

Closing (CL) Section

Subsection 1: MPC Authorization Forms (Round 1 through Round 5)

BOX\_01

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IF:  
AT LEAST ONE PERSON-PROVIDER-PAIR **ELIGIBLE** (SEE  
SAMPLING BOXES BELOW) FOR AUTHORIZATION FORM  
COLLECTION **FOR THE CURRENT ROUND**,  
OR  
AT LEAST ONE PERSON-PROVIDER-PAIR ELIGIBLE FOR  
AUTHORIZATION FORM COLLECTION DURING THE PREVIOUS  
ROUND AND CL04 WAS CODED '3' (LEFT WITH R), '4'  
(MAILED TO R), '5' (REFUSED), OR '91' (OTHER) FOR  
THIS PERSON-PROVIDER-PAIR IN PREVIOUS ROUND,  
CONTINUE WITH CL01  
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NOTE: RECEIPT CONTROL WILL UPDATE CAPI INTER-  
ROUND, USING THE CODE STRUCTURE AT CL04. UPDATES  
CAN BE EITHER POSITIVE OR NEGATIVE. THIS MEANS  
THAT INTER-ROUND AN AF CAN EITHER GET UPDATED TO A  
HIGHER STATUS CODE (FROM UNSIGNED TO SIGNED) OR TO  
A LOWER STATUS CODE (FROM SIGNED TO UNSIGNED --  
I.E., IT WAS NOT SIGNED BY THE RIGHT PERSON). SEE  
MAPPING SPECIFICATIONS FOR EXACT UPDATES TO STATUS  
CODES.  
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OTHERWISE, GO TO BOX\_02  
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**SAMPLING BOX (FOR ROUND 1):**

PERSON-PROVIDER-PAIRS ELIGIBLE FOR MPC  
AUTHORIZATION FORM COLLECTION:

NOTE: PERSON IS A KEY, ELIGIBLE RU MEMBER (AT  
TIME OF EVENT).

ROUND 1: PERSON-PROVIDER-PAIRS ELIGIBLE FOR  
AUTHORIZATION FORM COLLECTION ARE THOSE  
ASSOCIATED WITH A HOSPITAL-BASED EVENT (HS, ER,  
AND OP EVENTS) AND PROVIDERS ASSOCIATED WITH  
HOSPITAL-BASED EVENTS AND FLAGGED AS SEPARATELY-  
BILLING DOCTORS (SBD) AND CARE WAS PROVIDED TO  
PERSON DURING THE CURRENT REFERENCE PERIOD.

ONE AUTHORIZATION FORM IS CREATED FOR EACH  
PERSON-PROVIDER-PAIR IN WHICH THE PROVIDER IS  
ASSOCIATED WITH AN HS, ER, OR OP EVENT DURING  
THE EVENT ROSTER OR EVENT DRIVER SECTION.

**SAMPLING BOX (FOR ROUNDS 2-5):**

PERSON-PROVIDER-PAIRS ELIGIBLE FOR MPC  
AUTHORIZATION FORM COLLECTION:

NOTE: PERSON IS A KEY, ELIGIBLE RU MEMBER (AT  
TIME OF EVENT).

ROUNDS 2-5: PERSON-PROVIDER-PAIRS ELIGIBLE FOR  
AUTHORIZATION FORM COLLECTION ARE THOSE  
ASSOCIATED WITH A HOSPITAL-BASED EVENT (HS, ER,  
AND OP EVENTS) AND CARE WAS PROVIDED TO PERSON  
DURING THE CURRENT REFERENCE PERIOD.

ADDITIONAL PAIRS ELIGIBLE FOR AUTHORIZATION FORM  
COLLECTION ARE THOSE ASSOCIATED WITH A HOME  
HEALTH EVENT (HH EVENT), WHERE THE PROVIDER IS  
FLAGGED AS AN 'AGENCY', AND CARE WAS PROVIDED  
TO PERSON DURING THE ROUND 1, ROUND 2, ROUND 3,  
ROUND 4, OR ROUND 5 REFERENCE PERIODS.

OTHER PAIRS ELIGIBLE FOR AUTHORIZATION FORM  
COLLECTION ARE THOSE ASSOCIATED WITH A MEDICAL  
PROVIDER VISIT EVENT (MV EVENT) WHERE CARE WAS  
PROVIDED TO PERSON DURING THE ROUND 1, ROUND 2,  
ROUND 3, ROUND 4, OR ROUND 5 REFERENCE  
PERIODS, WHERE THE RU IS SELECTED FOR THE MPC  
SAMPLE, AS DEFINED BELOW, AND EITHER:

- A MEDICAL DOCTOR WAS SEEN DURING THE VISIT  
(MV03 = 1)
- MEDICAL DOCTORS WORK AT THE SAME LOCATION AS  
THE PROVIDER SEEN (MV06 = 1)

FINAL PAIRS ELIGIBLE FOR AUTHORIZATION FORM  
COLLECTION ARE THOSE ASSOCIATED WITH AN  
INSTITUTIONAL CARE EVENT (IC EVENTS), WHERE CARE  
WAS PROVIDED TO PERSON DURING THE ROUND 1, ROUND  
2, ROUND 3, ROUND 4 OR ROUND 5 REFERENCE  
PERIODS.

**SAMPLING BOX (FOR ROUNDS 2-5) CONT'D:**

WHEN DETERMINING IF THE MV EVENTS FOR AN RU REQUIRE AUTHORIZATION FORMS, AN RU IS SELECTED FOR THE MPC SAMPLE AT THE TIME OF THE ROUND 1 INTERVIEW USING THE FOLLOWING RATES:

- 100% OF RUs WITH AT LEAST ONE RU MEMBER COVERED BY MEDICAID OR GOV'T HOSPITAL (PHYSICIAN) AT ANY TIME DURING THE REFERENCE PERIOD
- 100% OF THE REMAINING RUs (THAT IS, RUs WITH NO RU MEMBER COVERED BY MEDICAID OR GOV'T-HOSPITAL/PHYSICIAN AT ANY TIME DURING THE REFERENCE PERIOD) WITH AT LEAST ONE RU MEMBER WITH HMO COVERAGE AT ANY TIME DURING THE REFERENCE PERIOD. HMO COVERAGE IS DEFINED AS:  
IF AT LEAST ONE PRIVATE INSURANCE PLAN IN RU MEETS THE FOLLOWING CONDITIONS:
  - FLAGGED AS 'PROVIDING HOSPITAL/PHYSICIAN BENEFITS' (EXCLUDE INSURERS WHERE HOSPITAL/PHYSICIAN BENEFITS ARE PROVIDED SOLELY THROUGH MEDIGAP)
  - ESTABLISHMENT OR INSURER IS FLAGGED AS 'HMO'OR  
INSURER IS AN HMO (MC01 IS CODED '1' (YES))  
OR  
INSURER REQUIRES PERSONS TO SIGN UP WITH PRIMARY PHYSICIAN (MC02 IS CODED '1' (YES))
- 100% OF THE REMAINING RUs (THAT IS, RUs WITH NO RU MEMBER COVERED BY MEDICAID OR GOV'T-HOSPITAL/PHYSICIAN AND HMO COVERAGE AT ANY TIME DURING THE REFERENCE PERIOD).

NOTE: IF THE SAME PROVIDER IS ASSOCIATED MORE THAN ONCE FOR A PARTICULAR PERSON, ONLY ONE AUTHORIZATION FORM IS CREATED FOR THAT PAIR. IF THE SAME PROVIDER IS ASSOCIATED WITH MORE THAN ONE PERSON, A AUTHORIZATION FORM IS CREATED FOR EACH UNIQUE PERSON-PROVIDER-PAIR.

NOTE: IF THE PERSON-PROVIDER-PAIR IS OUTSTANDING FROM A PREVIOUS ROUND AND THERE IS A NEW ELIGIBLE EVENT FOR THIS PAIR IN THE CURRENT ROUND, THE PAIR WILL NOT BE TREATED AS IF IT IS OUTSTANDING. THAT IS, THE DISPLAYS FOR PREVIOUS ROUND STATUS WILL NOT BE SHOWN, ETC.

CL01  
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{[As I mentioned during the last interview], it/It} is important for us to get accurate names and addresses for medical providers so that we can contact them for more information about the services they provide. To do this, we must have written authorization from the family members receiving these services. I would like to get authorization from the following people:

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]

[HAND RESPONDENT THE AUTHORIZATION FORM BOOKLET.]

[These materials explain more about why we contact medical providers and answer questions people sometimes ask about this part of the study. Please take a minute to review this information while I prepare the forms.]

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ROSTER DEFINITION: DISPLAY EACH **PERSON** ON THE  
RU-PERSON-PROVIDER-PAIRS-ROSTER WHO MEETS THE  
FOLLOWING CONDITION(S):  
  
- PERSON IS ELIGIBLE FOR MPC AUTHORIZATION FORM  
COLLECTION FOR THE CURRENT ROUND (SEE BOX\_01  
SAMPLING SPECIFICATIONS)  
OR  
- PERSON WAS ASSOCIATED WITH A PERSON-PROVIDER-  
PAIR ELIGIBLE FOR AUTHORIZATION FORM COLLECTION  
IN PREVIOUS ROUND, AND  
- CL04 WAS CODED '3' (LEFT WITH R), '4' (MAILED  
TO R), '5' (REFUSED), OR '91' (OTHER) FOR THIS  
PERSON-PROVIDER-PAIR IN PREVIOUS ROUND  
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NOTE: DISPLAY EACH UNIQUE ELIGIBLE PERSON NAME  
ONLY ONCE.  
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DISPLAY '[As I mentioned during the last  
interview], it' IF NOT ROUND 1 AND AT LEAST ONE  
PERSON-PROVIDER-PAIR WAS ELIGIBLE FOR MPC  
AUTHORIZATION FORM COLLECTION DURING THE PREVIOUS  
ROUND. OTHERWISE, DISPLAY 'It'.  
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CL02  
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OMITTED.

LOOP\_01  
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| FOR EACH ELEMENT ON THE RU-PERSON-PROVIDER-PAIRS- |  
ROSTER, ASK CL03 - END\_LP01

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| LOOP DEFINITION: LOOP\_01 PRESENTS EACH UNIQUE |  
| PERSON-PROVIDER-PAIR ELIGIBLE FOR AUTHORIZATION |  
| FORM COLLECTION (THIS INCLUDES NEW AND OUTSTANDING |  
| FORMS) FOR THE INTERVIEWER TO COMPLETE THE |  
| AUTHORIZATION FORM. THIS LOOP CYCLES ON RU- |  
| PERSON-PROVIDER-PAIRS WITH AN EVENT-PROVIDER- |  
| PAIR THAT MEET THE FOLLOWING CONDITION(S): |  
| - PAIR IS ELIGIBLE FOR AUTHORIZATION FORM |  
| COLLECTION FOR THE CURRENT ROUND (SEE BOX\_01 |  
| SAMPLING SPECIFICATIONS) |  
| OR |  
| - PAIR WAS ELIGIBLE FOR AUTHORIZATION FORM |  
| COLLECTION IN PREVIOUS ROUND, AND |  
| - CL04 WAS CODED '3' (LEFT WITH R), '4' (MAILED |  
| TO R), '5' (REFUSED), OR '91' (OTHER) FOR THIS |  
PAIR IN THE PREVIOUS ROUND

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| NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON- |  
PROVIDER-PAIR.

CL03  
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INTERVIEWER: {COMPLETE AUTHORIZATION FORM/LOCATE APPROPRIATE  
PREPRINTED MPC AUTHORIZATION FORM (COMPLETE NEW ONE IF FORM  
CANNOT BE LOCATED)} FOR THE FOLLOWING PERSON-PROVIDER-PAIR:

PID: [PID-3]                    PERSON: [First,[Middle],Last Name-35]  
DOB: [MM/DD/YYYY]            AGE: [XXX]            STATUS: [Status Code Description]

PROVIDER ID: [Provid-4]  
PROVIDER NAME: [Provider Full Name-65]  
PROVIDER ADDRESS: [Street Address from Provider Directory]  
                  [City Name], [ST] [Zip Code] [Telephone]

{AF STATUS FROM PREVIOUS ROUND: {DISPLAY PREVIOUS ROUND STATUS - 40}}  
**SIGNATURE DATE ON MPC AF MUST BE ON OR AFTER: {MM/DD/YYYY}**

{IF A MPC AF FOR THIS PAIR HAS ALREADY BEEN SIGNED ON OR AFTER THE  
ABOVE DATE, DO NOT CREATE A NEW MPC AF.}

PRESS ENTER TO CONTINUE.

PRESS F1 FOR MORE INFORMATION ON MPC AUTHORIZATION FORMS.

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DISPLAY 'COMPLETE AUTHORIZATION FORM ...' IF  
PAIR CREATED AND ELIGIBLE DURING CURRENT ROUND.  
OTHERWISE, DISPLAY 'LOCATE ... LOCATED)'.  
  
DISPLAY 'AF STATUS ... -40}' IF CURRENT PERSON-  
PROVIDER-PAIR IS OUTSTANDING FROM THE PREVIOUS  
ROUND AND NO ELIGIBLE EVENT WAS CREATED FOR THIS  
PAIR IN THE CURRENT ROUND.  
  
FOR 'DISPLAY PREVIOUS...-40', DISPLAY THE CATEGORY  
ENTRY ASSOCIATED WITH THE PREVIOUS ROUND (OR  
RECEIPT CONTROL UPDATED) CL04 OUTSTANDING STATUS.  
THAT IS, IF CL04 WAS CODED '3', DISPLAY 'LEFT WITH  
R'; IF CL04 WAS CODED '4', DISPLAY 'MAILED TO R';  
IF CL04 WAS CODED '5', DISPLAY 'REFUSED'; AND IF  
CL04 WAS CODED '91', DISPLAY THE FIRST 40  
CHARACTERS FROM THE OTHER SPECIFY ENTRY FIELD (OR  
THE RECEIPT CONTROL UPDATE TEXT GENERATED FOR THE  
'91' CODE).  
  
