

Closing (CL) Section

Subsection 1: MPS Permission Forms (Round 1 through Round 5)

BOX_01

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| IF:
| AT LEAST ONE PERSON-PROVIDER-PAIR **ELIGIBLE** (SEE
| SAMPLING BOXES BELOW) FOR PERMISSION FORM
| COLLECTION **FOR THE CURRENT ROUND,**
| OR
| AT LEAST ONE PERSON-PROVIDER-PAIR ELIGIBLE FOR
| PERMISSION FORM COLLECTION DURING THE PREVIOUS
| ROUND AND CL04 WAS CODED '3' (LEFT WITH R), '4'
| (MAILED TO R), '5' (REFUSED), OR '91' (OTHER) FOR
| THIS PERSON-PROVIDER-PAIR IN PREVIOUS ROUND,
CONTINUE WITH CL01

| NOTE: RECEIPT CONTROL WILL UPDATE CAPI INTER-
| ROUND, USING THE CODE STRUCTURE AT CL04. UPDATES
| CAN BE EITHER POSITIVE OR NEGATIVE. THIS MEANS
| THAT INTER-ROUND A PF CAN EITHER GET UPDATED TO A
| HIGHER STATUS CODE (FROM UNSIGNED TO SIGNED) OR TO
| A LOWER STATUS CODE (FROM SIGNED TO UNSIGNED --
| I.E., IT WAS NOT SIGNED BY THE RIGHT PERSON). SEE
| MAPPING SPECIFICATIONS FOR EXACT UPDATES TO STATUS
CODES.

OTHERWISE, GO TO BOX_02

| **SAMPLING BOX (FOR ROUND 1) :**
| PERSON-PROVIDER-PAIRS ELIGIBLE FOR MPS PERMISSION
FORM COLLECTION:

| NOTE: PERSON IS A KEY, ELIGIBLE RU MEMBER (AT
TIME OF EVENT) .

| ROUND 1: PERSON-PROVIDER-PAIRS ELIGIBLE FOR
| PERMISSION FORM COLLECTION ARE THOSE ASSOCIATED
| WITH A HOSPITAL-BASED EVENT (HS, ER, AND OP
| EVENTS) AND PROVIDERS ASSOCIATED WITH HOSPITAL-
| BASED EVENTS AND FLAGGED AS SEPARATELY-BILLING
| DOCTORS (SBD) AND CARE WAS PROVIDED TO PERSON
DURING THE CURRENT REFERENCE PERIOD.

| ONE PERMISSION FORM IS CREATED FOR EACH PERSON-
| PROVIDER-PAIR IN WHICH THE PROVIDER IS
| ASSOCIATED WITH AN HS, ER, OR OP EVENT DURING
THE EVENT ROSTER OR EVENT DRIVER SECTION.

SAMPLING BOX (FOR ROUNDS 2-5) :

PERSON-PROVIDER-PAIRS ELIGIBLE FOR MPS PERMISSION

FORM COLLECTION:

NOTE: PERSON IS A KEY, ELIGIBLE RU MEMBER (AT TIME OF EVENT) .

ROUNDS 2-5: PERSON-PROVIDER-PAIRS ELIGIBLE FOR PERMISSION FORM COLLECTION ARE THOSE ASSOCIATED WITH A HOSPITAL-BASED EVENT (HS, ER, AND OP EVENTS) AND CARE WAS PROVIDED TO PERSON DURING THE CURRENT REFERENCE PERIOD.

ADDITIONAL PAIRS ELIGIBLE FOR PERMISSION FORM COLLECTION ARE THOSE ASSOCIATED WITH A HOME HEALTH EVENT (HH EVENT), WHERE THE PROVIDER IS FLAGGED AS AN 'AGENCY', AND CARE WAS PROVIDED TO PERSON DURING THE ROUND 1, ROUND 2, ROUND 3, ROUND 4, OR ROUND 5 REFERENCE PERIODS.

OTHER PAIRS ELIGIBLE FOR PERMISSION FORM COLLECTION ARE THOSE ASSOCIATED WITH A MEDICAL PROVIDER VISIT EVENT (MV EVENT) WHERE CARE WAS PROVIDED TO PERSON DURING THE ROUND 1, ROUND 2, ROUND 3, ROUND 4, OR ROUND 5 REFERENCE PERIODS, WHERE THE RU IS SELECTED FOR THE MPS SAMPLE, AS DEFINED BELOW, AND EITHER:

- A MEDICAL DOCTOR WAS SEEN DURING THE VISIT (MV03 = 1)
- MEDICAL DOCTORS WORK AT THE SAME LOCATION AS THE PROVIDER SEEN (MV06 = 1)

FINAL PAIRS ELIGIBLE FOR PERMISSION FORM COLLECTION ARE THOSE ASSOCIATED WITH AN INSTITUTIONAL CARE EVENT (IC EVENTS), WHERE CARE WAS PROVIDED TO PERSON DURING THE ROUND 1, ROUND 2, ROUND 3, ROUND 4 OR ROUND 5 REFERENCE PERIODS.

SAMPLING BOX (FOR ROUNDS 2-5) CONT'D:

WHEN DETERMINING IF THE MV EVENTS FOR AN RU REQUIRE PERMISSION FORMS, AN RU IS SELECTED FOR THE MPS SAMPLE AT THE TIME OF THE ROUND 1 INTERVIEW USING THE FOLLOWING RATES:

- 100% OF RUs WITH AT LEAST ONE RU MEMBER COVERED BY MEDICAID OR GOV'T HOSPITAL (PHYSICIAN) AT ANY TIME DURING THE REFERENCE PERIOD
- 75% OF THE REMAINING RUs (THAT IS, RUs WITH NO RU MEMBER COVERED BY MEDICAID OR GOV'T-HOSPITAL/PHYSICIAN AT ANY TIME DURING THE REFERENCE PERIOD) WITH AT LEAST ONE RU MEMBER WITH HMO COVERAGE AT ANY TIME DURING THE REFERENCE PERIOD. HMO COVERAGE IS DEFINED AS:
 - IF AT LEAST ONE PRIVATE INSURANCE PLAN IN RU MEETS THE FOLLOWING CONDITIONS:
 - FLAGGED AS 'PROVIDING HOSPITAL/PHYSICIAN

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| BENEFITS' (EXCLUDE INSURERS WHERE |
| HOSPITAL/PHYSICIAN BENEFITS ARE PROVIDED |
| SOLELY THROUGH MEDIGAP) |
| - ESTABLISHMENT OR INSURER IS FLAGGED AS |
| 'HMO' |
| OR |
| INSURER IS AN HMO (MC01 IS CODED '1' |
| (YES) |
| OR |
| INSURER REQUIRES PERSONS TO SIGN UP WITH |
| PRIMARY PHYSICIAN (MC02 IS CODED '1' (YES) |
| - 25% OF THE REMAINING RUs (THAT IS, RUs WITH NO |
| RU MEMBER COVERED BY MEDICAID OR GOV'T- |
| HOSPITAL/PHYSICIAN AND HMO COVERAGE AT ANY |
| TIME DURING THE REFERENCE PERIOD). |

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| NOTE: IF THE SAME PROVIDER IS ASSOCIATED MORE |
| THAN ONCE FOR A PARTICULAR PERSON, ONLY ONE |
| PERMISSION FORM IS CREATED FOR THAT PAIR. IF THE |
| SAME PROVIDER IS ASSOCIATED WITH MORE THAN ONE |
| PERSON, A PERMISSION FORM IS CREATED FOR EACH |
| UNIQUE PERSON-PROVIDER-PAIR. |

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| NOTE: IF THE PERSON-PROVIDER-PAIR IS OUTSTANDING |
| FROM A PREVIOUS ROUND AND THERE IS A NEW ELIGIBLE |
| EVENT FOR THIS PAIR IN THE CURRENT ROUND, THE PAIR |
| WILL NOT BE TREATED AS IF IT IS OUTSTANDING. THAT |
| IS, THE DISPLAYS FOR PREVIOUS ROUND STATUS WILL |
| NOT BE SHOWN, ETC. |

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CL01

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{[As I mentioned during the last interview], it/It} is important for us to get accurate names and addresses for medical providers so that we can contact them for more information about the services they provide. To do this, we must have written permission from the family members receiving these services. I would like to get permission from the following people:

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

[First Name, [Middle Name], Last Name-65]

[First Name, [Middle Name], Last Name-65]

[First Name, [Middle Name], Last Name-65]

[HAND RESPONDENT THE PERMISSION FORM BOOKLET.]

[These materials explain more about why we contact medical providers and answer questions people sometimes ask about this part of the study. Please take a minute to review this information while I prepare the forms.]

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| ROSTER DEFINITION: DISPLAY EACH PERSON ON THE |
| RU-PERSON-PROVIDER-PAIRS-ROSTER WHO MEETS THE |
| FOLLOWING CONDITION(S): |
| |
| - PERSON IS ELIGIBLE FOR MPS PERMISSION FORM |

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COLLECTION FOR THE CURRENT ROUND (SEE BOX_01
SAMPLING SPECIFICATIONS)
OR
- PERSON WAS ASSOCIATED WITH A PERSON-PROVIDER-
PAIR ELIGIBLE FOR PERMISSION FORM COLLECTION
IN PREVIOUS ROUND, AND
- CL04 WAS CODED '3' (LEFT WITH R), '4' (MAILED
TO R), '5' (REFUSED), OR '91' (OTHER) FOR THIS
PERSON-PROVIDER-PAIR IN PREVIOUS ROUND

NOTE: DISPLAY EACH UNIQUE ELIGIBLE PERSON NAME
ONLY ONCE.

DISPLAY '[As I mentioned during the last
interview], it' IF NOT ROUND 1 AND AT LEAST ONE
PERSON-PROVIDER-PAIR WAS ELIGIBLE FOR MPS
PERMISSION FORM COLLECTION DURING THE PREVIOUS
ROUND. OTHERWISE, DISPLAY 'it'.

CL02

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OMITTED.

