

Private Health Insurance Detail (HP) Section

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| NOTE: THROUGHOUT THIS SECTION IN CAPI, FOR |  
| 'CATEGORY NAME FROM HX03 OR HX23', DISPLAY THE |  
| FOLLOWING: |

- | - 'PROFESSIONAL ASSOCIATION' IF CODED '1' AT HX03 |
- | - 'SMALL BUSINESS GROUP' IF CODED '2' AT HX03 |
- | - 'UNION' IF CODED '3' AT HX03 |
- | - 'INSURANCE AGENT' IF CODED '5' AT HX03 |
- | - 'INSURANCE COMPANY' IF CODED '6' AT HX03 |
- | - 'HMO' IF CODED '7' AT HX03 |
- | - 'PREVIOUS EMPLOYER' IF CODED '8' AT HX03 |
- | - 'PREVIOUS EMPLOYER (COBRA)' IF CODED '9' AT HX03 |
- | - THE TEXT ENTERED AT HX03OV IF CODED '91' AT HX03 |
- | - 'SOURCE THE INSURANCE WAS PURCHASED FROM FOR |  
| THAT BUSINESS' IF CODED '-7' OR '-8' AT HX03 |

  

- | - 'GROUP OR ASSOCIATION' IF CODED '1' AT HX23 |
- | - 'SCHOOL' IF CODED '3' AT HX23 |
- | - 'INSURANCE AGENT' IF CODED '4' AT HX23 |
- | - 'INSURANCE COMPANY' IF CODED '5' AT HX23 |
- | - 'HMO' IF CODED '6' AT HX23 |
- | - 'UNION' IF CODED '7' AT HX23 |
- | - 'ANYONE'S PREVIOUS EMPLOYER (COBRA)' IF CODED |  
| '8' AT HX23 |
- | - 'ANYONE'S PREVIOUS EMPLOYER (NOT COBRA)' IF |  
| CODED '9' AT HX23 |
- | - 'SPOUSE'S/DECEASED SPOUSE'S PREVIOUS EMPLOYER' |  
| IF CODED '10' AT HX23 |
- | - 'SOME OTHER EMPLOYER' IF CODED '11' AT HX23 |
- | - 'PLAN OF SOMEONE NOT LIVING HERE' IF CODED '12' |  
| AT HX23 |
- | - THE TEXT ENTERED AT HX23OV IF CODED '91' AT HX23 |
- | - 'SOURCE THAT PROVIDED THE DIRECTLY PURCHASED |  
| INSURANCE' IF CODED '-7' OR '-8' |

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-----  
| THROUGHOUT THE SPECIFICATIONS FOR THIS CAPI |  
| SECTION, FOR SCREENS THAT SPECIFY THE REFERENCE |  
| PERIOD {END DATE} AS PART OF THE CONTEXT HEADER, |  
| CAPI DISPLAYS THE {END DATE} ONLY FOR ROUND 5. IN |  
| ANY OTHER ROUND, CAPI DOES NOT DISPLAY THE {END |  
| DATE} IN THE CONTEXT HEADER. FOR MOST PERSONS, |  
| THE END DATE FOR ROUND 5 WILL BE DECEMBER 31 OF |  
THE SECOND YEAR OF THE PANEL.

-----  
| NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE' |  
| (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS |  
| OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN |  
ALL FUTURE ROUNDS.

-----  
| NOTE THAT ESTABLISHMENT ADDRESS INFORMATION AND |  
| THE INFORMED CONSENT SCREENS WERE OMITTED STARTING |  
| IN PANEL 12 ROUND 3. THIS INFORMATION WAS |  
| IN PANEL 12 ROUNDS 1 AND 2. |  
| |  
| STARTING IN PANEL 13 THESE ITEMS WILL BE OMITTED |  
IN ALL ROUNDS.

BOX\_00  
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-----  
| CONTEXT HEADER DISPLAY INSTRUCTIONS: |  
| DISPLAY PERS.FULLNAME, ESTB.ESTBNAME, |  
| PRND.BEGREFMM, PRND.BEGREFDD, PRND.BEGREFYY, |  
| PRND.ENDREFMM, PRND.ENDREFDD, PRND.ENDREFYY, |  
| 'INSURANCE SOURCE'. |  
| |  
| FOR 'INSURANCE SOURCE', DISPLAY THE CATEGORY TEXT |  
| FROM HX23. IF HX23=91, DISPLAY THE OTHER SPECIFY |  
TEXT.

BOX\_01  
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-----  
| IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN THE |  
| EMPLOYMENT (EM) SECTION AS 'PROVIDES HEALTH |  
| INSURANCE' AND NOT FLAGGED AS 'SELF-EMPLOYED' |  
WITH A FIRM-SIZE-1, GO TO LOOP\_01

-----  
| IF LOOPING ON AN HX03 CATEGORY OR IF LOOPING ON |  
| AN HX23 CATEGORY (EXCEPT CODE '3' (DIRECTLY FROM |  
A SCHOOL)), GO TO HP03

-----  
| IF LOOPING ON CODE '3' (DIRECTLY FROM A SCHOOL) |  
AT HX23, CONTINUE WITH HP01

HP01  
=====

Does the insurance from the school cover only injuries caused  
by accidents, or does it have general health coverage?