DISPLAY THE INTERVIEW DATE OF THE MOST RECENT  
ROUND'S INTERVIEW FOR WHICH PAIR IS/WAS ELIGIBLE  
FOR AUTHORIZATION FORM COLLECTION FOR  
'MM/DD/YYYY'.  
  
DISPLAY 'IF MPC AF FOR ... NEW MPC AF.' IF CURRENT  
PERSON-PROVIDER-PAIR WAS ELIGIBLE FOR MPC IN  
PREVIOUS ROUND AND FORM WAS NOT SIGNED IN THE  
PREVIOUS ROUND.  
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END\_LP01  
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CYCLE ON NEXT PAIR ON THE RU-PERSON-PROVIDER-  
PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN  
THE LOOP DEFINITION.  
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IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END  
LOOP\_01 AND CONTINUE WITH LOOP\_02  
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LOOP\_02  
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| FOR EACH ELEMENT ON THE RU-PERSON-PROVIDER-PAIRS- |  
ROSTER, ASK BOX\_04A - END\_LP02

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| LOOP DEFINITION: LOOP\_02 COLLECTS THE STATUS OF |  
| PERSON-PROVIDER AUTHORIZATION FORMS ELIGIBLE FOR |  
| AUTHORIZATION FORM COLLECTION (THIS INCLUDES NEW |  
| AND OUTSTANDING FORMS). THIS LOOP CYCLES ON |  
| RU-PERSON-PROVIDER-PAIRS WITH AN EVENT-PROVIDER- |  
| PAIR THAT MEET THE FOLLOWING CONDITION(S): |  
| - PAIR IS ELIGIBLE FOR AUTHORIZATION FORM |  
| COLLECTION FOR THE CURRENT ROUND (SEE BOX\_01 |  
| SAMPLING SPECIFICATIONS) |  
| OR |  
| - PAIR WAS ELIGIBLE FOR AUTHORIZATION FORM |  
| COLLECTION IN PREVIOUS ROUND, AND |  
| - CL04 WAS CODED '3' (LEFT WITH R), '4' (MAILED TO |  
| R), '5' (REFUSED), OR '91' (OTHER) FOR THIS PAIR |  
IN THE PREVIOUS ROUND

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| NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON- |  
PROVIDER-PAIR.

CL04  
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INTERVIEWER: ASK APPROPRIATE PERSON(S) TO SIGN AUTHORIZATION FORM.  
IF NOT AVAILABLE TO SIGN, LEAVE AF AND BOOKLET WITH RESPONDENT.  
RECORD STATUS BELOW AND ON THE AUTHORIZATION FORM LOG.

PID: [PID-3]                    PERSON: [First, [Middle], Last Name-35]  
DOB: [MM/DD/YYYY]            AGE: [XXX]        STATUS: [Status Code Description]

PROVIDER ID: [ProvID-4]  
PROVIDER NAME: [Provider Full Name-65]  
PROVIDER ADDRESS: [Street Address from Provider Directory]  
                  [City Name], [ST] [Zip Code] [Telephone]

**SIGNATURE DATE ON MPC AF MUST BE ON OR AFTER: {MM/DD/YYYY}**

ENTER THE AUTHORIZATION FORM STATUS:

SIGNED, NO PROBLEM .....	1	{CL05}
SIGNED WITH PROBLEM .....	2	
LEFT WITH R .....	3	{END_LP02}
MAILED TO R .....	4	{END_LP02}
REFUSED .....	5	{CL06}
OTHER .....	91	{CL04OV2}

PRESS F1 FOR MORE INFORMATION ON MPC AUTHORIZATION FORMS.

[Code One]

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| DISPLAY THE RU END REFERENCE DATE OF THE MOST |  
| RECENT ROUND FOR WHICH PAIR IS/WAS ELIGIBLE |  
| FOR AUTHORIZATION FORM COLLECTION FOR |  
'MM/DD/YYYY' .

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| EDIT: CODE '4' (MAILED TO R) MUST BE ENTERED |  
| TWICE IF RU IS NOT A STUDENT RU. IF CODE '4' |  
| SELECTED AND RU IS NOT A STUDENT RU, DISPLAY THE |  
| FOLLOWING MESSAGE: 'UNLIKELY RESPONSE. VERIFY |  
AND RE-ENTER.'

CL04OV1  
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ENTER PROBLEM:

[Enter Problem-45] ..... {CL05}

CL04OV2  
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ENTER OTHER:

[Enter Other Specify-45] ..... {END\_LP02}

CL05  
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PID: [PID-3]            PERSON: [First, [Middle], Last Name-35]  
DOB: [MM/DD/YYYY]    AGE: [XXX]    STATUS: [Status Code Description]

PROVIDER ID: [ProvID-4]  
PROVIDER NAME: [Provider Full Name-65]  
PROVIDER ADDRESS: [Street Address from Provider Directory]  
  [City Name], [ST] [Zip Code] [Telephone]

**SIGNATURE DATE ON MPC AF MUST BE ON OR AFTER: {MM/DD/YYYY}**

ENTER MPC AUTHORIZATION FORM NUMBER:  
{NOTE: IF 2 FORMS COLLECTED FOR THE SAME PAIR, ENTER MPC AF NUMBER  
FROM THE FORM WITH THE MOST RECENT SIGNATURE DATE. HOWEVER, COLLECT  
ALL SIGNED AF(S) AND MAKE A NOTE OF EXTRA AF(S) IN COMMENT AREA OF  
THE AF LOG.}

[Enter Number-8] .....

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| DISPLAY THE RU END REFERENCE DATE OF THE MOST |  
| RECENT ROUND FOR WHICH PAIR IS/WAS ELIGIBLE |  
| FOR AUTHORIZATION FORM COLLECTION FOR |  
| 'MM/DD/YYYY'. |  
| |  
| DISPLAY 'NOTE: ... LOG.' IF CURRENT PERSON- |  
| PROVIDER-PAIR ELIGIBLE FOR MPC IN PREVIOUS ROUND |  
| AND FORM WAS NOT SIGNED IN THE PREVIOUS ROUND. |  
| OTHERWISE, USE A NULL DISPLAY. |  

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NOTE: EACH AUTHORIZATION FORM HAS A PRE-ASSIGNED  
AUTHORIZATION FORM NUMBER.  
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EDIT: NUMBER ENTERED MUST BE 8 CHARACTERS LONG  
AND MUST BEGIN AND END WITH AN ALPHA CHARACTER.  
THE FIRST ALPHA MUST BE A-M, T, OR Y. THE FIRST  
NUMERIC DIGIT (SECOND CHARACTER OF ENTRY) MUST  
BE 0, 1, 2, 3, 4, OR 9. THE LAST ALPHA MUST BE  
A, B, C, D, OR E.  
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CL05OV  
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ENTER MPC AUTHORIZATION FORM SIGNATURE DATE:

[Enter Month, Day, Year-4] ..... {END\_LP02}

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EDIT: DATE ENTERED MUST BE ON OR AFTER THE  
INTERVIEW DATE OF THE MOST RECENT ROUND'S  
INTERVIEW FOR WHICH THE PAIR IS/WAS ELIGIBLE FOR  
AUTHORIZATION FORM COLLECTION. IF DATE IS BEFORE  
CORRECT DATE, DISPLAY THE FOLLOWING MESSAGE:  
'MPC AF MUST BE SIGNED ON OR AFTER ABOVE DATE.  
VERIFY AND RE-ENTER DATE OR COMPLETE NEW AF.'  
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NOTE: INTERVIEWERS WILL BE INSTRUCTED TO COLLECT  
SIGNED MPC AUTHORIZATION FORMS WITH DATES EARLIER  
THAN THE ONE DISPLAYED, BUT WILL NOT ENTER THE  
NUMBER IN CAPI SINCE THE CURRENT STATUS FOR THE  
AUTHORIZATION FORM WITH THE CORRECT DATE MAY BE  
SOMETHING ELSE. THE CAPI STATUS OF THE MPC  
AUTHORIZATION FORM SHOULD REFLECT THE FORM WITH  
THE MOST RECENT DATE.  
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CL06  
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PID: [PID-3]                    PERSON: [First, [Middle], Last Name-35]  
DOB: [MM/DD/YYYY]            AGE: [XXX]      STATUS: [Status Code Description]

PROVIDER ID: [ProvID-4]  
PROVIDER NAME: [Provider Full Name-65]  
PROVIDER ADDRESS: [Street Address from Provider Directory]  
                  [City Name], [ST] [Zip Code] [Telephone]

ENTER MAIN REASON FOR REFUSAL:

          DOESN'T WANT TO BOTHER PROVIDER ..... 1 {END\_LP02}  
          CONFIDENTIALITY/SENSITIVE INFORMATION .. 2 {END\_LP02}  
          PAYMENT PROBLEM WITH PROVIDER ..... 3 {END\_LP02}  
          HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END\_LP02}  
          WANTS MORE INFORMATION BEFORE SIGNING .. 5 {END\_LP02}  
          NOT INTERESTED IN STUDY ..... 6 {END\_LP02}  
          NO REASON GIVEN ..... 7 {END\_LP02}  
          OTHER ..... 91

[Code One]

CL060V  
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ENTER OTHER REASON FOR REFUSAL:

[Enter Other Specify-45] .....

END\_LP02  
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|          CYCLE ON NEXT PAIR ON THE RU-PERSON-PROVIDER-  
|          PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN  
THE LOOP DEFINITION.

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|          IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END  
LOOP\_02 AND CONTINUE WITH BOX\_02

BOX\_02

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IF NOT ROUND 1 AND ANY KEY RU MEMBER HAD A  
STATUS OF INSTITUTIONALIZED (IN A HEALTH CARE  
INSTITUTION) AT THE PREVIOUS ROUND'S INTERVIEW  
DATE, BUT HAS A DIFFERENT STATUS AS OF THE  
CURRENT ROUND'S INTERVIEW DATE, CONTINUE WITH  
LOOP\_02A

OTHERWISE, GO TO BOX\_03

LOOP\_02A

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FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK  
CL06A - END\_LP02A

LOOP DEFINITION: LOOP\_02A INSTRUCTS THE  
INTERVIEWER TO COLLECT THE HEALTH CARE INSTITUTION  
HISTORY AND THE APPROPRIATE NUMBER OF MEDICAL  
PROVIDER AUTHORIZATION FORMS FOR ALL RU MEMBERS  
WHO HAS A STATUS OF INSTITUTIONALIZED (IN A HEALTH  
CARE INSTITUTION) AT THE PREVIOUS ROUND'S  
INTERVIEW DATE, BUT WHO REJOINED THE COMMUNITY  
(OR CHANGED STATUS) DURING THE CURRENT ROUND.  
THIS LOOP CYCLES ON RU MEMBERS WHO MEET THE  
FOLLOWING CONDITIONS:

- PERSON IS AN RU MEMBER
- PERSON IS KEY
- PERSON DOES NOT HAVE A STATUS OF  
INSTITUTIONALIZED AS OF THE CURRENT ROUND'S  
INTERVIEW DATE
- PERSON HAD A STATUS OF INSTITUTIONALIZED ON THE  
PREVIOUS ROUND'S INTERVIEW DATE

CL06A  
=====

PID: [PID-3]                    PERSON: [First,[Middle],Last Name-35]  
DOB: [MM/DD/YYYY]            AGE: [XXX]            STATUS: [Status Code Description]  
DATE ORIGINALLY INSTITUTIONALIZED: [MM/DD/YYYY]  
DATE REJOINED COMMUNITY/CHANGED STATUS: [MM/DD/YYYY]

**SIGNATURE DATE ON MPC AF MUST BE ON OR AFTER: {MM/DD/YYYY}**

INTERVIEWER: THE PERSON NAMED ABOVE WAS INSTITUTIONALIZED IN A PREVIOUS ROUND AND HAS NOW REJOINED THE COMMUNITY OR CHANGED STATUS. COMPLETE THE FOLLOWING STEPS:

1. FILL OUT HEALTH CARE INSTITUTION HISTORY.
2. COMPLETE A MPC AF FOR EACH DIFFERENT HEALTH CARE INSTITUTION LISTED ON HEALTH CARE INSTITUTION HISTORY. WRITE 'IC' IN UPPER LEFT CORNER OF MPC AF. REFER TO SECTION 3 OF HISTORY FOR INSTRUCTIONS ON COMPLETING THESE AF(S).
3. FOR EACH MPC AF CREATED THIS WAY, RECORD PERSON AND PROVIDER INFORMATION IN THE AF LOG.
4. REQUEST SIGNATURE(S) ON AF(S).
5. LEAVE UNSIGNED AF(S) AND THE AF BOOKLET WITH RESPONDENT.
6. RECORD AF STATUS FOR EACH MPC AF ON THE AF LOG. CAPI WILL NOT COLLECT THIS INFORMATION.

PRESS ENTER TO CONTINUE.

END\_LP02A  
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|    CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO    |  
MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION

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|    IF NO OTHER PERSONS MEET THE STATED CONDITIONS,    |  
END LOOP\_02A AND CONTINUE WITH BOX\_03

MEPS FAMES Panel 7 Round 5 Closing (CL) Section  
September 24, 2003



Subsection 2: HIPS Authorization Forms (In Panel 7, sampling will be done but Authorizations Forms will not be collected.)

