESTABLISHMENT 4</td>
<td></td>
</tr>
<tr>
<td>ASK BOX_01A-END_LP01</td>
<td></td>
</tr>
</tbody>
</table>

LOOP DEFINITION: LOOP-01 COLLECTS DETAILED INFORMATION ABOUT INSURANCE PROVIDED THROUGH AN EMPLOYER OR THE ESTABLISHMENT NAMES OF THE INSURANCE SOURCE COLLECTED IN EITHER HX03 OR HX23. IF LOOPING ON INSURANCE PROVIDED FROM AN EMPLOYER ONLY ONE LOOP CYCLE IS COMPLETED. IF LOOPING ON INSURANCE PROVIDED THROUGH AN INSURANCE SOURCE COLLECTED IN HX03 OR HX23, THE FIRST LOOP CYCLE COLLECTS THE MAIN ESTABLISHMENT NAME OF THE INSURANCE SOURCE. SUBSEQUENT CYCLES, IF ANY, ARE DETERMINED BY THE RESPONSE TO HP18. IF HP18 IS CODED '1' (YES), THE LOOP CYCLES AGAIN TO COLLECT THE NEXT ESTABLISHMENT NAME. IF HP18 IS NOT ASKED OR IS CODED '2' (NO), '-7' (REFUSED), OR '-8' (DON'T KNOW), THE LOOP ENDS.

BOX_01A

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, GO TO HP09</td>
<td></td>
</tr>
</tbody>
</table>

OTHERWISE, CONTINUE WITH HP04
Please give me the name of one of the {CATEGORY NAME FROM HX03 OR HX23} (from which anyone in the family purchased this insurance/which covers anyone in the family/insurance companies for the insurance purchased from an agent).

INTERVIEWER: VERIFY WITH RESPONDENT AND SELECT (ESTABLISHMENT) BELOW:

<table>
<thead>
<tr>
<th>ROSTER. ESTABLISHMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Establishment Name-30</td>
</tr>
<tr>
<td>2. Establishment Name-30</td>
</tr>
<tr>
<td>3. Establishment Name-30</td>
</tr>
</tbody>
</table>
DISPLAY '(CATEGORY NAME FROM HX03 OR HX23)' IF NOT LOOPING ON CODE '5' (INSURANCE AGENT) AT HX03 OR CODE '4' (INSURANCE AGENT) AT HX23.

DISPLAY 'from which anyone in the family purchased this insurance' IF NOT LOOPING ON CODE '5' (INSURANCE AGENT) AT HX03 OR CODES '4' (INSURANCE AGENT) OR '12' (UNDER PLAN OF SOMEONE NOT LIVING HERE) AT HX23.

DISPLAY 'which covers anyone in the family' IF LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT LIVING HERE) AT HX23.

DISPLAY 'insurance company for the insurance purchased from an agent' IF LOOPING ON CODE '5' (INSURANCE AGENT) AT HX03 OR CODE '4' (INSURANCE AGENT) AT HX23.

FOR 'CATEGORY NAME FROM HX03 OR HX23' DISPLAY THE CATEGORY TEXT FROM HX03 OR HX23. SEE NOTE BOX AT BEGINNING OF HP SECTION FOR DETAILS.

THE CONTEXT HEADER DISPLAYED ON SCREENS HP04 - HP08 DEPENDS ON THE PATH THAT LEADS TO THE SCREEN. IF ASKING ABOUT A SPECIFIC PERSON (I.E., JOBHOLDER WHEN COMING FROM AN HX03 CATEGORY), CAPI DISPLAYS THE PERSON AND START DATE. IF ASKING ABOUT A SPECIFIC ESTABLISHMENT, CAPI DISPLAYS THE ESTABLISHMENT AND START DATE. OTHERWISE, CAPI DISPLAYS THE START DATE. FOR ROUND 5, CAPI ALSO DISPLAYS THE END DATE OF THE REFERENCE PERIOD.

DISPLAY AN "ADD ESTABLISHMENT" OPTION ON THIS SCREEN.