GENERAL HEALTH COVERAGE ..... 1 {HP02}  
ONLY INJURIES CAUSED BY ACCIDENTS ..... 2 {BOX\_11}  
REF ..... -7 {HP02}  
DK ..... -8 {HP02}

HELP AVAILABLE FOR DEFINITION OF GENERAL HEALTH COVERAGE.

[Code One]

HP02  
=====

Would the insurance from the school cover health services  
outside of a school clinic?

YES ..... 1 {HP03}  
NO ..... 2 {BOX\_11}  
REF ..... -7 {HP03}  
DK ..... -8 {HP03}

HP03  
=====

INSURANCE SOURCE: {CATEGORY NAME FROM HX03 OR HX23}

I'd like to talk about the insurance which is from (a/an)  
{CATEGORY NAME FROM HX03 OR HX23}.

SELECT 'CONTINUE' UNLESS RESPONDENT VOLUNTEERS INSURANCE  
REPORTED IN ERROR.

CONTINUE ..... 1 {LOOP\_01}  
INSURANCE REPORTED IN ERROR ..... 2 {BOX\_11}

[Code One]

-----  
| FOR 'CATEGORY NAME FROM HX03 OR HX23' DISPLAY THE |  
| CATEGORY TEXT FROM HX03 OR HX23. SEE NOTE BOX AT |  
BEGINNING OR HP SECTION FOR DETAILS.

-----  
| IF CODED '2' (INSURANCE REPORTED IN ERROR), FLAG |  
ITEM FOR SOURCE CLEAN-UP.

LOOP\_01

=====

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-----  
| FOR EACH OF THE FOLLOWING: |  
| |  
| ESTABLISHMENT 1 |  
| ESTABLISHMENT 2 |  
| ESTABLISHMENT 3 |  
| ESTABLISHMENT 4 |  
| |  
| ASK BOX_01A-END_LP01 |  
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```

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-----  
| LOOP DEFINITION: LOOP-01 COLLECTS DETAILED |  
| INFORMATION ABOUT INSURANCE PROVIDED THROUGH AN |  
| EMPLOYER OR THE ESTABLISHMENT NAMES OF THE |  
| INSURANCE SOURCE COLLECTED IN EITHER HX03 OR HX23. |  
| IF LOOPING ON INSURANCE PROVIDED FROM AN EMPLOYER |  
| ONLY ONE LOOP CYCLE IS COMPLETED. |  
| |  
| IF LOOPING ON INSURANCE PROVIDED THROUGH AN |  
| INSURANCE SOURCE COLLECTED IN HX03 OR HX23, THE |  
| FIRST LOOP CYCLE COLLECTS THE MAIN ESTABLISHMENT |  
| NAME OF THE INSURANCE SOURCE. SUBSEQUENT CYCLES, |  
| IF ANY, ARE DETERMINED BY THE RESPONSE TO HP18. |  
| IF HP18 IS CODED '1' (YES), THE LOOP CYCLES AGAIN |  
| TO COLLECT THE NEXT ESTABLISHMENT NAME. IF HP18 |  
| IS NOT ASKED OR IS CODED '2' (NO), '-7' (REFUSED), |  
| OR '-8' (DON'T KNOW), THE LOOP ENDS. |  
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BOX\_01A

=====

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-----  
| IF LOOPING ON ANY ESTABLISHMENT FLAGGED IN |  
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT |  
| FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, |  
| GO TO HP09 |  
-----
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-----  
| OTHERWISE, CONTINUE WITH HP04 |  
-----
```

HP04A  
=====

OMITTED.

HP04  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Please give me the name of one of the {CATEGORY NAME FROM HX03  
OR HX23} {from which anyone in the family purchased this  
insurance/which covers anyone in the family/insurance companies  
for the insurance purchased from an agent}.

INTERVIEWER: VERIFY WITH RESPONDENT AND SELECT  
(ESTABLISHMENT) BELOW:

```
|-----|  
| ROSTER. ESTABLISHMENT |  
|-----|  
| 1. Establishment Name-30 |  
|-----|  
| 2. Establishment Name-30 |  
|-----|  
| 3. Establishment Name-30 |  
|-----|
```

-----  
| DISPLAY '(CATEGORY NAME FROM HX03 OR HX23)' IF |  
| NOT LOOPING ON CODE '5' (INSURANCE AGENT) AT HX03 |  
| OR CODE '4' (INSURANCE AGENT) AT HX23. |  
|  
| DISPLAY 'from which anyone in the family purchased |  
| this insurance' IF NOT LOOPING ON CODE '5' |  
| (INSURANCE AGENT) AT HX03 OR CODES '4' (INSURANCE |  
| AGENT) OR '12' (UNDER PLAN OF SOMEONE NOT LIVING |  
| HERE) AT HX23. |  
|  
| DISPLAY 'which covers anyone in the family' IF |  
| LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT |  
| LIVING HERE) AT HX23. |  
|  
| DISPLAY 'insurance company for the insurance |  
| purchased from an agent' IF LOOPING ON CODE '5' |  
| (INSURANCE AGENT) AT HX03 OR CODE '4' (INSURANCE |  
AGENT) AT HX23.

-----  
| FOR 'CATEGORY NAME FROM HX03 OR HX23' DISPLAY THE |  
| CATEGORY TEXT FROM HX03 OR HX23. SEE NOTE BOX AT |  
BEGINNING OF HP SECTION FOR DETAILS.

-----  
| THE CONTEXT HEADER DISPLAYED ON SCREENS |  
| HP04 - HP08 DEPENDS ON THE PATH THAT LEADS TO |  
| THE SCREEN. IF ASKING ABOUT A SPECIFIC PERSON |  
| (I.E., JOBHOLDER WHEN COMING FROM AN HX03 |  
| CATEGORY), CAPI DISPLAYS THE PERSON AND START |  
| DATE. IF ASKING ABOUT A SPECIFIC ESTABLISHMENT, |  
| CAPI DISPLAYS THE ESTABLISHMENT AND START DATE. |  
| OTHERWISE, CAPI DISPLAYS THE START DATE. FOR |  
| ROUND 5, CAPI ALSO DISPLAYS THE END DATE OF THE |  
REFERENCE PERIOD.

-----  
| DISPLAY AN "ADD ESTABLISHMENT" OPTION ON THIS |  
SCREEN.

-----  
| IF 'ADD ESTABLISHMENT' OPTION IS SELECTED, |  
CONTINUE WITH BOX\_01B

-----  
| OTHERWISE (ESTABLISHMENT WAS SELECTED FROM THE |  
LIST), GO TO BOX\_02

-----  
| ROSTER DETAILS: |  
| TITLE: RU\_ESTB\_3 |  
| |  
| COL # 1 HEADER: ESTABLISHMENT |  
| INSTRUCTIONS: DISPLAY ESTABLISHMENT NAME |  
(ESTB.ESTBNAME)

-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS RU-ESTABLISHMENTS-ROSTERS FOR |  
DISPLAY OF PRIVATE INSURANCE ESTABLISHMENTS.

-----  
| ROSTER BEHAVIOR: |  
| 1. SELECT ALLOWED. |  
| |  
| 2. MULTIPLE SELECT, ADD, DELETE, AND EDIT |  
DISALLOWED.

-----  
| ROSTER FILTER: |  
| DISPLAY ESTABLISHMENTS THAT ARE SOURCES OF PRIVATE |  
| INSURANCE. THIS DOES NOT INCLUDE ESTABLISHMENTS |  
| FLAGGED AS 'EMPLOYER' AND 'SELF-EMPLOYED' WITH A |  
FIRM-SIZE-1 THAT ARE COMING FROM THE HX03 SERIES.

BOX\_01B

-----  
| IF LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT |  
| LIVING HERE) AT HX23 AND IF 'ADD ESTABLISHMENT' |  
| IS SELECTED, GO TO HP07. (NOTE THAT HP07 IS NOT A |  
SEPARATE SCREEN; IT REPRESENTS A POPUP ON HP04.)

-----  
| IF 'ADD ESTABLISHMENT' IS SELECTED AND IF NOT |  
| LOOPING ON CODE '12' (UNDER PLAN OF SOMEONE NOT |  
| LIVING HERE) AT HX23, CONTINUE WITH HP06 (NOTE |  
| THAT HP06 IS NOT A SEPARATE SCREEN; IT REPRESENTS |  
A POPUP ON HP04.)

HP05  
=====

OMITTED.

HP06  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

INSURANCE SOURCE: {CATEGORY NAME FROM HX03 OR HX23}

ENTER NAME OF ESTABLISHMENT WHERE PERSON PURCHASED  
INSURANCE.

{ESTABLISHMENT: [\_\_\_\_\_]} {BOX\_02}

-----  
| WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS- |  
ROSTER.

HP07  
=====

{STR-DT}  
{END-DT}

You mentioned that someone in the family receives health  
insurance from the plan of someone not living here. How  
does that policyholder get this insurance?

INTERVIEWER: RECORD ESTABLISHMENT NAME BELOW.

[Establishment Name] ..... {BOX\_02}

-----  
| ONLY CATEGORY '12' (UNDER PLAN OF SOMEONE NOT |  
LIVING HERE) OF HX23 IS ASKED HP07.

-----  
| WRITE ESTABLISHMENT TO THE RU-ESTABLISHMENTS- |  
ROSTER.

HP08  
=====

OMITTED.

BOX\_02

=====