LOOP_01

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FOR EACH ELEMENT ON THE RU-PERSON-PROVIDER-PAIRS-
ROSTER, ASK CL03 - END_LP01

LOOP DEFINITION: LOOP_01 PRESENTS EACH UNIQUE
PERSON-PROVIDER-PAIR ELIGIBLE FOR PERMISSION FORM
COLLECTION (THIS INCLUDES NEW AND OUTSTANDING
FORMS) FOR THE INTERVIEWER TO COMPLETE THE
PERMISSION FORM. THIS LOOP CYCLES ON RU-PERSON-
PROVIDER-PAIRS WITH AN EVENT-PROVIDER-PAIR THAT
MEET THE FOLLOWING CONDITION(S):
- PAIR IS ELIGIBLE FOR PERMISSION FORM COLLECTION
FOR THE CURRENT ROUND (SEE BOX_01 SAMPLING
SPECIFICATIONS)
OR
- PAIR WAS ELIGIBLE FOR PERMISSION FORM COLLECTION
IN PREVIOUS ROUND, AND
- CL04 WAS CODED '3' (LEFT WITH R), '4' (MAILED TO
R), '5' (REFUSED), OR '91' (OTHER) FOR THIS PAIR
IN THE PREVIOUS ROUND

NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON-
PROVIDER-PAIR.

CL03

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INTERVIEWER: {COMPLETE PERMISSION FORM AND RECORD IN THE PF LOG/LOCATE APPROPRIATE PREPRINTED MPS PERMISSION FORM (COMPLETE NEW ONE IF FORM CANNOT BE LOCATED)} FOR THE FOLLOWING PERSON- PROVIDER-PAIR:

PID: [PID-3] PERSON: [First, [Middle], Last Name-35]
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code

Description]

PROVIDER ID: [ProvID-4]
PROVIDER NAME: [Provider Full Name-65]
PROVIDER ADDRESS: [Street Address from Provider Directory]
[City Name], [ST] [Zip Code] [Telephone]
{PF STATUS FROM PREVIOUS ROUND: {DISPLAY PREVIOUS ROUND STATUS -

40}}

SIGNATURE DATE ON MPS PF MUST BE ON OR AFTER: {MM/DD/YYYY}
{IF A MPS PF FOR THIS PAIR HAS ALREADY BEEN SIGNED ON OR AFTER

THE

ABOVE DATE, DO NOT CREATE A NEW MPS PF.}

PRESS ENTER TO CONTINUE.

PRESS F1 FOR MORE INFORMATION ON MPS PERMISSION FORMS.

| DISPLAY 'COMPLETE PERMISSION FORM ...' IF PAIR |
| CREATED AND ELIGIBLE DURING CURRENT ROUND. |
| OTHERWISE, DISPLAY 'LOCATE ... LOCATED)'. |
| |
| DISPLAY 'PF STATUS ... -40}' IF CURRENT PERSON- |
| PROVIDER-PAIR IS OUTSTANDING FROM THE PREVIOUS |
| ROUND AND NO ELIGIBLE EVENT WAS CREATED FOR THIS |
| PAIR IN THE CURRENT ROUND. |
| |
| FOR 'DISPLAY PREVIOUS...-40', DISPLAY THE CATEGORY |
| ENTRY ASSOCIATED WITH THE PREVIOUS ROUND (OR |
| RECEIPT CONTROL UPDATED) CL04 OUTSTANDING STATUS. |
| THAT IS, IF CL04 WAS CODED '3', DISPLAY 'LEFT WITH |
| R'; IF CL04 WAS CODED '4', DISPLAY 'MAILED TO R'; |
| IF CL04 WAS CODED '5', DISPLAY 'REFUSED'; AND IF |
| CL04 WAS CODED '91', DISPLAY THE FIRST 40 |
| CHARACTERS FROM THE OTHER SPECIFY ENTRY FIELD (OR |
| THE RECEIPT CONTROL UPDATE TEXT GENERATED FOR THE |
| '91' CODE). |
| |
| DISPLAY THE INTERVIEW DATE OF THE MOST RECENT |
| ROUND'S INTERVIEW FOR WHICH PAIR IS/WAS ELIGIBLE |
| FOR PERMISSION FORM COLLECTION FOR 'MM/DD/YYYY'. |
| |
| DISPLAY 'IF MPS PF FOR ... NEW MPS PF.' IF CURRENT |
| PERSON-PROVIDER-PAIR WAS ELIGIBLE FOR MPS IN |
| PREVIOUS ROUND AND FORM WAS NOT SIGNED IN THE |
PREVIOUS ROUND.

END_LP01

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| CYCLE ON NEXT PAIR ON THE RU-PERSON-PROVIDER- |
| PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN |
THE LOOP DEFINITION.

| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |
LOOP_01 AND CONTINUE WITH LOOP_02

LOOP_02
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| FOR EACH ELEMENT ON THE RU-PERSON-PROVIDER-PAIRS- |
ROSTER, ASK BOX_04A - END_LP02

| LOOP DEFINITION: LOOP_02 COLLECTS THE STATUS OF |
| PERSON-PROVIDER PERMISSION FORMS ELIGIBLE FOR |
| PERMISSION FORM COLLECTION (THIS INCLUDES NEW AND |
| OUTSTANDING FORMS). THIS LOOP CYCLES ON |
| RU-PERSON-PROVIDER-PAIRS WITH AN EVENT-PROVIDER- |
| PAIR THAT MEET THE FOLLOWING CONDITION(S): |
| - PAIR IS ELIGIBLE FOR PERMISSION FORM COLLECTION |
| FOR THE CURRENT ROUND (SEE BOX_01 SAMPLING |
| SPECIFICATIONS) |
| OR |
| - PAIR WAS ELIGIBLE FOR PERMISSION FORM COLLECTION |
| IN PREVIOUS ROUND, AND |
| - CL04 WAS CODED '3' (LEFT WITH R), '4' (MAILED TO |
| R), '5' (REFUSED), OR '91' (OTHER) FOR THIS PAIR |
IN THE PREVIOUS ROUND

| NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON- |
PROVIDER-PAIR.

CL04
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INTERVIEWER: ASK APPROPRIATE PERSON(S) TO SIGN PERMISSION FORM.
IF NOT AVAILABLE TO SIGN, LEAVE PF AND BOOKLET WITH RESPONDENT.
RECORD STATUS BELOW AND ON THE PERMISSION FORM LOG.

PID: [PID-3] PERSON: [First, [Middle], Last Name-35]
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code

Description]

PROVIDER ID: [ProvID-4]
PROVIDER NAME: [Provider Full Name-65]
PROVIDER ADDRESS: [Street Address from Provider Directory]
[City Name], [ST] [Zip Code] [Telephone]

SIGNATURE DATE ON MPS PF MUST BE ON OR AFTER: {MM/DD/YYYY}

ENTER THE PERMISSION FORM STATUS:

SIGNED, NO PROBLEM 1 {CL05}
SIGNED WITH PROBLEM 2
LEFT WITH R 3 {END_LP02}
MAILED TO R 4 {END_LP02}
REFUSED 5 {CL06}
OTHER 91 {CL04OV2}

PRESS F1 FOR MORE INFORMATION ON MPS PERMISSION FORMS.
[Code One]

DISPLAY THE RU END REFERENCE DATE OF THE MOST

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| RECENT ROUND FOR WHICH PAIR IS/WAS ELIGIBLE |
| FOR PERMISSION FORM COLLECTION FOR 'MM/DD/YYYY'. |
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| EDIT: CODE '4' (MAILED TO R) MUST BE ENTERED |
| TWICE IF RU IS NOT A STUDENT RU. IF CODE '4' |
| SELECTED AND RU IS NOT A STUDENT RU, DISPLAY THE |
| FOLLOWING MESSAGE: 'UNLIKELY RESPONSE. VERIFY |
| AND RE-ENTER.' |
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CL04OV1
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ENTER PROBLEM:
[Enter Problem-45] {CL05}

CL04OV2
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ENTER OTHER:
[Enter Other Specify-45] {END_LP02}

CL05
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PID: [PID-3] PERSON: [First, [Middle], Last Name-35]
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code]

Description]

PROVIDER ID: [ProvID-4]
PROVIDER NAME: [Provider Full Name-65]
PROVIDER ADDRESS: [Street Address from Provider Directory]
[City Name], [ST] [Zip Code] [Telephone]

SIGNATURE DATE ON MPS PF MUST BE ON OR AFTER: {MM/DD/YYYY}

ENTER MPS PERMISSION FORM NUMBER:

{NOTE: IF 2 FORMS COLLECTED FOR THE SAME PAIR, ENTER MPS PF

NUMBER

FROM THE FORM WITH THE MOST RECENT SIGNATURE DATE. HOWEVER,

COLLECT

ALL SIGNED PF(S) AND MAKE A NOTE OF EXTRA PF(S) IN COMMENT AREA

OF

THE PF LOG.}

[Enter Number-8]

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| DISPLAY THE RU END REFERENCE DATE OF THE MOST |
| RECENT ROUND FOR WHICH PAIR IS/WAS ELIGIBLE |
| FOR PERMISSION FORM COLLECTION FOR 'MM/DD/YYYY'. |
| |
| DISPLAY 'NOTE: ... LOG.' IF CURRENT PERSON- |
| PROVIDER-PAIR ELIGIBLE FOR MPS IN PREVIOUS ROUND |
| AND FORM WAS NOT SIGNED IN THE PREVIOUS ROUND. |
| OTHERWISE, USE A NULL DISPLAY. |
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| NOTE: EACH PERMISSION FORM HAS A PRE-ASSIGNED |
| PERMISSION FORM NUMBER. |
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| EDIT: NUMBER ENTERED MUST BE 8 CHARACTERS LONG |
| AND MUST BEGIN AND END WITH AN ALPHA CHARACTER. |
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| THE FIRST ALPHA MUST BE A-M, T, OR Y. THE FIRST |
| NUMERIC DIGIT (SECOND CHARACTER OF ENTRY) MUST |
| BE 0, 1, 2, 3, 4, OR 9. THE LAST ALPHA MUST BE |
| M, N, P, Q, OR R. |