BOX\_03  
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**SAMPLING BOX FOR ROUNDS 2 AND 3: (TO BASE ON ROUND 1 CRITERIA FOR COLLECTION OF AFS IN ROUND 2 AND ROUND 3):**

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS AUTHORIZATION FORM COLLECTION:

- ALL PAIRS WHERE THE PERSON IS THE POLICYHOLDER OF THIS INSURANCE ON THE DATE OF THE ROUND 1 INTERVIEW AND THE ESTABLISHMENT IS A **PRIVATE SOURCE OF INSURANCE** (DEFINED LATER) HELD ON THE DATE OF THE ROUND 1 INTERVIEW (DEFINED LATER) WITH FOUR **EXCEPTIONS:**
  1. ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND EMPLOYER IS THE FEDERAL GOVERNMENT (EM96=2 OR HP13=1)
  2. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE LOCATION (EM93=2)
  3. PERSON IS THE POLICYHOLDER OF THIS INSURANCE AND IS FLAGGED AS 'POLICYHOLDER NOT LISTED IN RU'
  4. ESTABLISHMENT ONLY PROVIDES LONG TERM CARE IN A NURSING HOME, EXTRA CASH FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD DISEASE, DISABILITY, WORKER'S COMPENSATION, OR ACCIDENT INSURANCE (HX48 IS CODED ONLY COMBINATIONS OF CODES '6', '7', '8', '9', '10', AND '11').

**SAMPLING BOX FOR ROUNDS 2 AND 3: (TO BASE ON  
ROUND 1 CRITERIA FOR COLLECTION OF AFS IN ROUND 2  
AND ROUND 3):**

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS  
AUTHORIZATION FORM COLLECTION:

- ALL PAIRS WHERE THE ESTABLISHMENT IS FLAGGED AS  
'EMPLOYER' AND THE JOB SUBTYPE OF THAT EMPLOYER  
IS FLAGGED AS 'CURRENT MAIN' AND THE JOB IS **NOT**  
FLAGGED AS 'PROVIDES HEALTH INSURANCE' (PERSON  
IS THE JOBHOLDER OF THIS CURRENT MAIN JOB ON THE  
DATE OF THE ROUND 1 INTERVIEW) AS OF THE ROUND 1  
INTERVIEW DATE WITH THREE **EXCEPTIONS**:
  1. ESTABLISHMENT IS THE FEDERAL GOVERNMENT  
(EM96 = 2)
  2. ESTABLISHMENT IS FLAGGED AS 'SELF-EMPLOYED'  
WITH A FIRM-SIZE=1
  3. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-  
EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE  
LOCATION (EM93=2)

NOTE: PRIVATE INSURANCE IS DEFINED AS:

- ESTABLISHMENTS FLAGGED AS 'EMPLOYER' AND  
FLAGGED AS 'PROVIDES HEALTH INSURANCE'  
(ESTABLISHMENTS FLAGGED AS 'SELF-EMPLOYED' WITH  
A FIRM-SIZE-1 ARE TREATED AS DIRECT PURCHASED,  
SEE NOTE BELOW)
- DIRECT PURCHASED INSURANCE, THAT IS,  
ESTABLISHMENTS CREATED FROM THE HX23 SERIES

NOTE: HELD ON THE DATE OF THE ROUND 1 INTERVIEW:

- FOR PRIVATE SOURCES -- POLICYHOLDER HELD  
INSURANCE AT THE TIME OF THE ROUND 1 INTERVIEW  
DATE (HQ01 IS CODED '1' (WHOLE TIME) OR HQ02 IS  
CODED '1' (YES, COVERED NOW) FOR THE  
POLICYHOLDER)
- FOR PRIVATE SOURCES WHERE POLICYHOLDER IS  
DECEASED -- AT LEAST ONE DEPENDENT (SELECTED AT  
HP16) IS COVERED BY THE INSURANCE AT THE TIME OF  
THE ROUND 1 INTERVIEW DATE (HQ01 IS CODED '1'  
(WHOLE TIME) OR HQ02 IS CODED '1' (YES, COVERED  
NOW) FOR THE COVERED PERSON)

NOTE: ESTABLISHMENTS THAT ARE EMPLOYERS AND PROVIDE HEALTH INSURANCE AND ARE FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE=1 ARE TREATED AS DIRECT PURCHASED INSURANCE, THAT IS, HIPS WILL CONTACT THE ESTABLISHMENT PROVIDING THE INSURANCE, (I.E., CREATED FROM THE HX03 SERIES) **NOT** THE EMPLOYER.

NOTE: FOR ESTABLISHMENTS WHICH ARE CURRENT MAIN EMPLOYERS (ON THE ROUND 1 INTERVIEW DATE) AND PROVIDE HEALTH INSURANCE, WHERE THE HEALTH INSURANCE IS ONLY FROM A UNION (EM117=2), A HIPS AUTHORIZATION FORM IS REQUIRED FOR BOTH THE EMPLOYER AND THE UNION. IN THESE CASES, BOTH ESTABLISHMENT-PERSON-PAIRS ARE ELIGIBLE FOR HIPS AUTHORIZATION FORM COLLECTION.

NOTE: IF A CURRENT MAIN JOB IS FLAGGED AS 'PREVIOUS HEALTH INSURANCE' BUT THAT INSURANCE IS ONLY LONG TERM CARE IN A NURSING HOME, EXTRA CASH FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD DISEASE, DISABILITY, WORKER'S COMPENSATION, AND/OR ACCIDENT INSURANCE, THE JOB IS PROCESSED AS IF IT DOES NOT PROVIDE HEALTH INSURANCE BUT IS ELIGIBLE FOR HEALTH INSURANCE PROVIDER AUTHORIZATION FORM COLLECTION (AS LONG AS OTHER REQUIREMENTS ARE MET).

NOTE: '-7' (REFUSED) AND '-8' (DON'T KNOW) RESPONSES AT ANY QUESTION LISTED ABOVE DOES **NOT** MEET THE CRITERIA.

NOTE: IN ROUND 4, A NEW HIPS FLAG WILL BE SET AND NEW HIPS AUTHORIZATION FORMS WILL BE COLLECTED FOR ALL ESTABLISHMENT-PERSON-PAIRS BASED ON THE SAME SAMPLING CRITERIA AND NOTES AS ABOVE, BUT USING ROUND 3 DATA INSTEAD OF ROUND 1 DATA, AS DESCRIBED IN THE FOLLOWING BOXES.

**SAMPLING BOX FOR ROUNDS 4 AND 5 (TO BASE ON  
ROUND 3 CRITERIA, FOR COLLECTION OF AFS IN  
ROUNDS 4 AND 5):**

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS  
AUTHORIZATION FORM COLLECTION:

- ALL PAIRS WHERE THE PERSON IS THE POLICYHOLDER OF THIS INSURANCE ON THE DATE OF THE ROUND 3 INTERVIEW AND THE ESTABLISHMENT IS A **PRIVATE SOURCE OF INSURANCE** (DEFINED LATER) HELD ON THE DATE OF THE ROUND 3 INTERVIEW (DEFINED LATER) WITH FOUR **EXCEPTIONS**:
  1. ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND EMPLOYER IS THE FEDERAL GOVERNMENT (EM96=2 OR HP13=1)
  2. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE LOCATION (EM93=2)
  3. PERSON IS THE POLICYHOLDER OF THIS INSURANCE AND IS FLAGGED AS 'POLICYHOLDER NOT LISTED IN DU'
  4. ESTABLISHMENT ONLY PROVIDES LONG TERM CARE IN A NURSING HOME, EXTRA CASH FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD DISEASE, DISABILITY, WORKER'S COMPENSATION, OR ACCIDENT INSURANCE (HX48, OE10, OE24, OR OE37 IS CODED ONLY COMBINATIONS OF CODES '6', '7', '8', '9', '10', AND '11').

**SAMPLING BOX FOR ROUNDS 4 AND 5 (TO BASE ON  
ROUND 3 CRITERIA, FOR COLLECTION OF AFS IN  
ROUNDS 4 AND 5):**

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS  
AUTHORIZATION FORM COLLECTION:

- ALL PAIRS WHERE THE ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND THE JOB SUBTYPE OF THAT EMPLOYER IS FLAGGED AS 'CURRENT MAIN' AND THE JOB IS **NOT** FLAGGED AS 'PROVIDES HEALTH INSURANCE' (PERSON IS THE JOBHOLDER OF THIS CURRENT MAIN JOB ON THE DATE OF THE ROUND 3 INTERVIEW) AS OF THE ROUND 3 INTERVIEW DATE WITH THREE **EXCEPTIONS**:
  1. ESTABLISHMENT IS THE FEDERAL GOVERNMENT (EM96 = 2)
  2. ESTABLISHMENT IS FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE=1
  3. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE LOCATION (EM93=2)

NOTE: PRIVATE INSURANCE IS DEFINED AS:  
- ESTABLISHMENTS FLAGGED AS 'EMPLOYER' AND  
FLAGGED AS 'PROVIDES HEALTH INSURANCE'  
(ESTABLISHMENTS FLAGGED AS 'SELF-EMPLOYED' WITH  
A FIRM-SIZE-1 ARE TREATED AS DIRECT PURCHASED,  
SEE NOTE BELOW)  
- DIRECT PURCHASED INSURANCE, THAT IS,  
ESTABLISHMENTS CREATED FROM THE HX23 SERIES

NOTE: HELD ON THE DATE OF THE ROUND 3 INTERVIEW:  
- FOR PRIVATE SOURCES -- POLICYHOLDER HELD  
INSURANCE AT THE TIME OF THE ROUND 3 INTERVIEW  
DATE [(HQ01 IS CODED '1' (WHOLE TIME) OR HQ02 IS  
CODED '1' (YES, COVERED NOW) FOR THE  
POLICYHOLDER) OR (OE01, OE12, OE26 IS CODED '1'  
(YES) FOR THE POLICYHOLDER)  
- FOR PRIVATE SOURCES WHERE POLICYHOLDER IS  
DECEASED -- AT LEAST ONE DEPENDENT [(SELECTED AT  
HP16 OR OE45) OR (CONFIRMED AS STILL COVERED AT  
OE29 OR OE30)] IS COVERED BY THE INSURANCE AT  
THE TIME OF THE ROUND 3 INTERVIEW DATE [(HQ01  
IS CODED '1' (WHOLE TIME) OR HQ02 IS CODED '1'  
(YES, COVERED NOW) FOR THE COVERED PERSON) OR  
(OE26 IS CODED '1' (YES) FOR THE COVERED  
PERSON)]

NOTE: ESTABLISHMENTS WHICH ARE EMPLOYERS AND  
PROVIDE HEALTH INSURANCE AND ARE FLAGGED AS  
'SELF-EMPLOYED' WITH A FIRM-SIZE=1 ARE TREATED AS  
DIRECT PURCHASED INSURANCE, THAT IS, HIPS WILL  
CONTACT THE ESTABLISHMENT PROVIDING THE INSURANCE,  
(I.E., CREATED FROM THE HX03 SERIES) **NOT** THE  
EMPLOYER.

NOTE: FOR ESTABLISHMENTS WHICH ARE CURRENT MAIN  
EMPLOYERS (ON THE ROUND 3 INTERVIEW DATE) AND  
PROVIDE HEALTH INSURANCE, WHERE THE HEALTH  
INSURANCE IS ONLY FROM A UNION (EM117=2), A HIPS  
AUTHORIZATION FORM IS REQUIRED FOR BOTH THE  
EMPLOYER AND THE UNION. IN THESE CASES, BOTH  
ESTABLISHMENT-PERSON-PAIRS ARE ELIGIBLE FOR HIPS  
AUTHORIZATION FORM COLLECTION.

-----  
NOTE: IF A CURRENT MAIN JOB IS FLAGGED AS  
'PREVIOUS HEALTH INSURANCE' BUT THAT INSURANCE IS  
ONLY LONG TERM CARE IN A NURSING HOME, EXTRA CASH  
FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD  
DISEASE, DISABILITY, WORKER'S COMPENSATION, AND/OR  
ACCIDENT INSURANCE, THE JOB IS PROCESSED AS IF IT  
DOES NOT PROVIDE HEALTH INSURANCE BUT IS ELIGIBLE  
FOR HEALTH INSURANCE PROVIDER AUTHORIZATION FORM  
COLLECTION (AS LONG AS OTHER REQUIREMENTS ARE  
MET).  
-----

-----  
NOTE: '-7' (REFUSED) AND '-8' (DON'T KNOW)  
RESPONSES AT ANY QUESTION LISTED ABOVE DOES **NOT**  
MEET THE CRITERIA.  
-----

-----  
GO TO BOX\_05  
-----

BOX\_04A  
=====

-----  
AS A PHARMACY IS ENTERED OR SELECTED, FLAG THE  
PERSON-PHARMACY-PAIR WITH THE CURRENT ROUND (I.E.,  
THE MOST RECENT ROUND IT WAS ENTERED/SELECTED).  
THIS ROUND FLAG IS USED TO DETERMINE WHETHER THE  
PHARMACY IS ELIGIBLE FOR PHARMACY AUTHORIZATION  
FORM COLLECTION FOR THIS RU MEMBER.  
-----

BOX\_04  
=====

OMITTED.

CL07  
=====

OMITTED.

LOOP\_03  
=====

OMITTED.

CL08  
=====

OMITTED.

CL09  
=====

OMITTED.

CL09OV1  
=====

OMITTED.

CL09OV2  
=====

OMITTED.

CL10  
=====

OMITTED.

CL11  
=====

OMITTED.

CL11OV  
=====

OMITTED.

END\_LP03  
=====

OMITTED.

MEPS FAMES Panel 7 Round 5 Closing (CL) Section  
September 24, 2003



Subsection 3: HIPA Policy Booklets (Not collected in Panel 7)

BOX\_05  
=====

-----  
GO TO BOX\_10

BOX\_06  
=====

OMITTED.

CL12  
=====

OMITTED.

CL13  
=====

OMITTED.

CL14  
=====

OMITTED.

LOOP\_04  
=====

OMITTED.