```
-----  
| IF HX03 IS CODED '1' OR '2' FLAG ESTABLISHMENT AS |  
| 'GROUP'. |  
| IF HX03 IS CODED '3', FLAG ESTABLISHMENT AS |  
| 'UNION'. |  
| IF HX03 IS CODED '5', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY-FROM AN AGENT'. |  
| IF HX03 IS CODED '6', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY'. |  
| IF HX03 IS CODED '7', FLAG ESTABLISHMENT AS 'HMO'. |  
| IF HX03 IS CODED '8', FLAG ESTABLISHMENT AS |  
| 'PREVIOUS EMPLOYER, NOT COBRA'. |  
| IF HX03 IS CODED '9', FLAG ESTABLISHMENT AS |  
| 'COBRA'. |  
| IF HX03 IS CODED '91', FLAG ESTABLISHMENT AS |  
| 'UNKNOWN TYPE-COLLECTED AT OTHER'. |  
| |  
| IF HX23 IS CODED '1', FLAG ESTABLISHMENT AS |  
| 'GROUP'. |  
| IF HX23 IS CODED '3', FLAG ESTABLISHMENT AS |  
| 'SCHOOL'. |  
| IF HX23 IS CODED '4', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY-FROM AN AGENT'. |  
| IF HX23 IS CODED '5', FLAG ESTABLISHMENT AS |  
| 'INSURANCE COMPANY'. |  
| IF HX23 IS CODED '6', FLAG ESTABLISHMENT AS |  
| 'HMO'. |  
| IF HX23 IS CODED '7', FLAG ESTABLISHMENT AS |  
| 'UNION'. |  
| IF HX23 IS CODED '8', FLAG ESTABLISHMENT AS |  
| 'COBRA'. |  
| IF HX23 IS CODED '9', FLAG ESTABLISHMENT AS |  
| 'PREVIOUS EMPLOYER, NOT COBRA'. |  
| IF HX23 IS CODED '10', FLAG ESTABLISHMENT AS |  
| 'SPOUSE PREVIOUS EMPLOYER'. |  
| IF HX23 IS CODED '11', FLAG ESTABLISHMENT AS |  
| 'EMPLOYER'. |  
| IF HX23 IS CODED '12', FLAG ESTABLISHMENT AS |  
| 'UNKNOWN TYPE-OUTSIDE RU'. |  
| IF HX23 IS CODED '91', FLAG ESTABLISHMENT AS |  
| 'UNKNOWN TYPE - COLLECTED AT OTHER'. |  
-----
```

-----  
| NOTE THAT 'HEALTH INSURANCE PURCHASING ALLIANCE' |  
| (CODE '4' AT HX03 AND CODE '2' AT HX23) WAS |  
| OMITTED IN PANEL 12 ROUND 2 AND WILL BE OMITTED IN |  
ALL FUTURE ROUNDS.

BOX\_03  
=====

-----  
IF LOOPING ON AN HX23 CATEGORY, GO TO HP11

-----  
OTHERWISE, CONTINUE WITH HP09

HP09  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{(Are/Is)/As of (END DATE), was} (PERSON) the primary insured  
person or policyholder of this health coverage through  
(ESTABLISHMENT)?

YES ..... 1 {LOOP\_02}  
NO ..... 2 {HP10}  
REF ..... -7 {HP10}  
DK ..... -8 {HP10}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

-----  
| DISPLAY '(Are/Is)' IF NOT ROUND 5. DISPLAY 'As of |  
(END DATE), was' IF ROUND 5.

-----  
PERSON REFERS TO JOBHOLDER.

```
-----  
| IF CODED '1' (YES), FLAG JOBHOLDER AS |  
| 'POLICYHOLDER'. |  
-----
```

HP10  
=====

```
{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}
```

Who {is/was} the primary insured person or policyholder of this health coverage through (ESTABLISHMENT) {on (END DATE)}?

```
{JOBHOLDER/EMPLOYER-PAIR 1}  
{JOBHOLDER/EMPLOYER-PAIR 2}  
{JOBHOLDER/EMPLOYER-PAIR 3}
```

```
JOBHOLDER/EMPLOYER IS LISTED ..... 1 {END_LP01}  
JOBHOLDER/EMPLOYER IS NOT LISTED ..... 2 {END_LP01}  
REF ..... -7 {END_LP01}  
DK ..... -8 {END_LP01}
```

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

[Code One]

```
-----  
| DISPLAY 'is' IF NOT ROUND 5. DISPLAY 'was' IF |  
| ROUND 5. DISPLAY 'on (END DATE)' IF ROUND 5. |  
| OTHERWISE, USE NULL DISPLAY. |  
-----
```