CL050V

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ENTER MPS PERMISSION FORM SIGNATURE DATE:
[Enter Month, Day, Year-4] {END_LP02}

| EDIT: DATE ENTERED MUST BE ON OR AFTER THE |
| INTERVIEW DATE OF THE MOST RECENT ROUND'S |
| INTERVIEW FOR WHICH THE PAIR IS/WAS ELIGIBLE FOR |
| PERMISSION FORM COLLECTION. IF DATE IS BEFORE |
| CORRECT DATE, DISPLAY THE FOLLOWING MESSAGE: |
| 'MPS PF MUST BE SIGNED ON OR AFTER ABOVE DATE. |
| VERIFY AND RE-ENTER DATE OR COMPLETE NEW PF.' |

| NOTE: INTERVIEWERS WILL BE INSTRUCTED TO COLLECT |
| SIGNED MPS PERMISSION FORMS WITH DATES EARLIER |
| THAN THE ONE DISPLAYED, BUT WILL NOT ENTER THE |
| NUMBER IN CAPI SINCE THE CURRENT STATUS FOR THE |
| PERMISSION FORM WITH THE CORRECT DATE MAY BE |
| SOMETHING ELSE. THE CAPI STATUS OF THE MPS |
| PERMISSION FORM SHOULD REFLECT THE FORM WITH THE |
| MOST RECENT DATE. |

CL06

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PID: [PID-3] PERSON: [First, [Middle], Last Name-35]
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code

Description]

PROVIDER ID: [ProvID-4]
PROVIDER NAME: [Provider Full Name-65]
PROVIDER ADDRESS: [Street Address from Provider Directory]
[City Name], [ST] [Zip Code] [Telephone]

ENTER MAIN REASON FOR REFUSAL:
DOESN'T WANT TO BOTHER PROVIDER 1 {END_LP02}
CONFIDENTIALITY/SENSITIVE INFORMATION .. 2 {END_LP02}
PAYMENT PROBLEM WITH PROVIDER 3 {END_LP02}
HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END_LP02}
WANTS MORE INFORMATION BEFORE SIGNING .. 5 {END_LP02}
NOT INTERESTED IN STUDY 6 {END_LP02}
NO REASON GIVEN 7 {END_LP02}
OTHER 91

[Code One]

CL060V

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ENTER OTHER REASON FOR REFUSAL:
[Enter Other Specify-45]

END_LP02

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| CYCLE ON NEXT PAIR ON THE RU-PERSON-PROVIDER- |
| PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN |
THE LOOP DEFINITION.

| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |
LOOP_02 AND CONTINUE WITH BOX_02

BOX_02

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| IF NOT ROUND 1 AND ANY KEY RU MEMBER HAD A |
| STATUS OF INSTITUTIONALIZED (IN A HEALTH CARE |
| INSTITUTION) AT THE PREVIOUS ROUND'S INTERVIEW |
| DATE, BUT HAS A DIFFERENT STATUS AS OF THE |
| CURRENT ROUND'S INTERVIEW DATE, CONTINUE WITH |
LOOP_02A

OTHERWISE, GO TO BOX_03

LOOP_02A

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| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |
CL06A - END_LP02A

| LOOP DEFINITION: LOOP_02A INSTRUCTS THE |
| INTERVIEWER TO COLLECT THE HEALTH CARE INSTITUTION |
| HISTORY AND THE APPROPRIATE NUMBER OF MEDICAL |
| PROVIDER PERMISSION FORMS FOR ALL RU MEMBERS WHO |
| HAS A STATUS OF INSTITUTIONALIZED (IN A HEALTH |
| CARE INSTITUTION) AT THE PREVIOUS ROUND'S |
| INTERVIEW DATE, BUT WHO REJOINED THE COMMUNITY |
| (OR CHANGED STATUS) DURING THE CURRENT ROUND. |
| THIS LOOP CYCLES ON RU MEMBERS WHO MEET THE |
| FOLLOWING CONDITIONS: |
| - PERSON IS AN RU MEMBER |
| - PERSON IS KEY |
| - PERSON DOES NOT HAVE A STATUS OF |
| INSTITUTIONALIZED AS OF THE CURRENT ROUND'S |
| INTERVIEW DATE |
| - PERSON HAD A STATUS OF INSTITUTIONALIZED ON THE |
PREVIOUS ROUND'S INTERVIEW DATE

CL06A

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PID: [PID-3] PERSON: [First,[Middle],Last Name-35]
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code

Description]

DATE ORIGINALLY INSTITUTIONALIZED: [MM/DD/YYYY]

DATE REJOINED COMMUNITY/CHANGED STATUS: [MM/DD/YYYY]
SIGNATURE DATE ON MPS PF MUST BE ON OR AFTER: {MM/DD/YYYY}
 INTERVIEWER: THE PERSON NAMED ABOVE WAS INSTITUTIONALIZED IN A
 PREVIOUS ROUND AND HAS NOW REJOINED THE COMMUNITY OR CHANGED
 STATUS. COMPLETE THE FOLLOWING STEPS:
 1. FILL OUT HEALTH CARE INSTITUTION HISTORY.
 2. COMPLETE A MPS PF FOR EACH DIFFERENT HEALTH CARE INSTITUTION
 LISTED ON HEALTH CARE INSTITUTION HISTORY. WRITE 'IC' IN

UPPER

LEFT CORNER OF MPS PF. REFER TO SECTION 3 OF HISTORY FOR
 INSTRUCTIONS ON COMPLETING THESE PF(S).
 3. FOR EACH MPS PF CREATED THIS WAY, RECORD PERSON AND PROVIDER
 INFORMATION IN THE PF LOG.
 4. REQUEST SIGNATURE(S) ON PF(S).
 5. LEAVE UNSIGNED PF(S) AND THE PF BOOKLET WITH RESPONDENT.
 6. RECORD PF STATUS FOR EACH MPS PF ON THE PF LOG. CAPI WILL
 NOT COLLECT THIS INFORMATION.
 PRESS ENTER TO CONTINUE.

END_LP02A
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| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |
| MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION|
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| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |
| END LOOP_02A AND CONTINUE WITH BOX_03           |
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Subsection 2: HIPS Permission Forms (In Panel 4, sampling will be done
 but Permissions Forms will not be collected.)

BOX_03
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| SAMPLING BOX FOR ROUNDS 2 AND 3: (TO BASE ON |
| ROUND 1 CRITERIA FOR COLLECTION OF PFs IN ROUND 2 |
| AND ROUND 3): |
| RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS |
| PERMISSION FORM COLLECTION: |
| |
| - ALL PAIRS WHERE THE PERSON IS THE POLICYHOLDER |
| OF THIS INSURANCE ON THE DATE OF THE ROUND 1 |
| INTERVIEW AND THE ESTABLISHMENT IS A PRIVATE |
| SOURCE OF INSURANCE (DEFINED LATER) HELD ON THE |
| DATE OF THE ROUND 1 INTERVIEW (DEFINED LATER) |
| WITH FOUR EXCEPTIONS: |
| 1. ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND |
| EMPLOYER IS THE FEDERAL GOVERNMENT (EM96=2 |
| OR HP13=1) |
| 2. ESTABLISHMENT IS FLAGGED AS 'NOT SELF- |
| EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE |
| LOCATION (EM93=2) |
| 3. PERSON IS THE POLICYHOLDER OF THIS INSURANCE |
| AND IS FLAGGED AS 'POLICYHOLDER NOT LISTED |
| IN RU' |
| 4. ESTABLISHMENT ONLY PROVIDES LONG TERM CARE |
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IN A NURSING HOME, EXTRA CASH FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD DISEASE, DISABILITY, WORKER'S COMPENSATION, OR ACCIDENT INSURANCE (HX48 IS CODED ONLY COMBINATIONS OF CODES '6', '7', '8', '9', '10', AND '11').

SAMPLING BOX FOR ROUNDS 2 AND 3: (TO BASE ON ROUND 1 CRITERIA FOR COLLECTION OF PFs IN ROUND 2 AND ROUND 3):

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS PERMISSION FORM COLLECTION:

- ALL PAIRS WHERE THE ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND THE JOB SUBTYPE OF THAT EMPLOYER IS FLAGGED AS 'CURRENT MAIN' AND THE JOB IS **NOT** FLAGGED AS 'PROVIDES HEALTH INSURANCE' (PERSON IS THE JOBHOLDER OF THIS CURRENT MAIN JOB ON THE DATE OF THE ROUND 1 INTERVIEW) AS OF THE ROUND 1 INTERVIEW DATE WITH THREE **EXCEPTIONS**:
 1. ESTABLISHMENT IS THE FEDERAL GOVERNMENT (EM96 = 2)
 2. ESTABLISHMENT IS FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE=1
 3. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE LOCATION (EM93=2)

ISSUE: WE HAVE OMITTED THE CONDITION THAT PERSON MUST BE KEY. HOWEVER, WE WILL EVENTUALLY NEED TO BE ABLE TO IDENTIFY WHICH PERSONS (OF THE PERSON-ESTABLISHMENT-PAIRS) WERE NOT KEY.

NOTE: PRIVATE INSURANCE IS DEFINED AS:

- ESTABLISHMENTS FLAGGED AS 'EMPLOYER' AND FLAGGED AS 'PROVIDES HEALTH INSURANCE' (ESTABLISHMENTS FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1 ARE TREATED AS DIRECT PURCHASED, SEE NOTE BELOW)
- DIRECT PURCHASED INSURANCE, THAT IS, ESTABLISHMENTS CREATED FROM THE HX23 SERIES

NOTE: HELD ON THE DATE OF THE ROUND 1 INTERVIEW:

- FOR PRIVATE SOURCES -- POLICYHOLDER HELD INSURANCE AT THE TIME OF THE ROUND 1 INTERVIEW DATE (HQ01 IS CODED '1' (WHOLE TIME) OR HQ02 IS CODED '1' (YES, COVERED NOW) FOR THE POLICYHOLDER)
- FOR PRIVATE SOURCES WHERE POLICYHOLDER IS DECEASED -- AT LEAST ONE DEPENDENT (SELECTED AT HP16) IS COVERED BY THE INSURANCE AT THE TIME OF THE ROUND 1 INTERVIEW DATE (HQ01 IS CODED '1' (WHOLE TIME) OR HQ02 IS CODED '1' (YES, COVERED

NOW) FOR THE COVERED PERSON)

NOTE: ESTABLISHMENTS THAT ARE EMPLOYERS AND PROVIDE HEALTH INSURANCE AND ARE FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE=1 ARE TREATED AS DIRECT PURCHASED INSURANCE, THAT IS, HIPS WILL CONTACT THE ESTABLISHMENT PROVIDING THE INSURANCE, (I.E., CREATED FROM THE HX03 SERIES) **NOT** THE EMPLOYER.