CL15  
=====

OMITTED.

CL15OV  
=====

OMITTED.

CL16  
=====

OMITTED.

CL17  
=====

OMITTED.

CL17OV  
=====

OMITTED.

END\_LP04  
=====

OMITTED.

MEPS FAMES Panel 7 Round 5 Closing (CL) Section  
September 24, 2003

BOX\_07  
=====

OMITTED.

CL18  
=====

OMITTED.

CL18OV  
=====

OMITTED.

CL19  
=====

OMITTED.

CL20  
=====

OMITTED.

CL20OV  
=====

OMITTED.

BOX\_08  
=====

OMITTED.

LOOP\_04A  
=====

OMITTED.

CL21  
=====

OMITTED.

END\_LP04A  
=====

OMITTED.

BOX\_09  
=====

OMITTED.

CL22  
=====

OMITTED.

Subsection 4: Pharmacy Requests and Authorization Forms (Round 3 and Round 5)

BOX\_10  
=====

-----  
IF ROUND 3 OR ROUND 5, CONTINUE WITH BOX\_11

-----  
OTHERWISE, GO TO BOX\_14

BOX\_11  
=====

-----  
| IF AT LEAST ONE PERSON-PHARMACY-PAIR ELIGIBLE  
| (SEE SAMPLING BOX BELOW) FOR PHARMACY  
| AUTHORIZATION FORM COLLECTION, CONTINUE  
WITH CL29

-----  
OTHERWISE, GO TO BOX\_14

-----  
| **SAMPLING BOX (FOR ROUND 3):**  
| PERSON-PHARMACY-PAIRS ELIGIBLE FOR PHARMACY  
| AUTHORIZATION FORM COLLECTION IN ROUND 3:  
|  
| - PERSON IS A KEY, ELIGIBLE RU MEMBER  
| - PERSON ASSOCIATED WITH THE PHARMACY  
| - PHARMACY COLLECTED DURING ROUND 1, 2, OR 3  
|  
| NOTE: FORMS ASSOCIATED WITH DECEASED AND  
| INSTITUTIONALIZED PERSONS IN ROUNDS 1 AND 2  
| WILL BE REQUESTED.  
|  
|-----

**SAMPLING BOX (FOR ROUND 5):**  
PERSON-PHARMACY-PAIRS ELIGIBLE FOR PHARMACY  
AUTHORIZATION FORM COLLECTION IN ROUND 5:

- PERSON IS A KEY, ELIGIBLE RU MEMBER
- PERSON ASSOCIATED WITH THE PHARMACY
- PHARMACY COLLECTED DURING ROUND 3, 4, OR 5

NOTE: FORMS ASSOCIATED WITH DECEASED AND  
INSTITUTIONALIZED PERSONS IN ROUNDS 3 AND 4  
WILL BE REQUESTED.

NOTE: IF THE SAME PHARMACY IS ASSOCIATED MORE  
THAN ONCE FOR A PARTICULAR PERSON, ONLY ONE  
AUTHORIZATION FORM IS ASKED ABOUT FOR THAT PAIR.  
IF THE SAME PHARMACY IS ASSOCIATED WITH MORE THAN  
ONE PERSON, A AUTHORIZATION FORM IS ASKED FOR  
EACH UNIQUE PERSON-PHARMACY-PAIR.

CL23  
====  
OMITTED.

CL24  
====  
OMITTED.

LOOP\_05  
=====  
OMITTED.

CL25  
====  
OMITTED.

END\_LP05  
=====  
OMITTED.

CL26  
====  
OMITTED.

BOX\_12  
=====  
OMITTED.

CL27  
====  
OMITTED.

LOOP\_06  
=====

OMITTED.

CL28  
=====

OMITTED.

END\_LP06  
=====

OMITTED.

BOX\_13  
=====

OMITTED.

CL29  
=====

As you know, the U.S. Public Health Service is very interested in obtaining the most complete and accurate information about health care use and expenditures, including prescription medicines.

Many pharmacies now offer their customers a summary of their prescription medicine charges. People sometimes request these summaries to help in preparing their taxes or insurance claims.

To help us get the best information about the family's prescriptions, we would like to obtain a printed summary from each pharmacy used by this family during the past year. To do this, we must have written authorization.

PRESS ENTER TO CONTINUE.

CL30  
====

From the information I have, I would like to get a signed authorization form for:

(READ PERSON BELOW)'s prescriptions filled at (READ PHARMACY BELOW).

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON	CL30_01. PHARMACY
[First, [Middle], Last Name-35]	[Name of Pharmacy.....-30]
[First, [Middle], Last Name-35]	[Name of Pharmacy.....-30]
[First, [Middle], Last Name-35]	[Name of Pharmacy.....-30]

[HAND RESPONDENT THE AUTHORIZATION FORM BOOKLET.]

[These materials explain more about why we contact pharmacies and answer questions people sometimes ask about this part of the study. Please take a minute to review this information while I gather the forms.]

-----  
ROSTER DEFINITION: DISPLAY EACH PAIR ON THE  
RU-PERSON-PHARMACY-PAIRS-ROSTER THAT MEET THE  
FOLLOWING CONDITION:  
  
- PAIR IS ELIGIBLE FOR PHARMACY AUTHORIZATION FORM  
COLLECTION (SEE BOX\_11 SAMPLING SPECIFICATIONS)  
FOR ROUNDS 1, 2, OR 3 IF ROUND 3 OR FOR ROUNDS  
3, 4, OR 5 IF ROUND 5.  
-----

-----  
NOTE: DISPLAY EACH UNIQUE ELIGIBLE PERSON-  
PHARMACY-PAIR ONLY ONCE.  
-----

LOOP\_07  
=====

-----  
| FOR EACH ELEMENT ON THE RU-PERSON-PHARMACY-PAIRS- |  
ROSTER, ASK CL31 - END\_LP07

-----  
| LOOP DEFINITION: LOOP\_07 PRESENTS EACH UNIQUE |  
| PERSON-PHARMACY-PAIR ELIGIBLE FOR PHARMACY |  
| AUTHORIZATION FORM COLLECTION FOR THE INTERVIEWER |  
| TO COMPLETE THE AUTHORIZATION FORM. THIS LOOP |  
| CYCLES ON THE RU-PERSON-PHARMACY-PAIRS THAT MEET |  
| THE FOLLOWING CONDITION: |

- PAIR IS ELIGIBLE FOR PHARMACY AUTHORIZATION FORM  
COLLECTION (SEE BOX\_11 SAMPLING SPECIFICATIONS)  
FOR ROUNDS 1, 2, OR 3 IF ROUND 3 OR FOR ROUNDS  
3, 4, OR 5 IF ROUND 5.

-----  
| NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON- |  
PHARMACY-PAIR.

CL31  
=====

INTERVIEWER: {LOCATE APPROPRIATE PREPRINTED PHARMACY AUTHORIZATION FORMS (COMPLETE NEW ONE IF FORM CANNOT BE LOCATED)/COMPLETE PHARMACY AUTHORIZATION FORM AND RECORD IN THE AF LOG} FOR THE FOLLOWING PERSON-PHARMACY-PAIR:

PID: [PID] PERSON: [First,[Middle],Last Name-35]  
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code Description]

PHARMID: [PharmID-4]  
PHARMACY NAME: [Pharmacy Name-35]  
PHARMACY ADDRESS: [Street Address for Pharmacy]  
[City Name], [ST] [Zip Code] [Telephone]

PRESS ENTER TO CONTINUE.

PRESS F1 FOR MORE INFORMATION ON PHARMACY AUTHORIZATION FORMS.

-----  
| DISPLAY 'LOCATE ... LOCATED)' IF PERSON-PHARMACY- |  
| PAIR WAS ELIGIBLE FROM ROUNDS 1 OR 2 IF ROUND 3 |  
| OR FROM ROUNDS 3 OR 4 IF ROUND 5. OTHERWISE, |  
DISPLAY 'COMPLETE ... LOG'.

END\_LP07  
=====

-----  
| CYCLE ON NEXT PAIR ON THE RU-PERSON-PHARMACY- |  
| PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN |  
THE LOOP DEFINITION.

-----  
| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |  
LOOP\_07 AND CONTINUE WITH LOOP\_08



LOOP\_08  
=====

-----  
| FOR EACH ELEMENT ON THE RU-PERSON-PHARMACY-PAIRS- |  
ROSTER, ASK CL32 - END\_LP08

-----  
| LOOP DEFINITION: LOOP\_08 PRESENTS EACH UNIQUE |  
| PERSON-PHARMACY-PAIR ELIGIBLE FOR PHARMACY |  
| AUTHORIZATION FORM COLLECTION FOR THE INTERVIEWER |  
| TO RECORD THE STATUS OF THE AUTHORIZATION FORM. |  
| THIS LOOP CYCLES ON THE RU-PERSON-PHARMACY-PAIRS |  
| THAT MEET THE FOLLOWING CONDITION: |

- PAIR IS ELIGIBLE FOR PHARMACY AUTHORIZATION FORM  
COLLECTION (SEE BOX\_11 SAMPLING SPECIFICATIONS)  
FOR ROUNDS 1, 2, OR 3 IF ROUND 3 OR FOR ROUNDS  
3, 4, OR 5 IF ROUND 5.

-----  
| NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON- |  
PHARMACY-PAIR.

CL32  
=====

INTERVIEWER: ASK APPROPRIATE PERSON(S) TO SIGN AUTHORIZATION FORM.  
IF NOT AVAILABLE TO SIGN, LEAVE AUTHORIZATION FORM AND BOOKLET WITH  
RESPONDENT. RECORD STATUS BELOW AND ON THE AUTHORIZATION FORM LOG.

PID: [PID] PERSON: [First,[Middle],Last Name-35]  
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code Description]

PHARMID: [PharmID-4]  
PHARMACY NAME: [Pharmacy Name-35]  
PHARMACY ADDRESS: [Street Address for Pharmacy]  
[City Name], [ST] [Zip Code] [Telephone]

ENTER THE PHARMACY AUTHORIZATION FORM STATUS:

- SIGNED, NO PROBLEM ..... 1 {CL33}
- SIGNED WITH PROBLEM ..... 2
- LEFT WITH R ..... 3 {END\_LP08}
- MAILED TO R ..... 4 {END\_LP08}
- REFUSED ..... 5 {CL34}
- OTHER ..... 91 {CL32OV2}

[Code One]

PRESS F1 FOR MORE INFORMATION ON PHARMACY AUTHORIZATION FORMS.

```

-----
| EDIT: CODE '4' (MAILED TO R) MUST BE ENTERED |
| TWICE IF RU IS NOT A STUDENT RU. IF CODE '4' |
| SELECTED AND RU IS NOT A STUDENT RU, DISPLAY THE |
| FOLLOWING MESSAGE: 'UNLIKELY RESPONSE. VERIFY |
| AND RE-ENTER.' |
|-----|

```

CL32OV1  
=====

ENTER PROBLEM:

[Enter Problem-45] ..... {CL33}

CL32OV2  
=====

ENTER OTHER:

[Enter Other Specify-45] ..... {END\_LP08}



CL34  
 =====

PID: [PID]                    PERSON: [First,[Middle],Last Name-35]  
 DOB: [MM/DD/YYYY]        AGE: [XXX]        STATUS: [Status Code Description]

PHARMID: [PharmID-4]  
 PHARMACY NAME: [Pharmacy Name-35]  
 PHARMACY ADDRESS: [Street Address for Pharmacy]  
    [City Name], [ST]    [Zip Code]    [Telephone]

ENTER MAIN REASON FOR REFUSAL:

- DOESN'T WANT TO BOTHER PHARMACY ..... 1 {END\_LP08}
- CONFIDENTIALITY/SENSITIVE ISSUE ..... 2 {END\_LP08}
- PAYMENT PROBLEM WITH PHARMACY ..... 3 {END\_LP08}
- HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END\_LP08}
- WANTS MORE INFORMATION BEFORE SIGNING .. 5 {END\_LP08}
- NOT INTERESTED ..... 6 {END\_LP08}
- NO REASON GIVEN ..... 7 {END\_LP08}
- OTHER ..... 91

[Code One]

CL340V  
 =====

ENTER OTHER REASON FOR REFUSAL:

[Enter Other Specify-45] .....

END\_LP08  
 =====

-----  
 | CYCLE ON NEXT PAIR ON THE RU-PERSON-PHARMACY- |  
 | PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN |  
THE LOOP DEFINITION.

-----  
 | IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |  
LOOP\_08 AND CONTINUE WITH BOX\_14

Subsection 5: Self-Administered Questionnaire (Collected in Rounds 2 through 5)

BOX\_14  
=====

-----  
IF ROUND 2 OR 4, CONTINUE WITH BOX\_15

-----  
IF ROUND 3 OR 5, GO TO BOX\_16

-----  
OTHERWISE, GO TO BOX\_16A

BOX\_15  
=====

-----  
| IF ROUND 2 OR 4 AND AT LEAST ONE RU MEMBER |  
| ELIGIBLE FOR SAQ (I.E., AT LEAST ONE CURRENT RU |  
| MEMBER WHO IS NOT DECEASED OR INSTITUTIONALIZED |  
| AND IS IN THE RU AT THE ROUND 2 OR 4 INTERVIEW |  
| DATE AND IS 18 YEARS OF AGE OR OLDER (OR IN AGE |  
| CATEGORIES 4-9) ON JULY 1, 2003 IF ROUND 4 OR HAS |  
| TURNED 18 BETWEEN JULY 1, 2003 AND THE DATE OF |  
INTERVIEW), CONTINUE WITH CL35

-----  
OTHERWISE, GO TO CL41

-----  
| NOTE: DETERMINING WHICH ADULTS IN THE RU RECEIVE |  
| AN SAQ AND WHICH ADULTS ARE FOLLOWED-UP IN ROUND |  
| 3 OR 5 WILL BE BASED ONLY ON ROUND 2 OR 4 |  
| INFORMATION. THAT IS, NO RU MEMBERS ADDED IN |  
ROUND 3 OR 5 WILL BE ASKED TO COMPLETE AN SAQ.