```
-----  
| IF CODED '2' (NO), '-7' (REFUSED) OR '-8' (DON'T |  
| KNOW), FLAG FOR EVENT CLEANUP. |  
-----
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-----  
| ROSTER DETAILS: |  
| TITLE: RU_ESTB_PERS_PAIRS_2 |  
| |  
| COL # 1 HEADER: JOBHOLDER/EMPLOYER PAIR |  
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, |  
| AND LAST NAME/ESTABLISHMENT NAME (PERS.FULLNAME/ |  
| ESTB.ESTBNAME) |  
-----
```

-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS RU-ESTABLISHMENT-PERSON-PAIRS- |  
ROSTER FOR DISPLAY OF EMPLOYER/JOBHOLDER PAIRS.

-----  
| ROSTER BEHAVIOR: |  
| 1. DISPLAY ONLY. |  
| |  
2. SELECT, ADD, DELETE, AND EDIT DISALLOWED.

-----  
| ROSTER FILTER: |  
| DISPLAY ALL PAIRS ON THE RU-ESTABLISHMENT-PERSON- |  
| PAIRS-ROSTER THAT MEET BOTH OF THE FOLLOWING |  
| CONDITIONS: |  
| |  
| 1. ESTABLISHMENT IS FLAGGED AS AN 'EMPLOYER' THAT |  
| IS ALSO FLAGGED AS 'PROVIDES HEALTH INSURANCE' |  
| AND |  
| |  
| 2. PERSON IS A JOBHOLDER AT THE JOB PROVIDED BY |  
ESTABLISHMENT

HP11  
=====

{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}

Who {is/was} the primary insured person or policyholder of this  
health coverage through (ESTABLISHMENT) {on (END DATE)}?

- [1. First Name, [Middle Name], Last Name-35] ..
- [2. First Name, [Middle Name], Last Name-35] ..
- [3. First Name, [Middle Name], Last Name-35] ..

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

[Code All that Apply]

-----  
| DISPLAY 'is' IF NOT ROUND 5. DISPLAY 'was' IF |  
| ROUND 5. DISPLAY 'on (END DATE)' IF ROUND 5. |  
| OTHERWISE, USE NULL DISPLAY. DISPLAY A |  
| "POLICYHOLDER NOT LISTED IN DU" AND "POLICYHOLDER |  
DECEASED" OPTION ON THIS SCREEN.

-----  
| IF BOTH 'POLICYHOLDER NOT LISTED IN DU' AND |  
| 'POLICYHOLDER DECEASED' ARE NOT SELECTED, GO TO |  
LOOP\_02

-----  
| IF 'POLICYHOLDER DECEASED' SELECTED, ALONE OR IN |  
| COMBINATION WITH OTHER NAMES EXCEPT 'POLICYHOLDER |  
NOT LISTED IN DU', GO TO HP11B

-----  
| IF 'POLICYHOLDER NOT LISTED IN DU' SELECTED, ALONE |  
| OR IN COMBINATION WITH OTHER NAMES AND/OR |  
'POLICYHOLDER DECEASED', CONTINUE WITH HP11A

-----  
| ROSTER DETAILS: |  
| TITLE: DU\_MEMBERS\_1 |  
| |  
| COL # 1 HEADER: NAME |  
| INSTRUCTIONS: DISPLAY DU MEMBER'S FIRST, MIDDLE, |  
AND LAST NAMES (PERS.FULLNAME)

-----  
| ROSTER DEFINITION: THIS ITEM DISPLAYS DU-MEMBERS- |  
ROSTER FOR SELECTION.

-----  
| ROSTER BEHAVIOR: |  
| 1. MULTIPLE SELECT ALLOWED. |  
| |  
2. ADD, DELETE, AND EDIT DISALLOWED.

-----  
| ROSTER FILTER: |  
NO FILTER; DISPLAY ALL DU MEMBERS.

HP11A  
=====

{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}

INTERVIEWER: ENTER NAME OR DESCRIPTION OF POLICYHOLDER WHO  
IS NOT IN THE DU:

[Enter Specify-15] ..... {LOOP\_02}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

-----  
| WHENEVER THIS POLICYHOLDER IS BEING ASKED ABOUT |  
| IN THE REMAINDER OF HP, HQ, HX, AND OE, THE |  
| POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE |  
| DISPLAYED AS 'PLCYHLDR NOT IN DU-' FOLLOWED BY |  
THE 15 CHARACTER ENTRY AT HP11A.

-----  
| IF 'POLICYHOLDER DECEASED' SELECTED AT HP11, |  
CONTINUE WITH HP11B

-----  
OTHERWISE, GO TO LOOP\_02

HP11B  
=====

{NAME OF ESTABLISHMENT} {STR-DT}  
{END-DT}

INTERVIEWER: ENTER NAME OF DECEASED POLICYHOLDER:

[Enter Specify-40] ..... {LOOP\_02}

HELP AVAILABLE FOR DEFINITION OF POLICYHOLDER.

-----  
FLAG POLICYHOLDER AS 'DECEASED'.