NOTE: FOR ESTABLISHMENTS WHICH ARE CURRENT MAIN EMPLOYERS (ON THE ROUND 1 INTERVIEW DATE) AND PROVIDE HEALTH INSURANCE, WHERE THE HEALTH INSURANCE IS ONLY FROM A UNION (EM117=2), A HIPS PERMISSION FORM IS REQUIRED FOR BOTH THE EMPLOYER AND THE UNION. IN THESE CASES, BOTH ESTABLISHMENT-PERSON-PAIRS ARE ELIGIBLE FOR HIPS PERMISSION FORM COLLECTION.

NOTE: IF A CURRENT MAIN JOB IS FLAGGED AS 'PREVIOUS HEALTH INSURANCE' BUT THAT INSURANCE IS ONLY LONG TERM CARE IN A NURSING HOME, EXTRA CASH FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD DISEASE, DISABILITY, WORKER'S COMPENSATION, AND/OR ACCIDENT INSURANCE, THE JOB IS PROCESSED AS IF IT DOES NOT PROVIDE HEALTH INSURANCE BUT IS ELIGIBLE FOR HEALTH INSURANCE PROVIDER PERMISSION FORM COLLECTION (AS LONG AS OTHER REQUIREMENTS ARE MET).

NOTE: '-7' (REFUSED) AND '-8' (DON'T KNOW) RESPONSES AT ANY QUESTION LISTED ABOVE DOES **NOT** MEET THE CRITERIA.

NOTE: IN ROUND 4, A NEW HIPS FLAG WILL BE SET AND NEW HIPS PERMISSION FORMS WILL BE COLLECTED FOR ALL ESTABLISHMENT-PERSON-PAIRS BASED ON THE SAME SAMPLING CRITERIA AND NOTES AS ABOVE, BUT USING ROUND 3 DATA INSTEAD OF ROUND 1 DATA, AS DESCRIBED IN THE FOLLOWING BOXES.

SAMPLING BOX FOR ROUNDS 4 AND 5 (TO BASE ON ROUND 3 CRITERIA, FOR COLLECTION OF PFs IN ROUNDS 4 AND 5):

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS PERMISSION FORM COLLECTION:

- ALL PAIRS WHERE THE PERSON IS THE POLICYHOLDER OF THIS INSURANCE ON THE DATE OF THE ROUND 3 INTERVIEW AND THE ESTABLISHMENT IS A PRIVATE **SOURCE OF INSURANCE** (DEFINED LATER) HELD ON THE DATE OF THE ROUND 3 INTERVIEW (DEFINED LATER)

WITH FOUR **EXCEPTIONS**:

1. ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND EMPLOYER IS THE FEDERAL GOVERNMENT (EM96=2 OR HP13=1)
2. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE LOCATION (EM93=2)
3. PERSON IS THE POLICYHOLDER OF THIS INSURANCE AND IS FLAGGED AS 'POLICYHOLDER NOT LISTED IN DU'
4. ESTABLISHMENT ONLY PROVIDES LONG TERM CARE IN A NURSING HOME, EXTRA CASH FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD DISEASE, DISABILITY, WORKER'S COMPENSATION, OR ACCIDENT INSURANCE (HX48, OE10, OE24, OR OE37 IS CODED ONLY COMBINATIONS OF CODES '6', '7', '8', '9', '10', AND '11').

SAMPLING BOX FOR ROUNDS 4 AND 5 (TO BASE ON ROUND 3 CRITERIA, FOR COLLECTION OF PFs IN ROUNDS 4 AND 5):

RU-ESTABLISHMENT-PERSON-PAIRS ELIGIBLE FOR HIPS PERMISSION FORM COLLECTION:

- ALL PAIRS WHERE THE ESTABLISHMENT IS FLAGGED AS 'EMPLOYER' AND THE JOB SUBTYPE OF THAT EMPLOYER IS FLAGGED AS 'CURRENT MAIN' AND THE JOB IS **NOT** FLAGGED AS 'PROVIDES HEALTH INSURANCE' (PERSON IS THE JOBHOLDER OF THIS CURRENT MAIN JOB ON THE DATE OF THE ROUND 3 INTERVIEW) AS OF THE ROUND 3 INTERVIEW DATE WITH THREE **EXCEPTIONS**:
 1. ESTABLISHMENT IS THE FEDERAL GOVERNMENT (EM96 = 2)
 2. ESTABLISHMENT IS FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE=1
 3. ESTABLISHMENT IS FLAGGED AS 'NOT SELF-EMPLOYED' WITH ONE EMPLOYEE (EM91=1) AND ONE LOCATION (EM93=2)

ISSUE: WE HAVE OMITTED THE CONDITION THAT THE PERSON MUST BE A KEY RU MEMBER. HOWEVER, WE WILL EVENTUALLY NEED TO BE ABLE TO IDENTIFY WHICH PERSONS (OF THE PERSON-ESTABLISHMENT-PAIRS) WERE NOT KEY.

NOTE: PRIVATE INSURANCE IS DEFINED AS:

- ESTABLISHMENTS FLAGGED AS 'EMPLOYER' AND FLAGGED AS 'PROVIDES HEALTH INSURANCE' (ESTABLISHMENTS FLAGGED AS 'SELF-EMPLOYED' WITH A FIRM-SIZE-1 ARE TREATED AS DIRECT PURCHASED, SEE NOTE BELOW)
- DIRECT PURCHASED INSURANCE, THAT IS, ESTABLISHMENTS CREATED FROM THE HX23 SERIES

NOTE: HELD ON THE DATE OF THE ROUND 3 INTERVIEW:
- FOR PRIVATE SOURCES -- POLICYHOLDER HELD
INSURANCE AT THE TIME OF THE ROUND 3 INTERVIEW
DATE [(HQ01 IS CODED '1' (WHOLE TIME) OR HQ02 IS
CODED '1' (YES, COVERED NOW) FOR THE
POLICYHOLDER) OR (OE01, OE12, OE26 IS CODED '1'
(YES) FOR THE POLICYHOLDER)
- FOR PRIVATE SOURCES WHERE POLICYHOLDER IS
DECEASED -- AT LEAST ONE DEPENDENT [(SELECTED AT
HP16 OR OE45) OR (CONFIRMED AS STILL COVERED AT
OE29 OR OE30)] IS COVERED BY THE INSURANCE AT
THE TIME OF THE ROUND 3 INTERVIEW DATE [(HQ01
IS CODED '1' (WHOLE TIME) OR HQ02 IS CODED '1'
(YES, COVERED NOW) FOR THE COVERED PERSON) OR
(OE26 IS CODED '1' (YES) FOR THE COVERED
PERSON)]

NOTE: ESTABLISHMENTS WHICH ARE EMPLOYERS AND
PROVIDE HEALTH INSURANCE AND ARE FLAGGED AS
'SELF-EMPLOYED' WITH A FIRM-SIZE=1 ARE TREATED AS
DIRECT PURCHASED INSURANCE, THAT IS, HIPS WILL
CONTACT THE ESTABLISHMENT PROVIDING THE INSURANCE,
(I.E., CREATED FROM THE HX03 SERIES) **NOT** THE
EMPLOYER.

NOTE: FOR ESTABLISHMENTS WHICH ARE CURRENT MAIN
EMPLOYERS (ON THE ROUND 3 INTERVIEW DATE) AND
PROVIDE HEALTH INSURANCE, WHERE THE HEALTH
INSURANCE IS ONLY FROM A UNION (EM117=2), A HIPS
PERMISSION FORM IS REQUIRED FOR BOTH THE EMPLOYER
AND THE UNION. IN THESE CASES, BOTH
ESTABLISHMENT-PERSON-PAIRS ARE ELIGIBLE FOR HIPS
PERMISSION FORM COLLECTION.

NOTE: IF A CURRENT MAIN JOB IS FLAGGED AS
'PREVIOUS HEALTH INSURANCE' BUT THAT INSURANCE IS
ONLY LONG TERM CARE IN A NURSING HOME, EXTRA CASH
FOR HOSPITAL STAYS, SERIOUS DISEASE OR DREAD
DISEASE, DISABILITY, WORKER'S COMPENSATION, AND/OR
ACCIDENT INSURANCE, THE JOB IS PROCESSED AS IF IT
DOES NOT PROVIDE HEALTH INSURANCE BUT IS ELIGIBLE
FOR HEALTH INSURANCE PROVIDER PERMISSION FORM
COLLECTION (AS LONG AS OTHER REQUIREMENTS ARE
MET).

NOTE: '-7' (REFUSED) AND '-8' (DON'T KNOW)
RESPONSES AT ANY QUESTION LISTED ABOVE DOES **NOT**
MEET THE CRITERIA.

GO TO BOX_05

BOX_04A
=====

```
-----  
| AS A PHARMACY IS ENTERED OR SELECTED, FLAG THE |  
| PERSON-PHARMACY-PAIR WITH THE CURRENT ROUND (I.E., |  
| THE MOST RECENT ROUND IT WAS ENTERED/SELECTED). |  
| THIS ROUND FLAG IS USED TO DETERMINE WHETHER THE |  
| PHARMACY IS ELIGIBLE FOR PHARMACY PERMISSION FORM |  
| COLLECTION FOR THIS RU MEMBER. |  
-----
```

BOX_04
=====

OMITTED.

CL07
=====

OMITTED.

LOOP_03
=====

OMITTED.

CL08
=====

OMITTED.

CL09
=====

OMITTED.

CL09OV1
=====

OMITTED.

CL09OV2
=====

OMITTED.

CL10
=====

OMITTED.

CL11
=====

OMITTED.

CL11OV
=====

OMITTED.

END_LP03
=====

OMITTED.

Subsection 3: HIPA Policy Booklets (Not collected in Panel 4)

BOX_05
=====

GO TO BOX_10

BOX_06
=====

OMITTED.

CL12
=====

OMITTED.

CL13
=====

OMITTED.

CL14
=====

OMITTED.

LOOP_04
=====

OMITTED.

CL15
=====

OMITTED.

CL15OV
=====

OMITTED.

CL16
=====

OMITTED.

CL17
=====

OMITTED.

CL17OV
=====

OMITTED.

END_LP04
=====

OMITTED.

BOX_07
=====

OMITTED.

CL18
=====

OMITTED.

CL18OV
=====

OMITTED.

CL19
=====

OMITTED.

CL20
=====

OMITTED.

CL20OV
=====

OMITTED.

BOX_08
=====

OMITTED.

LOOP_04A
=====

OMITTED.

CL21
=====

OMITTED.

END_LP04A
=====

OMITTED.