IF 'ADD ESTABLISHMENT' OPTION IS SELECTED, CONTINUE WITH BOX_01B
<table>
<thead>
<tr>
<th>OTHERWISE (ESTABLISHMENT WAS SELECTED FROM THE LIST), GO TO BOX_02</th>
</tr>
</thead>
</table>

---------
| ROSTER DETAILS: |
| TITLE: RU_ESTB_3 |
| COL # 1 HEADER: ESTABLISHMENT |
| INSTRUCTIONS: DISPLAY ESTABLISHMENT NAME |
| (ESTB.ESTBNAME) |

---------
| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS RU-ESTABLISHMENTS-ROSTERS FOR DISPLAY OF PRIVATE INSURANCE ESTABLISHMENTS. |

---------
| ROSTER BEHAVIOR: |
| 1. SELECT ALLOWED. |
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT DISALLOWED. |

---------
| ROSTER FILTER: |
| DISPLAY ESTABLISHMENTS THAT ARE SOURCES OF PRIVATE INSURANCE. THIS DOES NOT INCLUDE ESTABLISHMENTS FLAGGED AS 'EMPLOYER' AND 'SELF-EMPLOYED' WITH A FIRM-SIZE-1 THAT ARE COMING FROM THE HX03 SERIES. |

BOX_01B
=======

| IF LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT LIVING HERE) AT HX23 AND IF 'ADD ESTABLISHMENT' IS SELECTED, GO TO HP07. (NOTE THAT HP07 IS NOT A SEPARATE SCREEN; IT REPRESENTS A POPUP ON HP04.) |

---------
| IF 'ADD ESTABLISHMENT' IS SELECTED AND IF NOT LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT LIVING HERE) AT HX23, CONTINUE WITH HP06 (NOTE THAT HP06 IS NOT A SEPARATE SCREEN; IT REPRESENTS A POPUP ON HP04.) |
OMITTED.

{PERSON’S FIRST MIDDLE AND LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

INSURANCE SOURCE: {CATEGORY NAME FROM HX03 OR HX23}

ENTER NAME OF ESTABLISHMENT WHERE PERSON PURCHASED INSURANCE.

{ESTABLISHMENT: [_____________] {BOX_02}

----------------------------------------------------
| WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS-ROSTER. |
----------------------------------------------------

{STR-DT} {END-DT}

You mentioned that someone in the family receives health insurance from the plan of someone not living here. How does that policyholder get this insurance?

INTERVIEWER: RECORD ESTABLISHMENT NAME BELOW.

[Establishment Name] ................. {BOX_02}

----------------------------------------------------
| ONLY CATEGORY ‘12’ (UNDER PLAN OF SOMEONE NOT LIVING HERE) OF HX23 IS ASKED HP07. |
----------------------------------------------------
WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS-ROSTER.

HP08
====
OMITTED.
IF HX03 IS CODED '1' OR '2', FLAG ESTABLISHMENT AS 'GROUP'.
IF HX03 IS CODED '3', FLAG ESTABLISHMENT AS 'UNION'.
IF HX03 IS CODED '5', FLAG ESTABLISHMENT AS 'INSURANCE COMPANY-FROM AN AGENT'.
IF HX03 IS CODED '6', FLAG ESTABLISHMENT AS 'INSURANCE COMPANY'.
IF HX03 IS CODED '7', FLAG ESTABLISHMENT AS 'HMO'.
IF HX03 IS CODED '8', FLAG ESTABLISHMENT AS 'PREVIOUS EMPLOYER, NOT COBRA'.
IF HX03 IS CODED '9', FLAG ESTABLISHMENT AS 'COBRA'.
IF HX03 IS CODED '91', FLAG ESTABLISHMENT AS 'UNKNOWN TYPE-COLLECTED AT OTHER'.