```
-----  
|   WHENEVER THE POLICYHOLDER IS BEING ASKED ABOUT   |  
|   IN THE REMAINDER OF HP, HQ, HX, AND OE, THE       |  
|   POLICYHOLDER NAME IN THE CONTEXT HEADER WILL BE  |  
|   DISPLAYED AS 'PLCYHLDR DECEASED-' FOLLOWED BY THE |  
|   FIRST 15 CHARACTERS OF THE ENTRY AT HP11B.        |  
-----
```

LOOP\_02

=====

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-----  
|   FOR EACH ELEMENT ON THE RU-ESTABLISHMENT-PERSON-  |  
|   PAIRS-ROSTER, ASK BOX_04 - END_LP02               |  
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-----  
|   LOOP DEFINITION: LOOP_02 COLLECTS INFORMATION    |  
|   ABOUT THE POLICYHOLDER AND DEPENDENTS FOR EACH  |  
|   ESTABLISHMENT-PERSON. THIS LOOP CYCLES ON EACH  |  
|   ESTABLISHMENT-PERSON-PAIR CREATED AT HP09 AND HP11 |  
|   DURING THE CURRENT ROUND FOR THE ESTABLISHMENT  |  
|   BEING CYCLED ON IN LOOP_01.                     |  
-----
```

BOX\_04

=====

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-----  
|   IF LOOPING ON AN ESTABLISHMENT FLAGGED IN        |  
|   EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE', GO TO |  
|   BOX_07                                           |  
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```

```
-----  
|   OTHERWISE, CONTINUE WITH BOX_05                  |  
-----
```

BOX\_05

=====

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-----  
| IF HX23 IS CODED '8' (PREVIOUS EMPLOYER-COBRA), |  
| '9' (PREVIOUS EMPLOYER-NOT COBRA), '10' (SPOUSE |  
| PREVIOUS EMPLOYER), OR '11' (OTHER EMPLOYER) |  
| CONTINUE WITH BOX_06 |  
-----
```

```
-----  
| OTHERWISE, GO TO BOX_07 |  
-----
```

BOX\_06

=====

```
-----  
| IF POLICYHOLDER WAS FLAGGED AT HP11 AS 'DECEASED', |  
| CODE HP12 AS '4' (DECEASED) AUTOMATICALLY BY CAPI |  
| AND GO TO HP13 |  
-----
```

```
-----  
| IF POLICYHOLDER IS NOT A CURRENT RU MEMBER, GO TO |  
| BOX_07 |  
-----
```

```
-----  
| OTHERWISE, CONTINUE WITH HP12 |  
-----
```

HP12  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

(Are/Is) (POLICYHOLDER) currently employed at this job,  
retired from this job, previously employed at this job, or is  
it some other situation?

CURRENTLY EMPLOYED ..... 1 {HP13}  
RETIRED ..... 2 {HP13}  
PREVIOUSLY EMPLOYED ..... 3 {HP13}  
DECEASED ..... 4 {HP13}  
OTHER ..... 91 {HP12OV}  
REF ..... -7 {HP13}  
DK ..... -8 {HP13}

HELP AVAILABLE FOR DEFINITIONS OF ANSWER CATEGORIES.

[Code One]

-----  
| IF CODED '4' (DECEASED), FLAG POLICYHOLDER AS |  
'DECEASED'.

-----  
| HARD CHECK: |  
| CODE '4' (DECEASED) CANNOT BE SELECTED FOR A |  
POLICYHOLDER WHO IS A CURRENT RU MEMBER.

HP12OV  
=====

OTHER:

[Enter Other Specify] ..... {HP13}  
REF ..... -7 {HP13}  
DK ..... -8 {HP13}

HP13  
====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{(Are/Is)/(Were/Was)} (POLICYHOLDER) a federal government  
employee at this job?

YES ..... 1 {BOX\_07}  
NO ..... 2 {BOX\_07}  
REF ..... -7 {BOX\_07}  
DK ..... -8 {BOX\_07}

HELP AVAILABLE FOR DEFINITION OF FEDERAL GOVERNMENT.

-----  
| DISPLAY '(Are/Is)' IF HP12 IS CODED '1' (CURRENTLY|  
EMPLOYED). OTHERWISE, DISPLAY '(Were/Was)'.

BOX\_07

=====