BOX_09
=====

OMITTED.

CL22
=====

OMITTED.

Subsection 4: Pharmacy Requests and Permission Forms (Round 3 and Round 5)

BOX_10
=====

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-----
| IF ROUND 3 OR ROUND 5, CONTINUE WITH BOX_11 |
-----
| OTHERWISE, GO TO BOX_14 |
-----

```

BOX_11
=====

```

-----
| IF AT LEAST ONE PERSON-PHARMACY-PAIR ELIGIBLE |
-----

```

| (SEE SAMPLING BOX BELOW) FOR PHARMACY PERMISSION |
| FORM COLLECTION, CONTINUE WITH CL29 |

| OTHERWISE, GO TO BOX_14 |

| **SAMPLING BOX (FOR ROUND 3) :** |
| PERSON-PHARMACY-PAIRS ELIGIBLE FOR PHARMACY |
| PERMISSION FORM COLLECTION IN ROUND 3: |

- | - PERSON IS A KEY, ELIGIBLE RU MEMBER |
- | - PERSON ASSOCIATED WITH THE PHARMACY |
- | - PHARMACY COLLECTED DURING ROUND 1, 2, OR 3 |

| NOTE: FORMS ASSOCIATED WITH DECEASED AND |
| INSTITUTIONALIZED PERSONS IN ROUNDS 1 AND 2 |
| WILL BE REQUESTED. |

| **SAMPLING BOX (FOR ROUND 5) :** |
| PERSON-PHARMACY-PAIRS ELIGIBLE FOR PHARMACY |
| PERMISSION FORM COLLECTION IN ROUND 5: |

- | - PERSON IS A KEY, ELIGIBLE RU MEMBER |
- | - PERSON ASSOCIATED WITH THE PHARMACY |
- | - PHARMACY COLLECTED DURING ROUND 3, 4, OR 5 |

| NOTE: FORMS ASSOCIATED WITH DECEASED AND |
| INSTITUTIONALIZED PERSONS IN ROUNDS 3 AND 4 |
| WILL BE REQUESTED. |

| NOTE: IF THE SAME PHARMACY IS ASSOCIATED MORE THAN |
| ONCE FOR A PARTICULAR PERSON, ONLY ONE PERMISSION |
| FORM IS ASKED ABOUT FOR THAT PAIR. IF THE SAME |
| PHARMACY IS ASSOCIATED WITH MORE THAN ONE PERSON, |
| A PERMISSION FORM IS ASKED FOR EACH UNIQUE PERSON- |
| PHARMACY-PAIR. |

CL23

====

OMITTED.

CL24

====

OMITTED.

LOOP_05

=====

OMITTED.

CL25

====

OMITTED.

END_LP05
=====

OMITTED.

CL26
=====

OMITTED.

BOX_12
=====

OMITTED.

CL27
=====

OMITTED.

LOOP_06
=====

OMITTED.

CL28
=====

OMITTED.

END_LP06
=====

OMITTED.

BOX_13
=====

OMITTED.

CL29
=====

As you know, the U.S. Public Health Service is very interested in obtaining the most complete and accurate information about health care use and expenditures, including prescription medicines.

Many pharmacies now offer their customers a summary of their prescription medicine charges. People sometimes request these summaries to help in preparing their taxes or insurance claims. To help us get the best information about the family's prescriptions, we would like to obtain a printed summary from each pharmacy used by this family during the past year. To do this, we must have written permission.
PRESS ENTER TO CONTINUE.

CL30
=====

From the information I have, I would like to get a signed permission form for:
(READ PERSON BELOW)'s prescriptions filled at (READ PHARMACY BELOW).
TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON CL30_01. PHARMACY
[First, [Middle], Last Name-35] [Name of Pharmacy.....-30]

[First, [Middle], Last Name-35] [Name of Pharmacy.....-30]

[First, [Middle], Last Name-35] [Name of Pharmacy.....-30]

[HAND RESPONDENT THE PERMISSION FORM BOOKLET.]

[These materials explain more about why we contact pharmacies and answer questions people sometimes ask about this part of the study. Please take a minute to review this information while I gather the forms.]

```

-----
| ROSTER DEFINITION:  DISPLAY EACH PAIR ON THE          |
| RU-PERSON-PHARMACY-PAIRS-ROSTER THAT MEET THE        |
| FOLLOWING CONDITION:                                   |
|                                                        |
| - PAIR IS ELIGIBLE FOR PHARMACY PERMISSION FORM      |
| COLLECTION (SEE BOX_11 SAMPLING SPECIFICATIONS)      |
| FOR ROUNDS 1, 2, OR 3 IF ROUND 3 OR FOR ROUNDS      |
| 3, 4, OR 5 IF ROUND 5.                               |
-----

```

```

-----
| NOTE:  DISPLAY EACH UNIQUE ELIGIBLE PERSON-          |
| PHARMACY-PAIR ONLY ONCE.                             |
-----

```

LOOP_07

=====

```

-----
| FOR EACH ELEMENT ON THE RU-PERSON-PHARMACY-PAIRS-    |
| ROSTER, ASK CL31 - END_LP07                          |
-----

```

```

-----
| LOOP DEFINITION:  LOOP_07 PRESENTS EACH UNIQUE      |
| PERSON-PHARMACY-PAIR ELIGIBLE FOR PHARMACY          |
| PERMISSION FORM COLLECTION FOR THE INTERVIEWER TO    |
| COMPLETE THE PERMISSION FORM.  THIS LOOP CYCLES ON  |
| THE RU-PERSON-PHARMACY-PAIRS THAT MEET THE         |
| FOLLOWING CONDITION:                                   |
|                                                        |
| - PAIR IS ELIGIBLE FOR PHARMACY PERMISSION FORM      |
| COLLECTION (SEE BOX_11 SAMPLING SPECIFICATIONS)      |
| FOR ROUNDS 1, 2, OR 3 IF ROUND 3 OR FOR ROUNDS      |
| 3, 4, OR 5 IF ROUND 5.                               |
-----

```

```

-----
| NOTE:  LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON-   |
| PHARMACY-PAIR.                                       |
-----

```

CL31

=====

INTERVIEWER: {LOCATE APPROPRIATE PREPRINTED PHARMACY PERMISSION FORMS (COMPLETE NEW ONE IF FORM CANNOT BE LOCATED)/COMPLETE PHARMACY PERMISSION FORM AND RECORD IN THE PF LOG} FOR THE FOLLOWING PERSON-PHARMACY-PAIR:

PID: [PID] PERSON: [First, [Middle], Last Name-35]
DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code

Description]

PHARMID: [PharmID-4]

PHARMACY NAME: [Pharmacy Name-35]
PHARMACY ADDRESS: [Street Address for Pharmacy]
[City Name], [ST] [Zip Code] [Telephone]
PRESS ENTER TO CONTINUE.

PRESS F1 FOR MORE INFORMATION ON PHARMACY PERMISSION FORMS.

```
-----  
| DISPLAY 'LOCATE ... LOCATED)' IF PERSON-PHARMACY- |  
| PAIR WAS ELIGIBLE FROM ROUNDS 1 OR 2 IF ROUND 3 |  
| OR FROM ROUNDS 3 OR 4 IF ROUND 5. OTHERWISE, |  
| DISPLAY 'COMPLETE ... LOG'. |  
-----
```

END_LP07

=====

```
-----  
| CYCLE ON NEXT PAIR ON THE RU-PERSON-PHARMACY- |  
| PAIRS-ROSTER THAT MEETS THE CONDITIONS STATED IN |  
| THE LOOP DEFINITION. |  
-----
```

```
-----  
| IF NO OTHER PAIRS MEET THE STATED CONDITIONS, END |  
| LOOP_07 AND CONTINUE WITH LOOP_08 |  
-----
```

LOOP_08

=====

```
-----  
| FOR EACH ELEMENT ON THE RU-PERSON-PHARMACY-PAIRS- |  
| ROSTER, ASK CL32 - END_LP08 |  
-----
```

```
-----  
| LOOP DEFINITION: LOOP_08 PRESENTS EACH UNIQUE |  
| PERSON-PHARMACY-PAIR ELIGIBLE FOR PHARMACY |  
| PERMISSION FORM COLLECTION FOR THE INTERVIEWER TO |  
| RECORD THE STATUS OF THE PERMISSION FORM. THIS |  
| LOOP CYCLES ON THE RU-PERSON-PHARMACY-PAIRS THAT |  
| MEET THE FOLLOWING CONDITION: |  
| |
```

```
| - PAIR IS ELIGIBLE FOR PHARMACY PERMISSION FORM |  
| COLLECTION (SEE BOX_11 SAMPLING SPECIFICATIONS) |  
| FOR ROUNDS 1, 2, OR 3 IF ROUND 3 OR FOR ROUNDS |  
| 3, 4, OR 5 IF ROUND 5. |  
-----
```

```
-----  
| NOTE: LOOP ONLY ONE TIME FOR EACH UNIQUE PERSON- |  
| PHARMACY-PAIR. |  
-----
```

CL32

=====

INTERVIEWER: ASK APPROPRIATE PERSON(S) TO SIGN PERMISSION FORM.
IF NOT AVAILABLE TO SIGN, LEAVE PERMISSION FORM AND PURPLE
BOOKLET WITH RESPONDENT. RECORD STATUS BELOW AND ON THE
PERMISSION FORM LOG.

PID: [PID] PERSON: [First, [Middle], Last Name-35]

DOB: [MM/DD/YYYY] AGE: [XXX] STATUS: [Status Code

Description]


```

| CONTINUE WITH CL35 |
-----
| OTHERWISE, GO TO CL41 |
-----
| NOTE: DETERMINING WHICH ADULTS IN THE RU RECEIVE |
| AN SAQ AND WHICH ADULTS ARE FOLLOWED-UP IN ROUND 5 |
| WILL BE BASED ONLY ON ROUND 4 INFORMATION. THAT |
| IS, NO RU MEMBERS ADDED IN ROUND 5 WILL BE ASKED |
| TO COMPLETE AN SAQ. |
-----

```

CL35

=====

ADULT SAQ:

Now I would like to ask (READ ADULT SAQ NAMES BELOW) to complete a brief survey about health and health opinions.

PARENT SAQ:

I would also like to ask (you/APPROPRIATE PARENT'S NAME) to complete a brief health survey about (READ PARENT SAQ NAMES BELOW).

TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON CL35_01. PID CL35_02. TYPE OF SAQ

[First Name, [Middle Name], Last Name-65] [PID] {ADULT/PARENT}

[First Name, [Middle Name], Last Name-65] [PID] {ADULT/PARENT}

[First Name, [Middle Name], Last Name-65] [PID] {ADULT/PARENT}

AS APPROPRIATE, PREPARE AN SAQ FOR EACH PERSON LISTED ABOVE.