CL35  
=====

Now I would like to ask (READ PERSON NAMES BELOW) to complete a brief survey about health and health opinions.

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON	CL35_01. PID
[First Name, [Middle Name], Last Name-65]	[PID]
[First Name, [Middle Name], Last Name-65]	[PID]
[First Name, [Middle Name], Last Name-65]	[PID]

AS APPROPRIATE, PREPARE AN SAQ FOR EACH PERSON LISTED ABOVE.

-----  
| ROSTER DEFINITION: DISPLAY ALL PERSONS ON THE RU- |  
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITIONS: |  
| - PERSON DOES NOT HAVE A STATUS OF DECEASED OR |  
| INSTITUTIONALIZED ON ROUND 2 OR 4 INTERVIEW DATE |  
| - PERSON CURRENTLY IN RU ON ROUND 2 OR 4 INTERVIEW |  
| DATE |  
| - PERSON IS 18 YEARS OF AGE OR OLDER (OR IN AGE |  
| CATEGORIES 4-9) ON JULY 1, 2003 IF ROUND 4 OR |  
| HAS TURNED 18 BETWEEN JULY 1, 2003 AND THE DATE |  
OF INTERVIEW

-----  
DISPLAY THE ROW PERSON'S PID AT CL35\_01.

LOOP\_09  
=====

-----  
| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |  
CL36 - END\_LP09

-----  
| LOOP DEFINITION: LOOP\_09 COLLECTS THE SAQ STATUS |  
| FOR EACH PERSON ELIGIBLE TO COMPLETE THE SAQ. |  
| THIS LOOP CYCLES ON EACH PERSON ON THE RU-MEMBERS- |  
| ROSTER WHO MEETS THE FOLLOWING CONDITIONS: |  
| - PERSON DOES NOT HAVE A STATUS OF DECEASED OR |  
| INSTITUTIONALIZED ON ROUND 2 OR 4 INTERVIEW DATE |  
| - PERSON CURRENTLY IN RU ON ROUND 2 OR 4 INTERVIEW |  
| DATE |  
| - PERSON IS 18 YEARS OF AGE OR OLDER (OR IN AGE |  
| CATEGORIES 4-9) ON JULY 1, 2003 IF ROUND 4 OR |  
| HAS TURNED 18 BETWEEN JULY 1, 2003 AND THE DATE |  
OF INTERVIEW

CL36  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}

PID: {PID}

COLLECT (PERSON)'S COMPLETED SAQ AND EXPLAIN THAT THEY WILL  
RECEIVE \$5.00 FOR EACH COMPLETED SAQ.

IF (PERSON) NOT AVAILABLE OR NOT ABLE TO COMPLETE SAQ AT  
THIS TIME, LEAVE SAQ WITH (PERSON) OR RESPONDENT AND EXPLAIN  
INSTRUCTIONS.

ENTER THE STATUS OF THE SAQ.

COMPLETED AND GIVEN TO INTERVIEWER ..... 1 {END\_LP09}  
NOT COMPLETED, WILL PICK UP AT  
LATER DATE ..... 2 {END\_LP09}  
NOT COMPLETED, WILL MAIL TO OFFICE ..... 3 {END\_LP09}  
MAILED TO SAQ RESPONDENT ..... 4 {END\_LP09}  
REFUSED TO COMPLETE ..... 5 {CL37}  
OTHER ..... 91

[Code One]

```
-----  
| AT PID, DISPLAY THE PERSON'S 3 DIGIT PID. |  
-----  
  
-----  
| EDIT: CODE '4' (MAILED TO SAQ RESPONDENT) MUST BE |  
| ENTERED TWICE IF RU IS NOT A STUDENT RU. IF CODE |  
| '4' SELECTED AND RU IS NOT A STUDENT RU, DISPLAY |  
| THE FOLLOWING MESSAGE: 'UNLIKELY RESPONSE. |  
| VERIFY AND RE-ENTER.' |  
-----
```

CL360V  
=====

ENTER OTHER:  
  
[Enter Other Specify-45] ..... {END\_LP09}

CL37  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}

ENTER MAIN REASON FOR REFUSAL:

TOO BUSY/NOT INTERESTED .....	1	{END_LP09}
TOO PERSONAL/SENSITIVE INFORMATION .....	2	{END_LP09}
TOO MUCH OF A PHYSICAL/MENTAL HARDSHIP .	3	{END_LP09}
HAS ALREADY GIVEN ENOUGH INFORMATION ...	4	{END_LP09}
WANTS MORE INFORMATION .....	5	{END_LP09}
NOT INTERESTED .....	6	{END_LP09}
NO REASON GIVEN .....	7	{END_LP09}
OTHER .....	91	

[Code One]

CL370V  
=====

ENTER OTHER REASON FOR REFUSAL:  
  
[Enter Other Specify-45] .....



END\_LP09  
=====

-----  
| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |  
MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION

-----  
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |  
END LOOP\_09 AND GO TO BOX\_16A

BOX\_16  
=====

-----  
| IF AT LEAST ONE PERSON WITH AN SAQ DISPOSITION OF |  
| '2' (NOT COMPLETED, WILL PICK UP AT LATER DATE), |  
| '3' (NOT COMPLETED, WILL MAIL TO OFFICE), '4' |  
| (MAILED TO SAQ RESPONDENT), '5' (REFUSED TO |  
| COMPLETE SAQ), OR '91' (OTHER) RECORDED AT CL36 |  
| DURING ROUND 2 OR 4 AND NOT UPDATED BY RECEIPT |  
| CONTROL TO '1' (COMPLETE), '2' (PARTIAL COMPLETE), |  
| '4' (PROBLEM), OR '6' (WRONG SAQ TYPE) ((I.E., |  
| RECEIPT CONTROL IS EQUAL TO '3' (REFUSED) OR '5' |  
(NOT HERE/BLANK)), CONTINUE WITH CL38

-----  
OTHERWISE, GO TO BOX\_16A

CL38  
 ====

During the last interview a short survey about health and health opinions was left with (READ PERSON NAMES BELOW) to complete.

I would like to check to see if I could pick these surveys up or if they were already mailed back to the home office.}

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON	CL38_01. PID
[First Name, [Middle Name], Last Name-65]	[PID]
[First Name, [Middle Name], Last Name-65]	[PID]
[First Name, [Middle Name], Last Name-65]	[PID]

1. COLLECT SAQs, IF AVAILABLE.
2. IF ANY REPORTED AS LOST, RE-DISTRIBUTE APPROPRIATE NUMBER AND TYPE OF SAQs TO THE RESPONDENT.

```

-----
ROSTER DEFINITION:  DISPLAY ALL PERSONS ON THE RU-
MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITIONS:
- PERSON DID NOT HAVE A STATUS OF DECEASED OR
  INSTITUTIONALIZED ON ROUND 2 OR 4 INTERVIEW DATE
- PERSON WAS CURRENTLY IN RU ON ROUND 2 OR 4
  INTERVIEW DATE
- PERSON IS 18 YEARS OF AGE OR OLDER (OR IN AGE
  CATEGORIES 4-9) ON JULY 1, 2003 IF ROUND 4 OR
  HAS TURNED 18 BETWEEN JULY 1, 2003 AND THE DATE
  OF INTERVIEW
- CL36 WAS CODED '1' (COMPLETED AND GIVEN TO
  INTERVIEWER), '2' (NOT COMPLETED, WILL PICK UP
  AT LATER DATE), '3' (NOT COMPLETED, WILL MAIL
  TO OFFICE), '4' (MAILED TO SAQ RESPONDENT), '5'
  (REFUSED TO COMPLETE SAQ), OR '91' (OTHER)
  DURING ROUND 2 OR 4 FOR PERSON AND NOT UPDATED
  BY RECEIPT CONTROL TO '1' (COMPLETE), '2'
  (PARTIAL COMPLETE), '4' (PROBLEM), OR '6' (WRONG
  SAQ TYPE) ((I.E., RECEIPT CONTROL IS EQUAL TO
  '3' (REFUSED) OR '5' (NOT HERE/BLANK))
-----
    
```

```

-----
| DISPLAY THE ROW PERSON'S PID AT CL38_01. |
-----
    
```

LOOP\_10  
=====

-----  
| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |  
CL39 - END\_LP10

-----  
| LOOP DEFINITION: LOOP\_10 COLLECTS THE SAQ STATUS |  
| FOR EACH PERSON ELIGIBLE TO COMPLETE THE SAQ. |  
| THIS LOOP CYCLES ON EACH PERSON ON THE RU-MEMBERS- |  
| ROSTER WHO MEETS THE FOLLOWING CONDITIONS: |  
| - PERSON DID NOT HAVE A STATUS OF DECEASED OR |  
| INSTITUTIONALIZED ON ROUND 2 OR 4 INTERVIEW DATE |  
| - PERSON WAS CURRENTLY IN RU ON ROUND 2 OR 4 |  
| INTERVIEW DATE |  
| - PERSON IS 18 YEARS OF AGE OR OLDER (OR IN AGE |  
| CATEGORIES 4-9) ON JULY 1, 2003 IF ROUND 4 OR |  
| HAS TURNED 18 BETWEEN JULY 1, 2003 AND THE DATE |  
| OF INTERVIEW |  
| - CL36 WAS CODED '1' (COMPLETED AND GIVEN TO |  
| INTERVIEWER), '2' (NOT COMPLETED, WILL PICK UP |  
| AT LATER DATE), '3' (NOT COMPLETED, WILL MAIL |  
| TO OFFICE), '4' (MAILED TO SAQ RESPONDENT), '5' |  
| (REFUSED TO COMPLETE SAQ), OR '91' (OTHER) |  
| DURING ROUND 4 FOR PERSON AND NOT UPDATED BY |  
| RECEIPT CONTROL TO '1' (COMPLETE), '2' (PARTIAL |  
| COMPLETE), '4' (PROBLEM), OR '6' (WRONG SAQ |  
| TYPE) ((I.E., RECEIPT CONTROL IS EQUAL TO '3' |  
(REFUSED) OR '5' (NOT HERE/BLANK))

CL39  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}

PID: {PID}

{SAQ STATUS FROM PREVIOUS ROUND: {PREVIOUS ROUND STATUS -40}}

COLLECT (PERSON)'s COMPLETED SAQ AND EXPLAIN THAT THEY WILL RECEIVE \$5.00 FOR EACH COMPLETED SAQ.

ENTER THE STATUS OF THE SAQ:

- COMPLETED AND GIVEN TO INTERVIEWER ..... 1 {END\_LP10}
- NOT COMPLETED, WILL PICK UP AT  
LATER DATE ..... 2 {END\_LP10}
- NOT COMPLETED, WILL MAIL TO OFFICE ..... 3 {END\_LP10}
- ALREADY MAILED TO HOME OFFICE ..... 4 {END\_LP10}
- REFUSED TO COMPLETE ..... 5 {CL40}
- OTHER ..... 91

[Code One]

-----  
AT PID, DISPLAY THE PERSON'S 3 DIGIT PID.

-----  
| DISPLAY 'SAQ STATUS FROM PREVIOUS ROUND' {PREVIOUS  
| ROUND STATUS -40}'. OTHERWISE, USE A NULL  
| DISPLAY.  
|  
| FOR 'PREVIOUS ROUND STATUS-40', DISPLAY THE TEXT  
| ASSOCIATED WITH THE ROUND 2 OR 4 (OR RECEIPT  
CONTROL UPDATED STATUS) STATUS ENTERED AT CL36.

CL39OV  
=====

ENTER OTHER:

[Enter Other Specify-45] ..... {END\_LP10}

CL40  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}

ENTER MAIN REASON FOR REFUSAL:

TOO BUSY/NOT INTERESTED ..... 1 {END\_LP10}  
TOO PERSONAL/SENSITIVE INFORMATION ..... 2 {END\_LP10}  
TOO MUCH OF A PHYSICAL/MENTAL HARDSHIP . 3 {END\_LP10}  
HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END\_LP10}  
WANTS MORE INFORMATION ..... 5 {END\_LP10}  
NOT INTERESTED ..... 6 {END\_LP10}  
NO REASON GIVEN ..... 7 {END\_LP10}  
OTHER ..... 91

[Code One]

CL40OV  
=====

ENTER OTHER REASON FOR REFUSAL:

[Enter Other Specify-45] .....

END\_LP10  
=====

-----  
| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |  
MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION

-----  
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |  
END LOOP\_10 AND CONTINUE WITH BOX\_16A

MEPS FAMES Panel 7 Round 5 Closing (CL) Section  
September 24, 2003

Subsection 5a: Diabetes Care Supplement Questionnaire (Rounds 3 and 5)

BOX\_16A  
=====

-----  
IF ROUND 3 OR 5, CONTINUE WITH BOX\_16B

-----  
OTHERWISE, GO TO CL41

BOX\_16B  
=====

-----  
| IF ROUND 3 OR 5 AND AT LEAST ONE RU MEMBER |  
| ELIGIBLE FOR DIABETES CARE SUPPLEMENT (I.E., AT |  
| LEAST ONE RU MEMBER WHO IS CODED '1' (YES) AT |  
PC02), CONTINUE WITH CL40A

-----  
OTHERWISE, GO TO CL41

CL40A  
 =====

SELF DIABETES CARE SUPPLEMENT (DCS):

Earlier we asked (READ SELF NAMES BELOW) to complete a few questions about the care received for diabetes.

PROXY DCS:

Earlier we asked that someone knowledgeable about (READ PROXY NAMES BELOW) diabetes complete a few questions about the care received.