```
-----  
| IF ESTABLISHMENT THAT PROVIDES INSURANCE IS |  
| FLAGGED AS: |  
| |  
| 'EMPLOYER' AND JOB SUBTYPE IS NOT 'CURRENT MAIN', |  
| 'CURRENT MISCELLANEOUS JOB WITHIN REFERENCE |  
| PERIOD', OR 'RETIREMENT JOB' |  
| OR |  
| 'EMPLOYER' AND [JOB SUBTYPE IS 'FORMER MAIN', |  
| 'FORMER MISCELLANEOUS' OR 'LAST JOB OUTSIDE |  
| REFERENCE PERIOD'] AND JOB IS ALSO FLAGGED AS |  
| 'NOT RETIRED FROM' |  
| OR |  
| 'PREVIOUS EMPLOYER, NOT COBRA' (I.E., HX03-CODE |  
| '8'; HX23-CODE '9') |  
| OR |  
| 'EMPLOYER' (I.E., HX23-CODE '11') AND HP12 IS NOT |  
| CODED '1' (CURRENTLY EMPLOYED) |  
| OR |  
| 'SPOUSE PREVIOUS EMPLOYER' (I.E., HX23-CODE '10') |  
| OR |  
| 'UNKNOWN TYPE-OUTSIDE RU' (I.E., HX23-CODE '12') |  
| OR |  
| 'UNKNOWN TYPE-COLLECTED AT OTHER' (I.E., HX23- |  
| CODE '91'), |  
| |  
| CONTINUE WITH HP14 |  
-----
```

```
-----  
| OTHERWISE, GO TO HP15 |  
-----
```

HP14

====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Some employer insurance can be continued after leaving the  
company by continuing to pay the premium. This is sometimes  
referred to as a COBRA plan.

{Is/Was} (POLICYHOLDER)'s (ESTABLISHMENT) insurance like that  
{on (END DATE)}?

YES .....	1	{HP15}
NO .....	2	{HP15}
REF .....	-7	{HP15}
DK .....	-8	{HP15}

HELP AVAILABLE FOR DEFINITION OF COBRA.

```
-----  
| DISPLAY 'Is' IF NOT ROUND 5. DISPLAY 'Was' IF |  
| ROUND 5. DISPLAY 'on (END DATE)' IF ROUND 5. |  
| OTHERWISE, USE NULL DISPLAY. |  
-----
```

HP15

====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Was anyone {living here} covered as a dependent under  
(POLICYHOLDER)'s health coverage through (ESTABLISHMENT)  
at any time {since (START DATE)/between (START DATE) and  
(END DATE)}?

YES .....	1	{HP16}
NO .....	2	{HP17}
REF .....	-7	{HP17}
DK .....	-8	{HP17}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

```
-----  
| DISPLAY 'living here' IF LOOPING ON CODE '12' |  
| (OUTSIDE RU) AT HX23. |  
| |  
| DISPLAY 'since (START DATE)' IF NOT ROUND 5. |  
| DISPLAY 'between (START DATE) and (END DATE)' IF |  
| ROUND 5. |  
-----
```

HP16

====

```
{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DATE}
```

Who is that?

PROBE: Was anyone else covered as a dependent {since  
(START DATE)/between (START DATE) and (END DATE)}?

- [1. First Name, [Middle Name], Last Name-35]
- [2. First Name, [Middle Name], Last Name-35]
- [3. First Name, [Middle Name], Last Name-35]

[Code All That Apply]

```
-----  
| DISPLAY 'since (START DATE)' IF NOT ROUND 5. |  
| DISPLAY 'between (START DATE) and (END DATE)' IF |  
| ROUND 5. |  
-----
```

```
-----  
| IF 'PERSON NOT LISTED IN RU' IS SELECTED, FLAG |  
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR |  
| AS 'COVERING PERSON NOT LISTED IN RU'. |  
-----
```