```

| ROSTER DEFINITION: DISPLAY ALL PERSONS ON THE RU- |
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITIONS: |
| - PERSON DOES NOT HAVE A STATUS OF DECEASED OR |
| INSTITUTIONALIZED ON ROUND 4 INTERVIEW DATE |
| - PERSON CURRENTLY IN RU ON ROUND 4 INTERVIEW DATE |
-----

```

```

| DISPLAY THE ROW PERSON'S PID AT CL35_01. |
-----

```

```

| DISPLAY THE TYPE OF SAQ FOR THE PERSON AT CL35_02. |
| IF PERSON IS 18 YEARS OF AGE OR OLDER (OR IN AGE |
| CATEGORIES 4-9) ON JULY 1, 2000, DISPLAY 'ADULT.' |
| IF PERSON IS LESS THAN 18 YEARS OF AGE (OR IN AGE |
| CATEGORIES 1-3) ON JULY 1, 2000, DISPLAY 'PARENT.' |
| NOTE: IF PERSON WAS NOT YET BORN ON JULY 1, 2000, |
| DISPLAY 'PARENT.' |
-----

```

LOOP_09

=====

```

| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |
| CL36 - END_LP09 |
-----

```



```

| LOOP DEFINITION: LOOP_09 COLLECTS THE SAQ STATUS |
| FOR EACH PERSON ELIGIBLE TO COMPLETE THE SAQ. |
| THIS LOOP CYCLES ON EACH PERSON ON THE RU-MEMBERS-|
| ROSTER WHO MEETS THE FOLLOWING CONDITIONS: |
| - PERSON DOES NOT HAVE A STATUS OF DECEASED OR |
| INSTITUTIONALIZED ON ROUND 4 INTERVIEW DATE |
| - PERSON CURRENTLY IN RU ON ROUND 4 INTERVIEW DATE|
-----

```

CL36
=====

```

{PERSON'S FIRST MIDDLE AND LAST NAME}
PID: {PID}          TYPE OF SAQ: {ADULT/PARENT}
COLLECT (PERSON)'S COMPLETED SAQ AND EXPLAIN THAT THEY WILL
RECEIVE $5.00 FOR EACH COMPLETED SAQ.
IF (PERSON) NOT AVAILABLE OR NOT ABLE TO COMPLETE SAQ AT
THIS TIME, LEAVE SAQ WITH (PERSON) OR RESPONDENT AND EXPLAIN
INSTRUCTIONS.
ENTER THE STATUS OF THE SAQ.
  COMPLETED AND GIVEN TO INTERVIEWER ..... 1 {END_LP09}
  NOT COMPLETED, WILL PICK UP AT
    LATER DATE ..... 2 {END_LP09}
  NOT COMPLETED, WILL MAIL TO OFFICE ..... 3 {END_LP09}
  MAILED TO SAQ RESPONDENT ..... 4 {END_LP09}
  REFUSED TO COMPLETE ..... 5 {CL37}
  OTHER ..... 91
                                [Code One]
-----

```

```

| AT PID, DISPLAY THE PERSON'S 3 DIGIT PID. |
-----

```

```

| AT TYPE OF SAQ, DISPLAY 'ADULT' IF THE PERSON |
| BEING LOOPED ON IS 18 YEARS OF AGE OR OLDER (OR |
| IN AGE CATEGORIES 4-9) ON JULY 1, 2000. DISPLAY |
| 'PARENT' IF THE PERSON BEING LOOPED ON IS LESS |
| THAN 18 YEARS OF AGE (OR IN AGE CATEGORIES 1-3) |
| ON JULY 1, 2000. NOTE: IF PERSON WAS NOT YET |
| BORN ON JULY 1, 2000, DISPLAY 'PARENT.' |
-----

```

```

| EDIT: CODE '4' (MAILED TO SAQ RESPONDENT) MUST BE |
| ENTERED TWICE IF RU IS NOT A STUDENT RU. IF CODE |
| '4' SELECTED AND RU IS NOT A STUDENT RU, DISPLAY |
| THE FOLLOWING MESSAGE: 'UNLIKELY RESPONSE. |
| VERIFY AND RE-ENTER.' |
-----

```

CL36OV
=====

```

ENTER OTHER:
  [Enter Other Specify-45] ..... {END_LP09}

```

CL37
=====

```

{PERSON'S FIRST MIDDLE AND LAST NAME}
ENTER MAIN REASON FOR REFUSAL:
  TOO BUSY/NOT INTERESTED ..... 1 {END_LP09}

```

TOO PERSONAL/SENSITIVE INFORMATION 2 {END_LP09}
 TOO MUCH OF A PHYSICAL/MENTAL HARDSHIP . 3 {END_LP09}
 HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END_LP09}
 WANTS MORE INFORMATION 5 {END_LP09}
 NOT INTERESTED 6 {END_LP09}
 NO REASON GIVEN 7 {END_LP09}
 OTHER 91

[Code One]

CL37OV

=====

ENTER OTHER REASON FOR REFUSAL:
 [Enter Other Specify-45]

END_LP09

=====

 | CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |
MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION

 | IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |
END LOOP_09 AND GO TO BOX_16A

BOX_16

=====

 | IF AT LEAST ONE PERSON WITH AN SAQ DISPOSITION OF |
 | '2' (NOT COMPLETED, WILL PICK UP AT LATER DATE), |
 | '3' (NOT COMPLETED, WILL MAIL TO OFFICE), '4' |
 | (MAILED TO SAQ RESPONDENT), '5' (REFUSED TO |
 | COMPLETE SAQ), OR '91' (OTHER) RECORDED AT CL36 |
 | DURING ROUND 4 AND NOT UPDATED BY RECEIPT CONTROL |
 | TO '1' (COMPLETE), '2' (PARTIAL COMPLETE), '4' |
 | (PROBLEM), OR '6' (WRONG SAQ TYPE) ((I.E., RECEIPT |
 | CONTROL IS EQUAL TO '3' (REFUSED) OR '5' (NOT |
HERE/BLANK)), CONTINUE WITH CL38

OTHERWISE, GO TO BOX_16A

CL38

=====

ADULT SAQ:
 During the last interview a short survey about health and health opinions was left with (READ ADULT SAQ NAMES BELOW) to complete.

PARENT SAQ:
 We also left a short survey for (you/APPROPRIATE PARENT'S NAME) to complete for (READ PARENT SAQ NAMES BELOW).
 I would like to check to see if I could pick these surveys up or if they were already mailed back to the home office.
 TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

ROSTER. PERSON

CL38_01. PID CL38_02. TYPE OF SAQ

[First Name, [Middle Name], Last Name-65] [PID] {ADULT/PARENT}
[First Name, [Middle Name], Last Name-65] [PID] {ADULT/PARENT}
[First Name, [Middle Name], Last Name-65] [PID] {ADULT/PARENT}

1. COLLECT SAQs, IF AVAILABLE.
2. IF ANY REPORTED AS LOST, RE-DISTRIBUTE APPROPRIATE NUMBER AND TYPE OF SAQs TO THE RESPONDENT.

| ROSTER DEFINITION: DISPLAY ALL PERSONS ON THE RU- |
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITIONS: |
| - PERSON DID NOT HAVE A STATUS OF DECEASED OR |
| INSTITUTIONALIZED ON ROUND 4 INTERVIEW DATE |
| - PERSON WAS CURRENTLY IN RU ON ROUND 4 INTERVIEW |
| DATE |
| - CL36 WAS CODED '2' (NOT COMPLETED, WILL PICK UP |
| AT LATER DATE), '3' (NOT COMPLETED, WILL MAIL |
| TO OFFICE), '4' (MAILED TO SAQ RESPONDENT), '5' |
| (REFUSED TO COMPLETE SAQ), OR '91' (OTHER) |
| DURING ROUND 4 FOR PERSON AND NOT UPDATED BY |
| RECEIPT CONTROL TO '1' (COMPLETE), '2' (PARTIAL |
| COMPLETE), '4' (PROBLEM), OR '6' (WRONG SAQ |
| TYPE) ((I.E., RECEIPT CONTROL IS EQUAL TO '3' |
(REFUSED) OR '5' (NOT HERE/BLANK))

DISPLAY THE ROW PERSON'S PID AT CL38_01.

| AT TYPE OF SAQ, DISPLAY 'ADULT' IF THE PERSON |
| BEING LOOPED ON IS 18 YEARS OF AGE OR OLDER (OR |
| IN AGE CATEGORIES 4-9) ON JULY 1, 2000. DISPLAY |
| 'PARENT' IF THE PERSON BEING LOOPED ON IS LESS |
| THAN 18 YEARS OF AGE (OR IN AGE CATEGORIES 1-3) |
| ON JULY 1, 2000. NOTE: IF PERSON WAS NOT YET |
BORN ON JULY 1, 2000, DISPLAY 'PARENT.'

LOOP_10
=====

| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |
CL39 - END_LP10

| LOOP DEFINITION: LOOP_10 COLLECTS THE SAQ STATUS |
| FOR EACH PERSON ELIGIBLE TO COMPLETE THE SAQ. |
| THIS LOOP CYCLES ON EACH PERSON ON THE RU-MEMBERS- |
| ROSTER WHO MEETS THE FOLLOWING CONDITIONS: |
| - PERSON DID NOT HAVE A STATUS OF DECEASED OR |
| INSTITUTIONALIZED ON ROUND 4 INTERVIEW DATE |
| - PERSON WAS CURRENTLY IN RU ON ROUND 4 INTERVIEW |
| DATE |
| - CL36 WAS CODED '2' (NOT COMPLETED, WILL PICK UP |
| AT LATER DATE), '3' (NOT COMPLETED, WILL MAIL |
| TO OFFICE), '4' (MAILED TO SAQ RESPONDENT), '5' |
(REFUSED TO COMPLETE SAQ), OR '91' (OTHER)