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON	CL40A_01. PID	CL40A_02. TYPE OF DCS
[First Name, [Middle Name], Last Name-65]	[PID]	{SELF/PROXY}
[First Name, [Middle Name], Last Name-65]	[PID]	{SELF/PROXY}
[First Name, [Middle Name], Last Name-65]	[PID]	{SELF/PROXY}

AS APPROPRIATE, COLLECT DCS FOR EACH PERSON LISTED ABOVE.

```

-----
| ROSTER DEFINITION:  DISPLAY ALL PERSONS ON THE RU- |
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITION:  |
| - PC02 IS CODED '1' (YES) FOR THE PERSON          |
-----
  
```

```

-----
| DISPLAY THE ROW PERSON'S PID AT CL40A_01           |
-----
  
```

```

-----
| DISPLAY THE TYPE OF DCS FOR THE PERSON AT         |
| CL40A_02.  IF PC03 FOR THE ROW PERSON IS CODED   |
| '1' (SELF), DISPLAY 'SELF.'  IF PC03 FOR THE ROW  |
| PERSON IS CODED '2' (PROXY), DISPLAY 'PROXY.'    |
-----
  
```



LOOP\_10A  
=====

-----  
| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |  
CL40B - END\_LP10A

-----  
| LOOP DEFINITION: LOOP\_10A COLLECTS THE DCS STATUS |  
| FOR EACH PERSON ELIGIBLE TO COMPLETE THE DCS. |  
| THIS LOOP CYCLES ON EACH PERSON ON THE RU- |  
| MEMBERS-ROSTER WHO MEETS THE FOLLOWING CONDITION: |  
- PC02 IS CODED '1' (YES) FOR THE PERSON



CL40C  
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}

ENTER MAIN REASON FOR REFUSAL:

TOO BUSY/NOT INTERESTED ..... 1 {END\_LP10A}  
TOO PERSONAL/SENSITIVE INFORMATION ..... 2 {END\_LP10A}  
TOO MUCH OF A PHYSICAL/MENTAL HARDSHIP . 3 {END\_LP10A}  
HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END\_LP10A}  
WANTS MORE INFORMATION ..... 5 {END\_LP10A}  
NOT INTERESTED ..... 6 {END\_LP10A}  
NO REASON GIVEN ..... 7 {END\_LP10A}  
OTHER ..... 91

[Code One]

CL40COV  
=====

ENTER OTHER REASON FOR REFUSAL:

[Enter Other Specify-45] .....

END\_LP10A  
=====

-----  
| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |  
MEETS THE CONDITION STATED IN THE LOOP DEFINITION

-----  
| IF NO OTHER PERSONS MEET THE STATED CONDITION, |  
END LOOP\_10A AND GO TO CL41

MEPS FAMES Panel 7 Round 5 Closing (CL) Section  
September 24, 2003

Subsection 6: Collecting/Updating Locating Information (Round 1 through Round 5)

CL41  
====

{Thank you for your cooperation and for taking the time to participate in this important study.}

{In the coming months, we will be contacting this family again to collect information on health care use and expenses./We are nearing the end of this study. I'd like to thank you for your participation in this important study. Just in case my supervisor needs to reach you to verify that I was here and collected this information correctly, I'd like to verify a few pieces of information.}

{Just to make sure I can reach you for the next interview, I'd like to ask a few questions about how to find the family./Let me quickly review and update the information we have for locating the family that was collected during the last interview.}

PRESS ENTER TO CONTINUE.

```
-----  
| DISPLAY 'Thank you ... important study.' IF ROUNDS |  
| 1 OR 2 OR 3 OR 4. OTHERWISE, USE A NULL DISPLAY. |  
|  
| DISPLAY 'In the coming months, ... use and |  
| expenses.' IF ROUNDS 1 OR 2 OR 3 OR 4. OTHERWISE, |  
| DISPLAY 'We are nearing ... of information.' |  
|  
| DISPLAY 'Just ... family.' IF ROUND 1. OTHERWISE, |  
| DISPLAY 'Let ... interview.' |  
|-----  
| IF NOT ROUND 5, CONTINUE WITH CL42 |  
|-----  
| OTHERWISE (I.E., IF ROUND 5), GO TO BOX_17 |  
|-----
```

CL42  
=====

What is the best time of day and day of the week to get in touch with you?

ENTER BEST TIME TO CONTACT RESPONDENT/PROXY.

[Enter Text] .....

-----  
| NOTE: FOUR LINES OF 45 CHARACTERS SHOULD BE |  
AVAILABLE FOR ENTRY OF FREE FORM TEXT.

CL42OV1  
=====

ENTER WHO BEST TIME RECORDED FOR:

CURRENT RESPONDENT ..... 1 {BOX\_17}  
CURRENT PROXY ..... 2 {BOX\_17}  
ENTIRE RU ..... 3 {BOX\_17}  
OTHER ..... 91

[Code One]

CL42OV2  
=====

ENTER OTHER:

[Enter Other Specify] .....

BOX\_17  
=====

-----  
| IF **NO** CURRENT RU MEMBER PART OF THE RU ON THE |  
| CURRENT INTERVIEW DATE (I.E., ALL RU MEMBERS |  
| DECEASED, INSTITUTIONALIZED, OR OUT OF THE COUNTRY |  
ON CURRENT INTERVIEW DATE), GO TO BOX\_18

-----  
OTHERWISE, CONTINUE WITH CL43

CL43  
====

**ITEM: SECOND PHONE (WORK, FRIEND, RELATIVE, OTHER) WHERE  
FAMILY COULD BE REACHED.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT SECOND PHONE SHOWN  
BELOW.  
IF NO CURRENT INFORMATION, PROBE FOR NEW SECOND  
PHONE (IF AVAILABLE).

Current Info: [2ND\_TELEPHONE]

ENTER NEW SECOND PHONE .....	1	
SECOND PHONE CORRECT .....	2	{CL46}
SECOND PHONE NEEDS CORRECTION .....	3	
NO CURRENT SECOND PHONE .....	4	{CL46}
REF .....	-7	{CL46}
DK .....	-8	{CL46}

-----  
EDIT: CODES '2' (SECOND PHONE CORRECT) AND '3'  
(SECOND PHONE NEEDS CORRECTION) CANNOT BE SELECTED  
IF NO CURRENT SECOND PHONE INFORMATION AVAILABLE.  
IF CODES '2' OR '3' SELECTED WHEN NO CURRENT  
SECOND PHONE, DISPLAY THE FOLLOWING MESSAGE: 'CODE  
NOT AVAILABLE. NO CURRENT SECOND PHONE. VERIFY  
AND RE-ENTER.'  
-----

-----  
**ASSUMPTION:** THE QUESTIONS IN CLOSING IN WHICH  
CONTACT AND LOCATING INFORMATION IS PRE-RECORDED  
IN CAPI (CL43-CL64) ARE SPECIFIED WITH THE  
FOLLOWING BASIC ASSUMPTIONS:  
1. LOCATING AND CONTACTING INFORMATION WILL NOT BE  
WRITTEN OVER FROM ROUND TO ROUND.  
2. ONLY THE MOST CURRENT INFORMATION WILL APPEAR  
IN THE TEXT OF THESE QUESTIONS AND NO HISTORY  
OF CONTACT AND LOCATING INFORMATION WILL APPEAR  
ON THE CAPI SCREEN FOR THE INTERVIEWER.  
3. IF INFORMATION STAYS THE SAME, IT WILL BE  
CARRIED FORWARD.  
4. WHETHER OR NOT PREVIOUS ROUND'S INFORMATION OR  
ANY CONTACT HISTORY WILL BE PRINTED ON THE FACE  
SHEET FOR ANY OF THE CONTACTING AND LOCATING  
QUESTIONS IS STILL NOT KNOWN.  
-----

CL44  
====

[What is that telephone number?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT SECOND PHONE.  
IF UNAVAILABLE, ENTER COMPLETE SECOND TELEPHONE NUMBER.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [2ND\_TELEPHONE]

[Enter Area Code,Exchange,Local] .....

-----  
EDIT: DISALLOW LEADING ZEROS AS AN ENTRY.

-----  
| EDIT: IF NO CURRENT SECOND PHONE AVAILABLE, AN |  
| ENTRY MUST BE MADE FOR EVERY FIELD (REF AND DK ARE |  
ALLOWED).

-----  
| EDIT: IF CURRENT SECOND PHONE AVAILABLE, AT LEAST |  
ONE FIELD MUST BE UPDATED.

-----  
| FLAG SECOND PHONE INFORMATION FOR THE RU WITH THE |  
| NUMBER ENTERED OR CORRECTED AT CL44 FOR THE |  
CURRENT ROUND.



CL45  
====

Where is that telephone located?

OFFICE/PLACE OF BUSINESS .....	1	{CL45OV2}
RELATIVE .....	2	{CL45OV2}
NEIGHBOR .....	3	{CL45OV2}
FRIEND .....	4	{CL45OV2}
OTHER .....	91	
REF .....	-7	{CL45OV2}
DK .....	-8	{CL45OV2}

[Code One]

CL45OV1  
=====

ENTER OTHER:

[Enter Other Specify-45] .....	
REF .....	-7
DK .....	-8

CL45OV2  
=====

What is the name of that location?

ENTER NAME AND/OR DESCRIPTION. ALSO, INCLUDE ANY SPECIAL INSTRUCTIONS FOR CALLING AT THE ALTERNATE TELEPHONE NUMBER (FOR EXAMPLE, CALL ONLY IN EMERGENCY).

[Enter Description] .....	
REF .....	-7
DK .....	-8

-----  
| NOTE: IF SPACE AVAILABLE, ALLOW 2 LINES OF 45 |  
| CHARACTERS FOR DESCRIPTION. IF SPACE UNAVAILABLE, |  
ALLOW ONLY STANDARD ONE LINE OF TEXT.

CL46  
====

**ITEM: MAILING ADDRESS DIFFERENT FROM LOCATING (STREET) ADDRESS.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT MAILING ADDRESS SHOWN  
BELOW.  
IF NO CURRENT INFORMATION, PROBE FOR NEW MAILING  
ADDRESS (IF AVAILABLE).

Current Info: [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                                  [CITY]  
                                  [STATE]  
                                  [ZIP CODE]

ENTER NEW MAILING ADDRESS ..... 1  
MAILING ADDRESS CORRECT ..... 2 {BOX\_17A}  
MAILING ADDRESS NEEDS CORRECTION ..... 3  
NO CURRENT MAILING ADDRESS ..... 4 {BOX\_17A}  
REF ..... -7 {BOX\_17A}  
DK ..... -8 {BOX\_17A}

-----  
| EDIT: CODES '2' (MAILING ADDRESS CORRECT) AND '3' |  
| (MAILING ADDRESS NEEDS CORRECTION) CANNOT BE |  
| SELECTED IF NO CURRENT MAILING ADDRESS INFORMATION |  
| AVAILABLE. IF CODES '2' OR '3' SELECTED WHEN NO |  
| CURRENT MAILING ADDRESS, DISPLAY THE FOLLOWING |  
| MESSAGE: 'CODE NOT AVAILABLE. NO CURRENT MAILING |  
ADDRESS. VERIFY AND RE-ENTER.'

CL47  
====

[What is that address?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT MAILING ADDRESS.  
IF UNAVAILABLE, ENTER COMPLETE MAILING ADDRESS.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                          [CITY]  
                          [STATE]  
                          [ZIP CODE]

1ST\_STR\_ADDRESS (CL47\_01): [\_\_\_\_\_]  
2ND\_STR\_ADDRESS (CL47\_02): [\_\_\_\_\_]  
          CITY (CL47\_03): [\_\_\_\_\_]  
          STATE (CL47\_04): [\_\_\_\_\_]  
          ZIP CODE (CL47\_05): [\_\_\_\_\_]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

-----  
| EDIT: IF NO CURRENT MAILING ADDRESS AVAILABLE, |  
| AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |  
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

-----  
| EDIT: IF CURRENT MAILING ADDRESS AVAILABLE, AT |  
LEAST ONE FIELD MUST BE UPDATED.

-----  
| FLAG MAILING ADDRESS INFORMATION FOR THE RU WITH |  
| THE ADDRESS ENTERED OR CORRECTED AT CL47 FOR THE |  
CURRENT ROUND.

BOX\_17A  
=====

-----  
IF NOT ROUND 5, CONTINUE WITH CL48
-----  
OTHERWISE (I.E., IF ROUND 5), GO TO CL62

CL48  
=====

**ITEM: ANOTHER HOME SUCH AS SECOND HOME OR VACATION HOME WHERE  
FAMILY CAN SOMETIMES BE CONTACTED.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT SECOND HOME  
INFORMATION SHOWN BELOW.  
IF NO CURRENT INFORMATION, PROBE FOR NEW SECOND  
HOME INFORMATION (IF AVAILABLE).

Current Info: [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                  [CITY], [STATE] [ZIP CODE]  
                                  [TELEPHONE]

ENTER NEW SECOND HOME ADDRESS AND  
TELEPHONE ..... 1  
SECOND HOME ADDRESS AND TELEPHONE  
CORRECT ..... 2 {CL50}  
SECOND HOME ADDRESS OR TELEPHONE  
NEEDS CORRECTION ..... 3  
NO CURRENT SECOND HOME ..... 4 {CL50}  
REF ..... -7 {CL50}  
DK ..... -8 {CL50}

-----  
| EDIT: CODES '2' (SECOND HOME ADDRESS AND  
| TELEPHONE CORRECT) AND '3' (SECOND HOME ADDRESS  
| OR TELEPHONE NEEDS CORRECTION) CANNOT BE  
| SELECTED IF NO CURRENT SECOND HOME ADDRESS  
| INFORMATION AVAILABLE. IF CODES '2' OR '3'  
| SELECTED WHEN NO CURRENT SECOND HOME ADDRESS,  
| DISPLAY THE FOLLOWING MESSAGE: 'CODE NOT  
| AVAILABLE. NO CURRENT SECOND HOME ADDRESS.  
VERIFY AND RE-ENTER.'