```
-----  
| GO TO BOX_08 |  
-----
```

-----  
| ROSTER DETAILS: |  
| TITLE: RU\_MEMBERS\_1 |  
| |  
| COL # 1 HEADER: NAME |  
| INSTRUCTIONS: DISPLAY RU MEMBER'S FIRST, MIDDLE, |  
AND LAST NAMES (PERS.FULLNAME)

-----  
| ROSTER DEFINITION: |  
| THIS ITEM DISPLAYS RU-MEMBERS-ROSTER FOR SELECTION |  
OF RU-MEMBERS.

-----  
| ROSTER BEHAVIOR: |  
| 1. MULTIPLE SELECT ALLOWED. |  
| |  
2. ADD, DELETE, AND EDIT DISALLOWED.

-----  
| ROSTER FILTER: |  
| DISPLAY ALL PERSONS ON THE RU-MEMBERS-ROSTER |  
| EXCLUDING THE PERSON WHO IS THE POLICYHOLDER FOR |  
| THIS INSURANCE; THAT IS, DO NOT DISPLAY THE NAME |  
| OF PERSON IN THE ESTABLISHMENT-PERSON-PAIR BEING |  
ASKED ABOUT.

-----  
| DISPLAY 'PERSON NOT LISTED IN RU' AS LAST ITEM ON |  
ROSTER.

HP17  
=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

{Does/Between (START DATE) and (END DATE), did} (POLICYHOLDER)'s  
health coverage through (ESTABLISHMENT) cover as dependents any  
persons who do not live here?

YES ..... 1 {BOX\_08}  
NO ..... 2 {BOX\_08}  
REF ..... -7 {BOX\_08}  
DK ..... -8 {BOX\_08}

HELP AVAILABLE FOR DEFINITION OF DEPENDENT.

-----  
| DISPLAY 'Does' IF NOT ROUND 5. DISPLAY 'Between |  
(START DATE) and (END DATE), did' IF ROUND 5.

-----  
| IF CODED '1' (YES), FLAG INSURANCE THROUGH THIS |  
| ESTABLISHMENT-PERSON-PAIR AS 'COVERING PERSON NOT |  
LISTED IN RU' IN HP16.

BOX\_08  
=====

-----  
| IF THERE ARE NO POLICYHOLDERS OR DEPENDENTS WHO |  
| ARE CURRENT RU MEMBERS, THAT IS, POLICYHOLDER IS A |  
| DU MEMBER BUT NOT A CURRENT RU MEMBER, OR IS |  
| FLAGGED AS 'NOT LISTED IN DU' OR 'POLICYHOLDER |  
| DECEASED' AND INSURANCE ALSO FLAGGED ONLY AS |  
'COVERING PERSON NOT IN RU', GO TO END\_LP02

-----  
OTHERWISE, CONTINUE WITH LOOP\_03

LOOP\_03

=====

-----  
| FOR EACH ELEMENT IN THE RU-ESTB-PLCYHLDR-COVRD- |  
PERS-TRPLS-ROSTER, ASK BOX-09-END\_LP03

-----  
| LOOP DEFINITION: LOOP\_03 COLLECTS TIME PERIOD |  
| COVERAGE FOR ALL CURRENT RU MEMBERS COVERED BY THE |  
| INSURANCE THROUGH THIS ESTABLISHMENT-PERSON-PAIR. |  
| THIS LOOP CYCLES ON CURRENT RU MEMBERS WHO ARE |  
| SELECTED AS DEPENDENTS AT HP16 AND THE RU MEMBER |  
| WHO IS FLAGGED AS THE POLICYHOLDER FOR THIS |  
INSURANCE.

BOX\_09

=====

-----  
| ASK THE TIME PERIOD COVERED DETAIL (HQ) SECTION. |  
| |  
| AT COMPLETION OF TIME PERIOD COVERED DETAIL (HQ) |  
SECTION, CONTINUE WITH END\_LP03

END\_LP03

=====

-----  
| CYCLE ON NEXT PERSON IN THE RU-ESTB-PLCYHLDR- |  
| COVRD-PERS-TRPLS-ROSTER WHO MEETS THE CONDITIONS |  
STATED IN THE LOOP DEFINITION.

-----  
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |  
END LOOP\_03 AND CONTINUE WITH END\_LP02

END\_LP02

=====

-----  
| CYCLE ON NEXT PAIR IN THE RU-ESTABLISHMENT-PERSON-|  
| PAIRS-ROSTER WHO MEETS THE CONDITIONS STATED IN |  
THE LOOP DEFINITION.

-----  
| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |  
LOOP\_02 AND CONTINUE WITH BOX\_10

BOX\_10

=====

-----  
| IF LOOPING ON AN ESTABLISHMENT FLAGGED IN |  
| EMPLOYMENT AS 'PROVIDES HEALTH INSURANCE' AND NOT |  
| FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1, GO |  
TO END\_LP01

-----  
OTHERWISE, CONTINUE WITH HP18

HP18

=====

{POLICYHOLDER FIRST MIDDLE LAST NAME} {NAME OF  
ESTABLISHMENT} {STR-DT}  
{END-DT}

Aside from (POLICYHOLDER)'s (ESTABLISHMENT) insurance, is there  
another health insurance plan that anyone in the family obtains  
from (a/an) {CATEGORY NAME FROM HX03 OR HX23}?

YES ..... 1 {END\_LP01}  
NO ..... 2 {END\_LP01}  
REF ..... -7 {END\_LP01}  
DK ..... -8 {END\_LP01}

```
-----  
| FOR 'CATEGORY NAME FROM HX03 OR HX23', DISPLAY |  
| THE CATEGORY TEXT FROM HX03 OR HX23. SEE NOTE BOX |  
| AT BEGINNING OF HP SECTION FOR DETAILS. |  
-----
```

END\_LP01

=====

```
-----  
| IF HP18 IS CODED '1' (YES), CYCLE TO COLLECT NEXT |  
| ESTABLISHMENT NAME. |  
-----
```

```
-----  
| IF HP18 IS NOT ASKED OR IS CODED '2' (NO), '-7' |  
| (REFUSED), OR '-8' (DON'T KNOW), END LOOP_01 AND |  
| CONTINUE WITH BOX_11 |  
-----
```

BOX\_11

=====

```
-----  
| RETURN TO THE HEALTH INSURANCE (HX) SECTION. |  
-----
```