IF HX23 IS CODED '1', FLAG ESTABLISHMENT AS 'GROUP'.
IF HX23 IS CODED '3', FLAG ESTABLISHMENT AS 'SCHOOL'.
IF HX23 IS CODED '4', FLAG ESTABLISHMENT AS 'INSURANCE COMPANY-FROM AN AGENT'.
IF HX23 IS CODED '5', FLAG ESTABLISHMENT AS 'INSURANCE COMPANY'.
IF HX23 IS CODED '6', FLAG ESTABLISHMENT AS 'HMO'.
IF HX23 IS CODED '7', FLAG ESTABLISHMENT AS 'UNION'.
IF HX23 IS CODED '8', FLAG ESTABLISHMENT AS 'COBRA'.
IF HX23 IS CODED '9', FLAG ESTABLISHMENT AS 'PREVIOUS EMPLOYER, NOT COBRA'.
IF HX23 IS CODED '10', FLAG ESTABLISHMENT AS 'SPOUSE PREVIOUS EMPLOYER'.
IF HX23 IS CODED '11', FLAG ESTABLISHMENT AS 'EMPLOYER'.
IF HX23 IS CODED '12', FLAG ESTABLISHMENT AS 'UNKNOWN TYPE-OUTSIDE RU'.
IF HX23 IS CODED '91', FLAG ESTABLISHMENT AS 'UNKNOWN TYPE-COLLECTED AT OTHER'.

NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE' (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN ALL FUTURE ROUNDS.

BOX_03
======

IF LOOPING ON AN HX23 CATEGORY, GO TO HP11

OTHERWISE, CONTINUE WITH HP09

HP09
====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

{(Are/Is)/As of (END DATE), was} (PERSON) the primary insured person or policyholder of this health coverage through (ESTABLISHMENT)?

YES .................................... 1 {LOOP_02}
NO ..................................... 2 {HP10}
REF ................................... -7 {HP10}
DK .................................... -8 {HP10}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

DISPLAY '(Are/Is)' IF NOT ROUND 5. DISPLAY 'As of (END DATE), was' IF ROUND 5.

PERSON REFERS TO JOBHOLDER.
| IF CODED '1' (YES), FLAG JOBHOLDER AS 'POLICYHOLDER'. |

---

**HP10**

**{NAME OF ESTABLISHMENT}**  **{STR-DT}**

(END-DT)

Who {is/was} the primary insured person or policyholder of this health coverage through (ESTABLISHMENT) {on (END DATE)}?

**{JOBHOLDER/EMPLOYER-PAIR 1}**

**{JOBHOLDER/EMPLOYER-PAIR 2}**

**{JOBHOLDER/EMPLOYER-PAIR 3}**

JOBHOLDER/EMPLOYER IS LISTED ........... 1 {END_LP01}

JOBHOLDER/EMPLOYER IS NOT LISTED ....... 2 {END_LP01}

REF ................................... -7 {END_LP01}

DK .................................... -8 {END_LP01}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

[Code One]

---

| DISPLAY 'is' IF NOT ROUND 5.  DISPLAY 'was' IF ROUND 5.  DISPLAY 'on (END DATE)' IF ROUND 5.  OTHERWISE, USE NULL DISPLAY. |

---

| IF CODED '2' (NO), '-7' (REFUSED) OR '-8' (DON'T KNOW), FLAG FOR EVENT CLEANUP. |

---

**ROSTER DETAILS:**

**TITLE: RU_ESTB_PERS_PAIRS_2**

**COL # 1 HEADER: JOBHOLDER/EMPLOYER PAIR**

**INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAME/ESTABLISHMENT NAME (PERS.FULLNAME/ESTB.ESTBNAME)**
ROSTER DEFINITION:
THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER FOR DISPLAY OF EMPLOYER/JOBHOLDER PAIRS.

ROSTER BEHAVIOR:
1. DISPLAY ONLY.