```

| DURING ROUND 4 FOR PERSON AND NOT UPDATED BY |
| RECEIPT CONTROL TO '1' (COMPLETE), '2' (PARTIAL |
| COMPLETE), '4' (PROBLEM), OR '6' (WRONG SAQ |
| TYPE) ((I.E., RECEIPT CONTROL IS EQUAL TO '3' |
| (REFUSED) OR '5' (NOT HERE/BLANK)) |

```

CL39

=====

```

{PERSON'S FIRST MIDDLE AND LAST NAME}
PID: {PID}      TYPE OF SAQ: {ADULT/PARENT}
{SAQ STATUS FROM PREVIOUS ROUND: {PREVIOUS ROUND STATUS -40}}
COLLECT (PERSON)'S COMPLETED SAQ AND EXPLAIN THAT THEY WILL

```

RECEIVE

```

$5.00 FOR EACH COMPLETED SAQ.
ENTER THE STATUS OF THE SAQ.
  COMPLETED AND GIVEN TO INTERVIEWER ..... 1 {END_LP10}
  NOT COMPLETED, WILL PICK UP AT
    LATER DATE ..... 2 {END_LP10}
  NOT COMPLETED, WILL MAIL TO OFFICE ..... 3 {END_LP10}
  ALREADY MAILED TO HOME OFFICE ..... 4 {END_LP10}
  REFUSED TO COMPLETE ..... 5 {CL40}
  OTHER ..... 91
                                [Code One]

```

```

| AT PID, DISPLAY THE PERSON'S 3 DIGIT PID. |

```

```

| AT TYPE OF SAQ, DISPLAY 'ADULT' IF THE PERSON |
| BEING LOOPED ON IS 18 YEARS OF AGE OR OLDER (OR |
| IN AGE CATEGORIES 4-9) ON JULY 1, 2000. DISPLAY |
| 'PARENT' IF THE PERSON BEING LOOPED ON IS LESS |
| THAN 18 YEARS OF AGE (OR IN AGE CATEGORIES 1-3) |
| ON JULY 1, 2000. NOTE: IF PERSON WAS NOT YET |
| BORN ON JULY 1, 2000, DISPLAY 'PARENT.' |

```

```

| DISPLAY 'SAQ STATUS FROM PREVIOUS ROUND' {PREVIOUS |
| ROUND STATUS -40}' IF ROUND 5. OTHERWISE, USE A |
| NULL DISPLAY. |

```

```

| FOR 'PREVIOUS ROUND STATUS-40', DISPLAY THE TEXT |
| ASSOCIATED WITH THE ROUND 2 (OR RECEIPT CONTROL |
| UPDATED STATUS) STATUS ENTERED AT CL36. |

```

CL390V

=====

```

ENTER OTHER:
  [Enter Other Specify-45] ..... {END_LP10}

```

CL40

=====

```

{PERSON'S FIRST MIDDLE AND LAST NAME}
ENTER MAIN REASON FOR REFUSAL:
  TOO BUSY/NOT INTERESTED ..... 1 {END_LP10}
  TOO PERSONAL/SENSITIVE INFORMATION ..... 2 {END_LP10}

```

TOO MUCH OF A PHYSICAL/MENTAL HARDSHIP . 3 {END_LP10}
 HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END_LP10}
 WANTS MORE INFORMATION 5 {END_LP10}
 NOT INTERESTED 6 {END_LP10}
 NO REASON GIVEN 7 {END_LP10}
 OTHER 91
 [Code One]

CL400V
=====

ENTER OTHER REASON FOR REFUSAL:
 [Enter Other Specify-45]

END_LP10
=====

```

-----
| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |
| MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION|
-----
| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |
| END LOOP_10 AND CONTINUE WITH BOX_16A           |
-----
  
```

Subsection 5a: Diabetes Care Supplement Questionnaire (Rounds 3 and 5)

BOX_16A
=====

```

-----
| IF ROUND 3 OR 5, CONINUE WITH BOX_16B           |
-----
| OTHERWISE, GO TO CL41                           |
-----
  
```

BOX_16B
=====

```

-----
| IF ROUND 3 OR 5 AND AT LEAST ONE RU MEMBER      |
| ELIGIBLE FOR DIABETES CARE SUPPLEMENT (I.E., AT |
| LEAST ONE RU MEMBER WHO IS CODED '1' (YES) AT   |
| PC02), CONTINUE WITH CL40A                       |
-----
| OTHERWISE, GO TO CL41                           |
-----
  
```

CL40A
=====

SELF DIABETES CARE SUPPLEMENT (DCS):
 Earlier we asked (READ SELF NAMES BELOW) to complete
 a few questions about the care received for diabetes.
 PROXY DCS:
 Earlier we asked that someone knowledgeable about
 (READ PROXY NAMES BELOW) diabetes complete a few
 questions about the care received.
 TO SCROLL, USE ARROW KEYS. TO LEAVE SCREEN, PRESS ESC.

```

ROSTER. PERSON                                CL40A_01. PID CL40A_02. TYPE OF SAQ
[First Name, [Middle Name], Last Name-65]    [PID]                {SELF/PROXY}
[First Name, [Middle Name], Last Name-65]    [PID]                {SELF/PROXY}
[First Name, [Middle Name], Last Name-65]    [PID]                {SELF/PROXY}

```

AS APPROPRIATE, COLLECT DCS FOR EACH PERSON LISTED ABOVE.

```

-----
| ROSTER DEFINITION:  DISPLAY ALL PERSONS ON THE RU-|
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITION: |
| - PC02 IS CODED '1' (YES) FOR THE PERSON        |
-----

```

```

-----
| DISPLAY THE ROW PERSON'S PID AT CL40A_01          |
-----

```

```

-----
| DISPLAY THE TYPE OF DCS FOR THE PERSON AT        |
| CL40A_02.  IF PC03 FOR THE ROW PERSON IS CODED  |
| '1' (SELF), DISPLAY 'SELF.'  IF PC03 FOR THE ROW |
| PERSON IS CODED '2' (PROXY), DISPLAY 'PROXY.'    |
-----

```

LOOP_10A

=====

```

-----
| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK   |
| CL40B - END_LP10A                                |
-----

```

```

-----
| LOOP DEFINITION:  LOOP_10A COLLECTS THE DCS STATUS|
| FOR EACH PERSON ELIGIBLE TO COMPLETE THE DCS.    |
| THIS LOOP CYCLES ON EACH PERSON ON THE RU-       |
| MEMBERS-ROSTER WHO MEETS THE FOLLOWING CONDITION: |
|                                                    |
| - PC02 IS CODED '1' (YES) FOR THE PERSON        |
-----

```

CL40B

=====

```

{PERSON'S FIRST MIDDLE AND LAST NAME}
PID: {PID}      TYPE OF DCS  {SELF/PROXY}
COLLECT (PERSON)'S COMPLETED DIABETES CARE SUPPLEMENT
IF (PERSON) NOT AVAILABLE OR NOT ABLE TO COMPLETE DCS AT
THIS TIME, LEAVE DCS WITH (PERSON) OR RESPONDENT AND EXPLAIN
INSTRUCTIONS.

```

ENTER THE STATUS OF THE DCS.

```

COMPLETED AND GIVEN TO INTERVIEWER ..... 1 {END_LP10A}
NOT COMPLETED, WILL PICK UP AT
  LATER DATE ..... 2 {END_LP10A}
NOT COMPLETED, WILL MAIL TO OFFICE ..... 3 {END_LP10A}
MAILED TO DCS RESPONDENT ..... 4 {END_LP10A}
REFUSED TO COMPLETE ..... 5 {CL40C}
OTHER ..... 91

```

[Code One]

```

-----
| AT PID, DISPLAY THE PERSON'S 3 DIGIT PID.        |
-----

```

```
-----
| AT TYPE OF DCS, DISPLAY 'SELF' IF THE PERSON |
| BEING LOOPED ON IS CODED '1' (SELF) AT PC03. |
| DISPLAY 'PROXY' IF THE PERSON BEING LOOPED ON |
| IS CODED '2' (PROXY) AT PC03. |
-----
```

```
-----
| EDIT: CODE '4' (MAILED TO DCS RESPONDENT) MUST BE |
| ENTERED TWICE IF RU IS NOT A STUDENT RU. IF CODE |
| '4' SELECTED AND RU IS NOT A STUDENT RU, DISPLAY |
| THE FOLLOWING MESSAGE: 'UNLIKELY RESPONSE. |
| VERIFY AND RE-ENTER.' |
-----
```

CL40BOV
=====

ENTER OTHER:
[Enter Other Specify-45] {END_LP10A}

CL40C
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}
ENTER MAIN REASON FOR REFUSAL:
TOO BUSY/NOT INTERESTED 1 {END_LP10A}
TOO PERSONAL/SENSITIVE INFORMATION 2 {END_LP10A}
TOO MUCH OF A PHYSICAL/MENTAL HARDSHIP . 3 {END_LP10A}
HAS ALREADY GIVEN ENOUGH INFORMATION ... 4 {END_LP10A}
WANTS MORE INFORMATION 5 {END_LP10A}
NOT INTERESTED 6 {END_LP10A}
NO REASON GIVEN 7 {END_LP10A}
OTHER 91
[Code One]

CL40COV
=====

ENTER OTHER REASON FOR REFUSAL:
[Enter Other Specify-45]

END_LP10A
=====

```
-----
| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |
| MEETS THE CONDITION STATED IN THE LOOP DEFINITION |
-----
```

```
-----
| IF NO OTHER PERSONS MEET THE STATED CONDITION, |
| END LOOP_10A AND GO TO CL41 |
-----
```

Subsection 6: Collecting/Updating Locating Information (Round 1 through Round 5)

CL41
=====

{Thank you for your cooperation and for taking the time to participate in this important study.}
{In the coming months, we will be contacting this family again

to collect information on health care use and expenses./We are nearing the end of this study. I'd like to thank you for your participation in this important study. Just in case my supervisor needs to reach you to verify that I was here and collected this information correctly, I'd like to verify a few pieces of information.)

{Just to make sure I can reach you for the next interview, I'd like to ask a few questions about how to find the family./Let me quickly review and update the information we have for locating the family that was collected during the last interview.}

PRESS ENTER TO CONTINUE.