CL49  
====

[What is the address and phone number of that home?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT SECOND HOME ADDRESS.  
IF UNAVAILABLE, ENTER COMPLETE SECOND HOME ADDRESS.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                  [CITY], [STATE] [ZIP CODE]  
                                  [TELEPHONE]

1ST\_STR\_ADDRESS (CL49\_01): [\_\_\_\_\_]  
2ND\_STR\_ADDRESS (CL49\_02): [\_\_\_\_\_]  
                  CITY (CL49\_03): [\_\_\_\_\_]  
                  STATE (CL49\_04): [\_\_\_\_\_]  
                  ZIP CODE (CL49\_05): [\_\_\_\_\_]  
                  TELEPHONE (CL49\_06): [\_\_\_\_\_]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

-----  
| EDIT: IF NO CURRENT SECOND HOME ADDRESS AVAILABLE, |  
| AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |  
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

-----  
| EDIT: IF CURRENT SECOND HOME ADDRESS AVAILABLE, |  
AT LEAST ONE FIELD MUST BE UPDATED.

-----  
| FLAG SECOND HOME ADDRESS FOR THE RU WITH THE |  
| ADDRESS AND PHONE ENTERED OR CORRECTED AT CL49 |  
FOR THE CURRENT ROUND.

CL50  
====

**ITEM: LOCATING CONTACT - RELATIVE OR FRIEND WHO DOES NOT LIVE  
HERE WHO WILL ALWAYS KNOW HOW TO GET IN TOUCH WITH FAMILY.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT CONTACT INFORMATION  
SHOWN BELOW.  
IF NO CURRENT INFORMATION, PROBE FOR NEW CONTACT  
INFORMATION (IF AVAILABLE).

Current Info: [CONTACT\_NAME]  
                  [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                  [CITY], [STATE] [ZIP CODE]  
                  [TELEPHONE]

ENTER NEW CONTACT PERSON/ADDRESS ..... 1  
CONTACT PERSON/ADDRESS CORRECT ..... 2 {CL52}  
CONTACT PERSON/ADDRESS NEEDS  
CORRECTION ..... 3  
NO CURRENT CONTACT PERSON ..... 4 {CL53}  
REF ..... -7 {CL53}  
DK ..... -8 {CL53}

-----  
| EDIT: CODES '2' (CONTACT PERSON/ADDRESS CORRECT) |  
| AND '3' (CONTACT PERSON/ADDRESS NEEDS CORRECTION) |  
| CANNOT BE SELECTED IF NO CURRENT CONTACT PERSON |  
| INFORMATION AVAILABLE. IF CODES '2' OR '3' |  
| SELECTED WHEN NO CURRENT CONTACT INFORMATION, |  
| DISPLAY THE FOLLOWING MESSAGE: 'CODE NOT |  
| AVAILABLE. NO CURRENT CONTACT INFORMATION. |  
VERIFY AND RE-ENTER.'

CL51  
====

[What is the name, address, and phone number of that person?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT CONTACT INFORMATION.  
IF UNAVAILABLE, ENTER COMPLETE CONTACT INFORMATION.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

ENTER 'NMN' IF NO MIDDLE NAME.

Current Info: [CONTACT\_NAME]  
                  [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
          [CITY], [STATE] [ZIP CODE]  
                          [TELEPHONE]

CONTACT\_NAME (CL51\_01): [\_\_\_\_\_]  
1ST\_STR\_ADDRESS (CL51\_02): [\_\_\_\_\_]  
2ND\_STR\_ADDRESS (CL51\_03): [\_\_\_\_\_]  
          CITY (CL51\_04): [\_\_\_\_\_]  
          STATE (CL51\_05): [\_\_\_\_\_]  
          ZIP CODE (CL51\_06): [\_\_\_\_\_]  
TELEPHONE (CL51\_07): [\_\_\_\_\_]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

-----  
| EDIT: IF NO CURRENT CONTACT ADDRESS AVAILABLE, |  
| AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |  
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

-----  
| EDIT: IF CURRENT CONTACT ADDRESS AVAILABLE, AT |  
LEAST ONE FIELD MUST BE UPDATED.

-----  
| FLAG CONTACT PERSON INFORMATION FOR THE RU WITH |  
| THE NAME, ADDRESS, AND PHONE ENTERED OR CORRECTED |  
AT CL51 FOR THE CURRENT ROUND.

CL52  
====

CONTACT PERSON: {NAME OF CONTACT PERSON FROM CL51\_01}

REFERENCE PERSON: {NAME OF REFERENCE PERSON}

**[What is (CONTACT PERSON)'s relationship to (REFERENCE PERSON)?]**

IF AVAILABLE, VERIFY AND UPDATE CURRENT CONTACT RELATIONSHIP.  
IF UNAVAILABLE, ENTER COMPLETE CONTACT RELATIONSHIP.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [CONTACT\_RELATIONSHIP]

CONTACT\_RELATIONSHIP (CL52\_01): [\_\_\_\_\_]

-----  
| DISPLAY THE NAME ENTERED AT CL51\_01 FOR 'NAME OF |  
| CONTACT PERSON FROM CL51\_01'. |

| DISPLAY THE NAME OF THE REFERENCE PERSON FOR THE |  
RU FOR 'NAME OF REFERENCE PERSON'.

-----  
| THE ENTRY FIELD FOR CL52\_01 SHOULD BE 45 |  
CHARACTERS OF FREE FORM TEXT IN LENGTH.

-----  
| EDIT: IF NO CURRENT CONTACT RELATIONSHIP |  
| AVAILABLE, AN ENTRY MUST BE MADE (REF AND DK ARE |  
ALLOWED).

-----  
| EDIT: IF CURRENT CONTACT RELATIONSHIP AVAILABLE, |  
ACCEPT AN ENTRY, REF OR DK, OR NO UPDATE.

-----  
| FLAG CONTACT PERSON RELATIONSHIP FOR THE RU WITH |  
| THE RELATIONSHIP ENTERED OR CORRECTED AT CL52 FOR |  
THE CURRENT ROUND.



CL53  
====

**ITEM: ALTERNATE RESPONDENT - BEST PERSON TO PROVIDE HEALTH CARE  
AND EXPENSES INFORMATION FOR THIS FAMILY IF CURRENT  
RESPONDENT IS UNAVAILABLE DURING NEXT INTERVIEW.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT ALTERNATE RESPONDENT  
INFORMATION SHOWN BELOW.  
IF NO CURRENT INFORMATION, PROBE FOR ALTERNATE  
RESPONDENT INFORMATION (IF AVAILABLE).

Current Info: [ALTERNATE\_NAME]  
                  [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                  [CITY], [STATE] [ZIP CODE]  
                  [TELEPHONE]

ENTER NEW ALTERNATE RESPONDENT  
INFORMATION ..... 1  
ALTERNATE RESPONDENT INFORMATION  
CORRECT ..... 2 {CL56}  
ALTERNATE RESPONDENT INFORMATION NEEDS  
CORRECTION ..... 3  
NO CURRENT ALTERNATE RESPONDENT ..... 4 {CL57}  
REF ..... -7 {CL57}  
DK ..... -8 {CL57}

-----  
EDIT: CODES '2' (ALTERNATE RESPONDENT INFORMATION  
CORRECT) AND '3' (ALTERNATE RESPONDENT INFORMATION  
NEEDS CORRECTION) CANNOT BE SELECTED IF NO CURRENT  
ALTERNATE RESPONDENT INFORMATION AVAILABLE. IF  
CODES '2' OR '3' SELECTED WHEN NO CURRENT  
ALTERNATE RESPONDENT INFORMATION, DISPLAY THE  
FOLLOWING MESSAGE: 'CODE NOT AVAILABLE. NO  
NO CURRENT ALTERNATE INFORMATION. VERIFY AND  
RE-ENTER.'  
-----

-----  
NOTE: IF CURRENT ALTERNATE RESPONDENT IS A DU  
MEMBER, DO NOT DISPLAY CURRENT ADDRESS AND PHONE  
INFORMATION. ONLY DISPLAY CURRENT ADDRESS AND  
PHONE INFORMATION IF CURRENT ALTERNATE RESPONDENT  
IS OUTSIDE OF THE DU.  
-----

CL54  
====

INTERVIEWER: SELECT PERSON NAMED FROM ROSTER.

TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.  
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]

-----  
| ROSTER DEFINITION: DISPLAY ALL PERSONS ON DU- |  
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITIONS: |  
| - PERSON IS NOT CURRENT RESPONDENT |  
- PERSON IS NOT DECEASED

-----  
| DISPLAY 'SOMEONE OUTSIDE DU' AS LAST ENTRY ON |  
ROSTER.

-----  
| IF DU MEMBER SELECTED, FLAG ALTERNATE RESPONDENT |  
| INFORMATION FOR THE RU WITH THE PERSON SELECTED AT |  
CL54 FOR THE CURRENT ROUND.

-----  
| IF 'SOMEONE OUTSIDE DU' SELECTED, CONTINUE WITH |  
CL55

-----  
OTHERWISE, GO TO CL57

CL55  
====

[What is the name, address, and phone number of that person?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT ALTERNATE RESPONDENT.  
IF UNAVAILABLE, ENTER COMPLETE ALTERNATE RESPONDENT INFORMATION.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

ENTER 'NMN' IF NO MIDDLE NAME.

Current Info: [ALTERNATE\_NAME]  
                  [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                  [CITY], [STATE] [ZIP CODE]  
                                  [TELEPHONE]

ALTERNATE\_NAME (CL55\_01): [\_\_\_\_\_]  
1ST\_STR\_ADDRESS (CL55\_02): [\_\_\_\_\_]  
2ND\_STR\_ADDRESS (CL55\_03): [\_\_\_\_\_]  
                  CITY (CL55\_04): [\_\_\_\_\_]  
                  STATE (CL55\_05): [\_\_\_\_\_]  
                  ZIP CODE (CL55\_06): [\_\_\_\_\_]  
TELEPHONE (CL55\_07): [\_\_\_\_\_]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

-----  
| EDIT: IF NO CURRENT ALTERNATE ADDRESS AVAILABLE, |  
| AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |  
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

-----  
| EDIT: IF CURRENT ALTERNATE ADDRESS AVAILABLE, AT |  
LEAST ONE FIELD MUST BE UPDATED.

-----  
| FLAG ALTERNATE RESPONDENT INFORMATION FOR THE RU |  
| WITH THE NAME, ADDRESS, AND PHONE ENTERED OR |  
CORRECTED AT CL55 FOR THE CURRENT ROUND.

CL56  
====

ALTERNATE RESPONDENT: {NAME OF ALTERNATE RESPONDENT CL55\_01}

REFERENCE PERSON: {NAME OF REFERENCE PERSON}

**[What is (ALTERNATE RESPONDENT)'s relationship to (REFERENCE PERSON)?]**

IF AVAILABLE, VERIFY AND UPDATE CURRENT ALTERNATE RESPONDENT.  
IF UNAVAILABLE, ENTER COMPLETE ALTERNATE RESPONDENT RELATIONSHIP.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [ALTERNATE\_RELATIONSHIP]

ALTERNATE\_RELATIONSHIP (CL56\_01): [\_\_\_\_\_]

-----  
| DISPLAY THE NAME ENTERED AT CL55\_01 FOR 'NAME OF |  
| ALTERNATE RESPONDENT CL55\_01'. |

| DISPLAY THE NAME OF THE REFERENCE PERSON FOR THE |  
RU FOR 'NAME OF REFERENCE PERSON'.

-----  
| THE ENTRY FIELD FOR CL56\_01 SHOULD BE 45 |  
CHARACTERS OF FREE FORM TEXT IN LENGTH.

-----  
| EDIT: IF NO CURRENT ALTERNATE RELATIONSHIP |  
| AVAILABLE, AN ENTRY MUST BE MADE (REF AND DK ARE |  
ALLOWED).

-----  
| EDIT: IF CURRENT ALTERNATE RELATIONSHIP AVAILABLE, |  
ACCEPT AN ENTRY, REF OR DK, OR NO UPDATE.

-----  
| FLAG ALTERNATE RESPONDENT RELATIONSHIP FOR THE RU |  
| WITH THE RELATIONSHIP ENTERED OR CORRECTED AT CL56 |  
FOR THE CURRENT ROUND.

CL57  
====

Is anyone in the family planning to move within the next 3 months?

YES ..... 1  
NO ..... 2 {BOX\_18}  
REF ..... -7 {BOX\_18}  
DK ..... -8 {BOX\_18}

CL58  
====

Who is that?

PROBE: Anyone else?

TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.  
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]

-----  
| ROSTER DEFINITION: THIS ITEM DISPLAYS ALL PERSONS |  
| ON THE RU-MEMBERS-ROSTER WHO MEET THE FOLLOWING |  
| CONDITION: |  
| - PERSON IS A CURRENT RU MEMBER (I.E., PERSON PART |  
OF THE RU ON INTERVIEW DATE)

LOOP\_11  
=====

-----  
| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |  
CL59 - END\_LP11

-----  
| LOOP DEFINITION: LOOP\_11 COLLECTS ADDRESS |  
| INFORMATION FOR POTENTIAL FUTURE MOVERS. THIS |  
| LOOP CYCLES ON PERSONS ON THE RU-MEMBERS-ROSTER |  
| WHO MEET THE FOLLOWING CONDITIONS: |  
| - PERSON IS A CURRENT RU MEMBER (I.E., PERSON PART |  
| OF THE RU ON INTERVIEW DATE) |  
| - PERSON SELECTED AS A FUTURE MOVER (I.E., |  
| SELECTED AT CL58) |  
- PERSON NOT FLAGGED AS 'PROCESSED FUTURE MOVER'

CL59  
====

{PERSON'S FIRST MIDDLE AND LAST NAME}

Please give me the address and telephone number of the place  
where (PERSON) is planning to move.