2. SELECT, ADD, DELETE, AND EDIT DISALLOWED.

ROSTER FILTER:
DISPLAY ALL PAIRS ON THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER THAT MEET BOTH OF THE FOLLOWING CONDITIONS:
1. ESTABLISHMENT IS FLAGGED AS AN 'EMPLOYER' THAT IS ALSO FLAGGED AS 'PROVIDES HEALTH INSURANCE' AND
2. PERSON IS A JOBHOLDER AT THE JOB PROVIDED BY ESTABLISHMENT

HP11
=====

{NAME OF ESTABLISHMENT} (STR-DT)
(END-DT)

Who {is/was} the primary insured person or policyholder of this health coverage through (ESTABLISHMENT) {on (END DATE)}?

[1. First Name,[Middle Name],Last Name-35] ..
[2. First Name,[Middle Name],Last Name-35] ..
[3. First Name,[Middle Name],Last Name-35] ..

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

[Code All that Apply]

DISPLAY 'is' IF NOT ROUND 5. DISPLAY 'was' IF ROUND 5. DISPLAY 'on (END DATE)' IF ROUND 5. OTHERWISE, USE NULL DISPLAY. DISPLAY A "POLICYHOLDER NOT LISTED IN DU" AND "POLICYHOLDER DECEASED" OPTION ON THIS SCREEN.

140
---

| IF BOTH 'POLICYHOLDER NOT LISTED IN DU' AND |
| 'POLICYHOLDER DECEASED' ARE NOT SELECTED, GO TO |
| LOOP_02 |

---

| IF 'POLICYHOLDER DECEASED' SELECTED, ALONE OR IN |
| COMBINATION WITH OTHER NAMES EXCEPT 'POLICYHOLDER |
| NOT LISTED IN DU’, GO TO HP11B |

---

| IF 'POLICYHOLDER NOT LISTED IN DU' SELECTED, ALONE |
| OR IN COMBINATION WITH OTHER NAMES AND/OR |
| ‘POLICYHOLDER DECEASED’, CONTINUE WITH HP11A |

---

| ROSTER DETAILS: |
| TITLE: DU_MEMBERS_1 |
| COL # 1 HEADER: NAME |
| INSTRUCTIONS: DISPLAY DU MEMBER’S FIRST, MIDDLE, |
| AND LAST NAMES (PERS.FULLNAME) |

---

| ROSTER DEFINITION: THIS ITEM DISPLAYS DU-MEMBERS- |
| ROSTER FOR SELECTION. |

---

| ROSTER BEHAVIOR: |
| 1. MULTIPLE SELECT ALLOWED. |
| 2. ADD, DELETE, AND EDIT DISALLOWED. |

---

| ROSTER FILTER: |
| NO FILTER; DISPLAY ALL DU MEMBERS. |
HP11A

{name of establishment}   {str-dt}
@end-dt

INTERVIEWER: ENTER NAME OR DESCRIPTION OF POLICYHOLDER WHO IS NOT IN THE DU:

[enter specify-15] .................... {loop_02}

help available for definition of policyholder.

--------------------------------------------------------------------------
| whenever this policyholder is being asked about | in the remainder of hp, hq, hx, and oe, the | policyholder name in the context header will be | displayed as 'policyholder not in du-' followed by | the 15 character entry at hp11a. |
--------------------------------------------------------------------------

--------------------------------------------------------------------------
| if 'policyholder deceased' selected at hp11, | continue with hp11b |
--------------------------------------------------------------------------

--------------------------------------------------------------------------
| otherwise, go to loop_02 |
--------------------------------------------------------------------------

HP11B

{name of establishment}   {str-dt}
@end-dt

INTERVIEWER: ENTER NAME OF DECEASED POLICYHOLDER:

[enter specify-40] .................... {loop_02}

help available for definition of policyholder.

--------------------------------------------------------------------------
| flag policyholder as 'deceased'. |
--------------------------------------------------------------------------
WHENEVER THE POLICYHOLDER IS BEING ASKED ABOUT IN THE REMAINDER OF HP, HQ, HX, AND OE, THE POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE DISPLAYED AS 'PLCYHLDR DECEASED-' FOLLOWED BY THE FIRST 15 CHARACTERS OF THE ENTRY AT HP11B.

LOOP_02
-------

FOR EACH ELEMENT ON THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER, ASK BOX_04 - END_LP02

LOOP DEFINITION: LOOP_02 COLLECTS INFORMATION ABOUT THE POLICYHOLDER AND DEPENDENTS FOR EACH ESTABLISHMENT-PERSON. THIS LOOP CYCLES ON EACH ESTABLISHMENT-PERSON-PAIR CREATED AT HP09 AND HP11 DURING THE CURRENT ROUND FOR THE ESTABLISHMENT BEING CYCLED ON IN LOOP_01.

BOX_04
-----

IF LOOPING ON AN ESTABLISHMENT FLAGGED IN EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE', GO TO BOX_07

OTHERWISE, CONTINUE WITH BOX_05
BOX_05
=====

----------------------------------------------------
| IF HX23 IS CODED '8' (PREVIOUS EMPLOYER-COBRA),   |
| '9' (PREVIOUS EMPLOYER-NOT COBRA), '10' (SPOUSE   |
| PREVIOUS EMPLOYER), OR '11' (OTHER EMPLOYER)      |
| CONTINUE WITH BOX_06                              |
----------------------------------------------------

----------------------------------------------------
| OTHERWISE, GO TO BOX_07                           |
----------------------------------------------------

BOX_06
=====

----------------------------------------------------
| IF POLICYHOLDER WAS FLAGGED AT HP11 AS 'DECEASED',|
| CODE HP12 AS '4' (DECEASED) AUTOMATICALLY BY CAPI |
| AND GO TO HP13                                    |
----------------------------------------------------

----------------------------------------------------
| IF POLICYHOLDER IS NOT A CURRENT RU MEMBER, GO TO |
| BOX_07                                            |
----------------------------------------------------

----------------------------------------------------
| OTHERWISE, CONTINUE WITH HP12                     |
----------------------------------------------------
(POLICYHOLDER FIRST MIDDLE LAST NAME)   (NAME OF
ESTABLISHMENT)   (STR-DT)
(END-DT)

(Are/Is) (POLICYHOLDER) currently employed at this job,
retired from this job, previously employed at this job, or is
it some other situation?

CURRENTLY EMPLOYED ..................... 1 {HP13}
RETIRED ................................ 2 {HP13}
PREVIOUSLY EMPLOYED .................... 3 {HP13}
DECEASED ............................... 4 {HP13}
OTHER ................................. 91 {HP12OV}
REF ................................. -7 {HP13}
DK ................................. -8 {HP13}

HELP AVAILABLE FOR DEFINITIONS OF ANSWER CATEGORIES.

[Code One]

---------------------------------------------------------------------
| IF CODED '4' (DECEASED), FLAG POLICYHOLDER AS                      |
| 'DECEASED'.                                                        |
---------------------------------------------------------------------

---------------------------------------------------------------------
| HARD CHECK:                                                        |
| CODE '4' (DECEASED) CANNOT BE SELECTED FOR A                       |
| POLICYHOLDER WHO IS A CURRENT RU MEMBER.                           |
---------------------------------------------------------------------

HP12OV
=====

OTHER:

[Enter Other Specify] .....................   {HP13}
REF ................................. -7 {HP13}
DK ................................. -8 {HP13}
HP13
====

(POLICYHOLDER FIRST MIDDLE LAST NAME)  (NAME OF ESTABLISHMENT)  (STR-DT)  (END-DT)

{(Are/Is)/(Were/Was)} (POLICYHOLDER) a federal government employee at this job?

YES ...................................  1 {BOX_07}
NO ....................................  2 {BOX_07}
REF ................................... -7 {BOX_07}
DK .................................... -8 {BOX_07}

HELP AVAILABLE FOR DEFINITION OF FEDERAL GOVERNMENT.

| DISPLAY '(Are/Is)' IF HP12 IS CODED '1' (CURRENTLY EMPLOYED). OTHERWISE, DISPLAY '(Were/Was)'. |
----------------------------------------------------
IF ESTABLISHMENT THAT PROVIDES INSURANCE IS FLAGGED AS:

'EMPLOYER' AND JOB SUBTYPE IS NOT 'CURRENT MAIN', 'CURRENT MISCELLANEOUS JOB WITHIN REFERENCE PERIOD', OR 'RETIREMENT JOB'
OR
'EMPLOYER' AND [JOB SUBTYPE IS 'FORMER MAIN', 'FORMER MISCELLANEOUS' OR 'LAST JOB OUTSIDE REFERENCE PERIOD'] AND JOB IS ALSO FLAGGED AS 'NOT RETIRED FROM'
OR
'PREVIOUS EMPLOYER, NOT COBRA' (I.E.,HX03-CODE '8'; HX23-CODE '9')
OR
'EMPLOYER' (I.E., HX23-CODE '11') AND HP12 IS NOT CODED '1' (CURRENTLY EMPLOYED)
OR
'SPOUSE PREVIOUS EMPLOYER' (I.E., HX23-CODE '10')
OR
'UNKNOWN TYPE-OUTSIDE RU' (I.E., HX23-CODE '12')
OR
'UNKNOWN TYPE-COLLECTED AT OTHER' (I.E., HX23-CODE '91'),

CONTINUE WITH HP14

OTHERWISE, GO TO HP15
Some employer insurance can be continued after leaving the company by continuing to pay the premium. This is sometimes referred to as a COBRA plan.

(Is/Was) (POLICYHOLDER)’s (ESTABLISHMENT) insurance like that (on (END DATE))?  

YES .................................... 1 {HP15}  
NO ..................................... 2 {HP15}  
REF .................................... -7 {HP15}  
DK .................................... -8 {HP15}  

HELP AVAILABLE FOR DEFINITION OF COBRA.

| DISPLAY 'Is' IF NOT ROUND 5. DISPLAY 'Was' IF ROUND 5. | DISPLAY 'on (END DATE)' IF ROUND 5. | OTHERWISE, USE NULL DISPLAY. |

Was anyone {living here} covered as a dependent under (POLICYHOLDER)’s health coverage through (ESTABLISHMENT) at any time (since (START DATE)/between (START DATE) and (END DATE))?  

YES .................................... 1 {HP16}  
NO ..................................... 2 {HP17}  
REF .................................... -7 {HP17}  
DK .................................... -8 {HP17}  

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.
Who is that?

PROBE: Was anyone else covered as a dependent {since (START DATE)/between (START DATE) and (END DATE)}?

[1. First Name,[Middle Name],[Last Name-35]
[2. First Name,[Middle Name],[Last Name-35]
[3. First Name,[Middle Name],[Last Name-35]

[Code All That Apply]
| ROSTER DETAILS: |
| TITLE: RU_MEMBERS_1 |

| COL # 1 HEADER: NAME |
| INSTRUCTIONS: DISPLAY RU MEMBER’S FIRST, MIDDLE, AND LAST NAMES (PERS.FULLNAME) |

| ROSTER DEFINITION: |
| THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION OF RU-MEMBERS. |

| ROSTER BEHAVIOR: |
| 1. MULTIPLE SELECT ALLOWED. |

| 2. ADD, DELETE, AND EDIT DISALLOWED. |

| ROSTER FILTER: |
| DISPLAY ALL PERSONS ON THE RU-MEMBERS-ROSTER EXCLUDING THE PERSON WHO IS THE POLICYHOLDER FOR THIS INSURANCE; THAT IS, DO NOT DISPLAY THE NAME OF PERSON IN THE ESTABLISHMENT-PERSON-PAIR BEING ASKED ABOUT. |

| DISPLAY 'PERSON NOT LISTED IN RU' AS LAST ITEM ON ROSTER. |
HP17
=====

(POLICYHOLDER FIRST MIDDLE LAST NAME) (NAME OF ESTABLISHMENT) (STR-DT) (END-DT)

(Does/Between (START DATE) and (END DATE), did) (POLICYHOLDER)'s health coverage through (ESTABLISHMENT) cover as dependents any persons who do not live here?