1ST\_STR\_ADDRESS (CL59\_01): [ \_\_\_\_\_ ]  
2ND\_STR\_ADDRESS (CL59\_02): [ \_\_\_\_\_ ]  
CITY (CL59\_03): [ \_\_\_\_\_ ]  
STATE (CL59\_04): [ \_\_\_\_\_ ]  
ZIP CODE (CL59\_05): [ \_\_\_\_\_ ]  
TELEPHONE (CL59\_06): [ \_\_\_\_\_ ]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

-----  
REFUSED AND DON'T KNOW ALLOWED FOR EACH FIELD.

-----  
FLAG PERSON AS 'PROCESSED FUTURE MOVER'.

-----  
| IF ALL PERSONS SELECTED AS FUTURE MOVERS (I.E., |  
| SELECTED AT CL58) ARE FLAGGED AS 'PROCESSED FUTURE |  
MOVER', GO TO END\_LP11

-----  
OTHERWISE, CONTINUE WITH CL60

CL60  
====

{PERSON'S FIRST MIDDLE AND LAST NAME}

IF KNOWN, CODE WITHOUT ASKING.

Is (PERSON) planning to move with anyone in the family?

YES ..... 1  
NO ..... 2 {END\_LP11}  
REF ..... -7 {END\_LP11}  
DK ..... -8 {END\_LP11}

CL61  
====

{PERSON'S FIRST MIDDLE AND LAST NAME}

IF KNOWN, CODE WITHOUT ASKING.

Who is (PERSON) planning to move with?

TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.  
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]

-----  
| ROSTER DEFINITION: THIS ITEM DISPLAYS ALL PERSONS |  
| IN THE RU-MEMBERS-ROSTER WHO MEET THE FOLLOWING |  
| CONDITIONS: |  
| - PERSON IS A CURRENT RU MEMBER (I.E., PERSON PART |  
| OF THE RU ON INTERVIEW DATE) |  
| - PERSON SELECTED AS A FUTURE MOVER (I.E., |  
| SELECTED AT CL58) |  
- PERSON NOT FLAGGED AS 'PROCESSED FUTURE MOVER'

-----  
| FLAG ALL SELECTED PERSONS AS 'PROCESSED FUTURE |  
MOVER'.

END\_LP11  
=====

-----  
| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |  
MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION

-----  
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |  
END LOOP\_11 AND CONTINUE WITH BOX\_18



BOX\_18  
=====

-----  
| IF CURRENT RESPONDENT IS A PROXY, CONTINUE WITH |  
BOX\_18A

-----  
OTHERWISE, GO TO CL62

BOX\_18A  
=====

-----  
IF NOT ROUND 5, CONTINUE WITH CL61A

-----  
OTHERWISE (I.E., IF ROUND 5), GO TO CL62

CL61A  
=====

**ITEM: PROXY INFORMATION - NEED ADDRESS AND PHONE NUMBER OF  
CURRENT PROXY.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT PROXY ADDRESS SHOWN  
BELOW.  
IF NO CURRENT INFORMATION, PROBE FOR NEW PROXY  
ADDRESS (IF AVAILABLE).

Current Info: [PROXY\_NAME]  
                  [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
          [CITY], [STATE] [ZIP CODE]  
                                  [TELEPHONE]

- ENTER NEW PROXY ADDRESS AND TELEPHONE... 1
- PROXY ADDRESS AND TELEPHONE CORRECT .... 2 {CL62}
- PROXY ADDRESS OR TELEPHONE NEEDS
- CORRECTION ..... 3
- NO CURRENT PROXY ADDRESS ..... 4 {CL62}
- REF ..... -7 {CL62}
- DK ..... -8 {CL62}

-----  
EDIT: CODES '2' (PROXY ADDRESS AND TELEPHONE  
CORRECT) AND '3' (PROXY ADDRESS OR TELEPHONE NEEDS  
CORRECTION) CANNOT BE SELECTED IF NO CURRENT PROXY  
ADDRESS INFORMATION AVAILABLE. IF CODES '2' OR  
'3' SELECTED WHEN NO CURRENT PROXY ADDRESS,  
DISPLAY THE FOLLOWING MESSAGE: 'CODE NOT  
AVAILABLE. NO CURRENT PROXY ADDRESS. VERIFY AND  
RE-ENTER.'  
-----

CL61B  
=====

[What is your address and phone number?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT PROXY ADDRESS.  
IF UNAVAILABLE, ENTER COMPLETE PROXY ADDRESS.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS  
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [1ST\_STR\_ADDRESS]  
                  [2ND\_STR\_ADDRESS]  
                  [CITY], [STATE] [ZIP CODE]  
                                  [TELEPHONE]

1ST\_STR\_ADDRESS (CL61B\_01): [\_\_\_\_\_]  
2ND\_STR\_ADDRESS (CL61B\_02): [\_\_\_\_\_]  
                  CITY (CL61B\_03): [\_\_\_\_\_]  
                  STATE (CL61B\_04): [\_\_\_\_\_]  
                  ZIP CODE (CL61B\_05): [\_\_\_\_\_]  
                  TELEPHONE (CL61B\_06): [\_\_\_\_\_]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

-----  
| EDIT: IF NO CURRENT PROXY ADDRESS AVAILABLE, AN |  
| ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT SECOND |  
STREET ADDRESS (REF AND DK ARE ALLOWED).

-----  
| EDIT: IF CURRENT PROXY ADDRESS AVAILABLE, AT |  
LEAST ONE FIELD MUST BE UPDATED.

-----  
| FLAG PROXY ADDRESS INFORMATION FOR THE RU WITH THE |  
| ADDRESS AND PHONE ENTERED OR CORRECTED AT CL61B |  
FOR THE CURRENT ROUND.

CL62  
====

INTERVIEWER: DID YOU COMPLETE THIS INTERVIEW IN-PERSON OR BY  
TELEPHONE? (YOU MUST HAVE SUPERVISOR APPROVAL PRIOR TO  
INTERVIEWING BY TELEPHONE.)

IN-PERSON ..... 1  
BY TELEPHONE ..... 2

[Code One]

CL62A  
=====

INTERVIEWER: WHAT LANGUAGE WAS THIS INTERVIEW COMPLETED IN?

ENGLISH ..... 1 {CL63}  
SPANISH ..... 2 {CL63}  
BOTH ENGLISH AND SPANISH ..... 3 {CL63}  
OTHER LANGUAGE ..... 91

[Code One]

CL62AOV  
=====

ENTER OTHER LANGUAGE:

[Enter Other Specify-45] .....

CL63  
====

INTERVIEWER: WAS ANYONE OTHER THAN THE {RESPONDENT/PROXY}  
PRESENT FOR ALL OR PART OF THE INTERVIEW?

NO ONE ELSE PRESENT ..... 1 {CL65}  
SOMEONE ELSE PRESENT FOR ALL OF  
INTERVIEW ..... 2  
SOMEONE ELSE PRESENT FOR PART OF  
INTERVIEW ..... 3

[Code One]

-----  
| DISPLAY 'RESPONDENT' IF CURRENT RESPONDENT IS AN |  
| RU MEMBER. DISPLAY 'PROXY' IF CURRENT RESPONDENT |  
IS A PROXY.

CL64  
====

INTERVIEWER: CODE ALL OTHER PERSONS PRESENT DURING INTERVIEW.

TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.  
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]  
[First Name, [Middle Name], Last Name-65]

-----  
ROSTER DEFINITION: THIS ITEM DISPLAYS PERSONS  
ON THE DU-MEMBERS-ROSTER WHO MEET THE FOLLOWING  
CONDITION(S):  
- PERSON IS ON THE DU ROSTER, BUT NOT THE RU  
ROSTER  
OR  
- PERSON ON THE RU ROSTER AND WAS ELIGIBLE AT THE  
END OF RE-ENUMERATION AND IS PHYSICALLY IN THE  
RU ON THE INTERVIEW DATE  
AND  
- PERSON IS NOT IDENTIFIED AS CURRENT RESPONDENT  
-----

-----  
DISPLAY 'SOMEONE OUTSIDE DU' AS LAST ENTRY ON THE  
ROSTER.  
-----

CL65  
====

INTERVIEWER: USE BLACK BALL POINT PEN TO COMPLETE CHECKS AND FORMS.

{1a. FILL OUT SAQ CHECK(S) WITH SAQ RESPONDENT NAME(S).}

1b. FILL OUT INTERVIEW CHECK FOR PARTICIPATION WITH RESPONDENT'S NAME.

{2a. COMPLETE THE RECEIPT AND AGREEMENT FORM AND RECORD THE SAQ CHECK(S).}

2b. COMPLETE RECEIPT AND AGREEMENT FORM AND RECORD THE INTERVIEW PARTICIPATION CHECK AND HAVE RESPONDENT SIGN IT.

{3a. COMPLETE SAQ CHECK LOG.}

3b. COMPLETE THE RESPONDENT PAYMENT CHECK LOG.

PRESS ENTER TO CONTINUE.

-----  
| DISPLAY '1a. FILL ... NAME(S).' AND '2a.  
| COMPLETE ... SAQ CHECK(S)' AND '3a. COMPLETE  
| SAQ CHECK LOG.' IF ANY CL36 OR CL39 IS CODED '1'  
| (COMPLETED AND GIVEN TO INTERVIEWER.)  
|  
-----

CL66  
====

INTERVIEWER:

4. GIVE RESPONDENT CHECK(S) AND READ STATEMENTS BELOW:

Thank you again for your cooperation in this important research.  
{This check is payment in advance for keeping records from today  
until the next interview. This next interview will take place in  
{the fall of 2002/early 2003/the fall of 2003/early 2004}./This  
check is for your efforts in keeping records and participating in  
this survey.}

5. THANK RESPONDENT FOR THIS INTERVIEW.

6. {ASK RESPONDENT TO KEEP RECORDS FOR NEXT INTERVIEW AND GIVE  
RESPONDENT GIFT./GIVE RESPONDENT CERTIFICATE:

I would also like to thank you on behalf of the two Public Health  
Service agencies that sponsor this study -- the Agency for Healthcare  
Research and Quality and the National Center for Health statistics.  
As a token of their appreciation, they would like you to have this  
certificate of commendation recognizing your contributions of time  
and effort in a research project to help enlighten Americans about  
our health care system.}

PRESS ENTER TO CONTINUE.

```
-----  
| DISPLAY 'This ... /early 2004}.' IF ROUNDS 1-4. |  
| OTHERWISE, DISPLAY 'This check ... this survey.' |  
|  
| DISPLAY 'the fall of 2002' IF ROUND 1. DISPLAY |  
| 'early 2003' IF ROUND 2. DISPLAY 'the fall of |  
| 2003' IF ROUND 3. DISPLAY 'early 2004' IF ROUND 4. |  
|  
| DISPLAY 'ASK ... GIFT.' IF ROUNDS 1-4. |  
| DISPLAY 'GIVE RESPONDENT ... health care system.' |  
| IF ROUND 5. |  
|-----
```

CL67  
=====

INTERVIEWER: WERE ANY OF THE FOLLOWING MEMORY AIDS USED BY THE  
RESPONDENT(S) DURING THE INTERVIEW?

	Yes	No
--	-----	----

CL67\_01  
=====

MONTHLY PLANNER WITH ENTRIES	1	2
---------------------------------	---	---

CL67\_02  
=====

MONTHLY PLANNER WITHOUT ENTRIES	1	2
------------------------------------	---	---

CL67\_03  
=====

HEALTH EVENTS RECORD WORKSHEET	1	2
-----------------------------------	---	---

CL67\_04  
=====

RECORD FILE	1	2
-------------	---	---

CL67\_05  
=====

OTHER CALENDAR	1	2
----------------	---	---

CL67\_06  
=====

CHECK BOOK	1	2
------------	---	---

CL67\_07  
=====

BILL/STATEMENT FROM PROVIDER	1	2
---------------------------------	---	---

CL67\_08  
=====

INSURANCE PAYMENT STATEMENT	1	2
--------------------------------	---	---



CL67\_09  
=====

MEDICINE		
BOTTLE/RECEIPT	1	2

CL67\_10  
=====

OTHER	1	2
-------	---	---

-----  
| IF CL67\_10 IS CODED '1' (YES), CONTINUE WITH |  
CL68

-----  
OTHERWISE, GO TO BOX\_20

CL68  
=====

WHICH OTHER MEMORY AIDS?

Yes No

CL68\_01  
=====

DOCTOR'S CARD OR  
APPOINTMENT SLIP           1     2

CL68\_02  
=====

INSURANCE POLICY           1     2

CL68\_03  
=====

INSURANCE CARDS           1     2

CL68\_04  
=====

TELEPHONE BOOK           1     2

CL68\_05  
=====

OTHER                   1     2

-----  
| IF CL68\_01 THROUGH CL68\_05 ARE ALL CODED `2' (NO), |  
| CAPI DISPLAYS THE FOLLOWING MESSAGE: `AT LEAST |  
| ONE FIELD SHOULD BE CODED 1.' THE INTERVIEWER |  
| MUST RE-ENTER RESPONSES TO CL68\_01 THROUGH |  
CL68\_05.

-----  
| IF CL68\_05 IS CODED '1' (YES), CONTINUE WITH |  
CL68OV

-----  
OTHERWISE, GO TO BOX\_20

CL680V  
=====

ENTER OTHER:

[Enter Other Specify] .....

BOX\_20  
=====

-----  
END INTERVIEW.