YES .................................... 1 {BOX_08}
NO ..................................... 2 {BOX_08}
REF ................................... -7 {BOX_08}
DK .................................... -8 {BOX_08}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

----------------------------------------------------
| DISPLAY 'Does' IF NOT ROUND 5. DISPLAY 'Between |
| (START DATE) and (END DATE), did' IF ROUND 5.   |
----------------------------------------------------

| IF CODED '1' (YES), FLAG INSURANCE THROUGH THIS |
| ESTABLISHMENT-PERSON-PAIR AS 'COVERING PERSON NOT |
| LISTED IN RU' IN HP16.                          |
----------------------------------------------------

BOX_08
======

----------------------------------------------------
| IF THERE ARE NO POLICYHOLDERS OR DEPENDENTS WHO |
| ARE CURRENT RU MEMBERS, THAT IS, POLICYHOLDER IS A |
| DU MEMBER BUT NOT A CURRENT RU MEMBER, OR IS |
| FLAGGED AS 'NOT LISTED IN DU' OR 'POLICYHOLDER |
| DECEASED' AND INSURANCE ALSO FLAGGED ONLY AS |
| 'COVERING PERSON NOT IN RU', GO TO END_LP02      |
----------------------------------------------------

----------------------------------------------------
| OTHERWISE, CONTINUE WITH LOOP_03                 |
----------------------------------------------------
LOOP_03
=======

| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLD- |  
| PERS-TRPLS-ROSTER, ASK BOX-09-END_LP03 |  

LOOP DEFINITION: LOOP_03 COLLECTS TIME PERIOD |  
COVERAGE FOR ALL CURRENT RU MEMBERS COVERED BY THE |  
INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR. |  
THIS LOOP CYCLES ON CURRENT RU MEMBERS WHO ARE |  
SELECTED AS DEPENDENTS AT HP16 AND THE RU MEMBER |  
WHO IS FLAGGED AS THE POLICYHOLDER FOR THIS |  
INSURANCE.

BOX_09
======

| ASK THE TIME PERIOD COVERED DETAIL (HQ) SECTION. |  
|  
| AT COMPLETION OF TIME PERIOD COVERED DETAIL (HQ) |  
| SECTION, CONTINUE WITH END_LP03 |  

END_LP03
========

| CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLD- |  
| COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS |  
| STATED IN THE LOOP DEFINITION. |  

| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |  
| END LOOP_03 AND CONTINUE WITH END_LP02 |
END_LP02
========

<table>
<thead>
<tr>
<th>CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-PAIRS-ROSTER WHO MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION.</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END LOOP_02 AND CONTINUE WITH BOX_10</th>
</tr>
</thead>
</table>

BOX_10
======

<table>
<thead>
<tr>
<th>IF LOOPING ON AN ESTABLISHMENT FLAGGED IN EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, GO TO END_LP01</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>OTHERWISE, CONTINUE WITH HP18</th>
</tr>
</thead>
</table>

HP18
====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF ESTABLISHMENT} {STR-DT} {END-DT}

Aside from (POLICYHOLDER)’s (ESTABLISHMENT) insurance, is there another health insurance plan that anyone in the family obtains from (a/an) (CATEGORY NAME FROM HX03 OR HX23)?

YES .................................... 1 {END_LP01}
NO ..................................... 2 {END_LP01}
REF ................................... -7 {END_LP01}
DK .................................... -8 {END_LP01}
FOR 'CATEGORY NAME FROM HX03 OR HX23', DISPLAY THE CATEGORY TEXT FROM HX03 OR HX23. SEE NOTE BOX AT BEGINNING OF HP SECTION FOR DETAILS.

END_LP01

--------

IF HP18 IS CODED '1' (YES), CYCLE TO COLLECT NEXT ESTABLISHMENT NAME.

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IF HP18 IS NOT ASKED OR IS CODED '2' (NO), '-7' (REFUSED), OR '-8' (DON'T KNOW), END LOOP_01 AND CONTINUE WITH BOX_11

BOX_11

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RETURN TO THE HEALTH INSURANCE (HX) SECTION.