```
-----
| DISPLAY 'Thank you ... important study.' IF ROUNDS|
| 1 OR 2 OR 3 OR 4. OTHERWISE, USE A NULL DISPLAY. |
|
| DISPLAY 'In the coming months, ... use and |
| expenses.' IF ROUNDS 1 OR 2 OR 3 OR 4. OTHERWISE,|
| DISPLAY 'We are nearing ... of information.' |
|
| DISPLAY 'Just ... family.' IF ROUND 1. OTHERWISE,|
| DISPLAY 'Let ... interview.' |
|-----
```

```
-----
| IF NOT ROUND 5, CONTINUE WITH CL42 |
|-----
```

```
-----
| OTHERWISE (I.E., IF ROUND 5), GO TO BOX_17 |
|-----
```

CL42
=====

What is the best time of day and day of the week to get in touch with you?

ENTER BEST TIME TO CONTACT RESPONDENT/PROXY.

[Enter Text]

```
-----
| NOTE: FOUR LINES OF 45 CHARACTERS SHOULD BE |
| AVAILABLE FOR ENTRY OF FREE FORM TEXT. |
|-----
```

CL42OV1
=====

ENTER WHO BEST TIME RECORDED FOR:

CURRENT RESPONDENT 1 {BOX_17}
CURRENT PROXY 2 {BOX_17}
ENTIRE RU 3 {BOX_17}
OTHER 91

[Code One]

CL42OV2
=====

ENTER OTHER:

[Enter Other Specify]

BOX_17

=====

```

-----
| IF NO CURRENT RU MEMBER PART OF THE RU ON THE |
| CURRENT INTERVIEW DATE (I.E., ALL RU MEMBERS |
| DECEASED, INSTITUTIONALIZED, OR OUT OF THE COUNTRY |
| ON CURRENT INTERVIEW DATE), GO TO BOX_18 |
-----
| OTHERWISE, CONTINUE WITH CL43 |
-----

```

CL43
=====

ITEM: SECOND PHONE (WORK, FRIEND, RELATIVE, OTHER) WHERE FAMILY COULD BE REACHED.

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT SECOND PHONE SHOWN BELOW.

IF NO CURRENT INFORMATION, PROBE FOR NEW SECOND PHONE (IF AVAILABLE).

Current Info: [2ND_TELEPHONE]

- ENTER NEW SECOND PHONE 1
- SECOND PHONE CORRECT 2 {CL46}
- SECOND PHONE NEEDS CORRECTION 3
- NO CURRENT SECOND PHONE 4 {CL46}
- REF -7 {CL46}
- DK -8 {CL46}

```

-----
| EDIT: CODES '2' (SECOND PHONE CORRECT) AND '3' |
| (SECOND PHONE NEEDS CORRECTION) CANNOT BE SELECTED |
| IF NO CURRENT SECOND PHONE INFORMATION AVAILABLE. |
| IF CODES '2' OR '3' SELECTED WHEN NO CURRENT |
| SECOND PHONE, DISPLAY THE FOLLOWING MESSAGE: 'CODE |
| NOT AVAILABLE. NO CURRENT SECOND PHONE. VERIFY |
| AND RE-ENTER.' |
-----

```

```

-----
| ASSUMPTION: THE QUESTIONS IN CLOSING IN WHICH |
| CONTACT AND LOCATING INFORMATION IS PRE-RECORDED |
| IN CAPI (CL43-CL64) ARE SPECIFIED WITH THE |
| FOLLOWING BASIC ASSUMPTIONS: |
| 1. LOCATING AND CONTACTING INFORMATION WILL NOT BE |
| WRITTEN OVER FROM ROUND TO ROUND. |
| 2. ONLY THE MOST CURRENT INFORMATION WILL APPEAR |
| IN THE TEXT OF THESE QUESTIONS AND NO HISTORY |
| OF CONTACT AND LOCATING INFORMATION WILL APPEAR |
| ON THE CAPI SCREEN FOR THE INTERVIEWER. |
| 3. IF INFORMATION STAYS THE SAME, IT WILL BE |
| CARRIED FORWARD. |
| 4. WHETHER OR NOT PREVIOUS ROUND'S INFORMATION OR |
| ANY CONTACT HISTORY WILL BE PRINTED ON THE FACE |
| SHEET FOR ANY OF THE CONTACTING AND LOCATING |
| QUESTIONS IS STILL NOT KNOWN. |
-----

```

CL44
=====

[What is that telephone number?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT SECOND PHONE.
 IF UNAVAILABLE, ENTER COMPLETE SECOND TELEPHONE NUMBER.
 TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
 ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [2ND_TELEPHONE]
 [Enter Area Code,Exchange,Local]

EDIT: DISALLOW LEADING ZEROS AS AN ENTRY.

 | EDIT: IF NO CURRENT SECOND PHONE AVAILABLE, AN |
 | ENTRY MUST BE MADE FOR EVERY FIELD (REF AND DK ARE |
ALLOWED) .

 | EDIT: IF CURRENT SECOND PHONE AVAILABLE, AT LEAST |
ONE FIELD MUST BE UPDATED.

 | FLAG SECOND PHONE INFORMATION FOR THE RU WITH THE |
 | NUMBER ENTERED OR CORRECTED AT CL44 FOR THE |
CURRENT ROUND.

CL45
 =====

Where is that telephone located?

OFFICE/PLACE OF BUSINESS 1 {CL45OV2}
 RELATIVE 2 {CL45OV2}
 NEIGHBOR 3 {CL45OV2}
 FRIEND 4 {CL45OV2}
 OTHER91
 REF -7 {CL45OV2}
 DK -8 {CL45OV2}

[Code One]

CL45OV1
 =====

ENTER OTHER:

[Enter Other Specify-45]
 REF -7
 DK -8

CL45OV2
 =====

What is the name of that location?

ENTER NAME AND/OR DESCRIPTION. ALSO, INCLUDE ANY SPECIAL
 INSTRUCTIONS FOR CALLING AT THE ALTERNATE TELEPHONE NUMBER (FOR
 EXAMPLE, CALL ONLY IN EMERGENCY).

[Enter Description]
 REF -7
 DK -8

 | NOTE: IF SPACE AVAILABLE, ALLOW 2 LINES OF 45 |
 | CHARACTERS FOR DESCRIPTION. IF SPACE UNAVAILABLE, |
ALLOW ONLY STANDARD ONE LINE OF TEXT.

CL46

=====

ITEM: MAILING ADDRESS DIFFERENT FROM LOCATING (STREET) ADDRESS.

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT MAILING ADDRESS SHOWN BELOW.

IF NO CURRENT INFORMATION, PROBE FOR NEW MAILING ADDRESS (IF AVAILABLE).

Current Info: [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY]
 [STATE]
 [ZIP CODE]

ENTER NEW MAILING ADDRESS 1
MAILING ADDRESS CORRECT 2 {BOX_17A}
MAILING ADDRESS NEEDS CORRECTION 3
NO CURRENT MAILING ADDRESS 4 {BOX_17A}
REF -7 {BOX_17A}
DK -8 {BOX_17A}

| EDIT: CODES '2' (MAILING ADDRESS CORRECT) AND '3' |
| (MAILING ADDRESS NEEDS CORRECTION) CANNOT BE |
| SELECTED IF NO CURRENT MAILING ADDRESS INFORMATION |
| AVAILABLE. IF CODES '2' OR '3' SELECTED WHEN NO |
| CURRENT MAILING ADDRESS, DISPLAY THE FOLLOWING |
| MESSAGE: 'CODE NOT AVAILABLE. NO CURRENT MAILING |
ADDRESS. VERIFY AND RE-ENTER.'

CL47

=====

[What is that address?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT MAILING ADDRESS.

IF UNAVAILABLE, ENTER COMPLETE MAILING ADDRESS.

TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY]
 [STATE]
 [ZIP CODE]

1ST_STR_ADDRESS (CL47_01): [_____]
2ND_STR_ADDRESS (CL47_02): [_____]
 CITY (CL47_03): [_____]
 STATE (CL47_04): [_____]
 ZIP CODE (CL47_05): [_____]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

| EDIT: IF NO CURRENT MAILING ADDRESS AVAILABLE, |
| AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

| EDIT: IF CURRENT MAILING ADDRESS AVAILABLE, AT |
LEAST ONE FIELD MUST BE UPDATED.

| FLAG MAILING ADDRESS INFORMATION FOR THE RU WITH |
| THE ADDRESS ENTERED OR CORRECTED AT CL47 FOR THE |
| CURRENT ROUND. |

BOX_17A
=====

| IF NOT ROUND 5, CONTINUE WITH CL48 |

| OTHERWISE (I.E., IF ROUND 5), GO TO CL62 |

CL48
=====

**ITEM: ANOTHER HOME SUCH AS SECOND HOME OR VACATION HOME WHERE
FAMILY CAN SOMETIMES BE CONTACTED.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT SECOND HOME
INFORMATION SHOWN BELOW.
IF NO CURRENT INFORMATION, PROBE FOR NEW SECOND
HOME INFORMATION (IF AVAILABLE).

Current Info: [1ST_STR_ADDRESS]
[2ND_STR_ADDRESS]
[CITY], [STATE] [ZIP CODE]
[TELEPHONE]

ENTER NEW SECOND HOME ADDRESS AND
TELEPHONE 1
SECOND HOME ADDRESS AND TELEPHONE
CORRECT 2 {CL50}
SECOND HOME ADDRESS OR TELEPHONE
NEEDS CORRECTION 3
NO CURRENT SECOND HOME 4 {CL50}
REF -7 {CL50}
DK -8 {CL50}

| EDIT: CODES '2' (SECOND HOME ADDRESS AND |
| TELEPHONE CORRECT) AND '3' (SECOND HOME ADDRESS |
| OR TELEPHONE NEEDS CORRECTION) CANNOT BE |
| SELECTED IF NO CURRENT SECOND HOME ADDRESS |
| INFORMATION AVAILABLE. IF CODES '2' OR '3' |
| SELECTED WHEN NO CURRENT SECOND HOME ADDRESS, |
| DISPLAY THE FOLLOWING MESSAGE: 'CODE NOT |
| AVAILABLE. NO CURRENT SECOND HOME ADDRESS. |
| VERIFY AND RE-ENTER.' |

CL49
=====

[What is the address and phone number of that home?]
IF AVAILABLE, VERIFY AND UPDATE CURRENT SECOND HOME ADDRESS.
IF UNAVAILABLE, ENTER COMPLETE SECOND HOME ADDRESS.
TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [1ST_STR_ADDRESS]
[2ND_STR_ADDRESS]
[CITY], [STATE] [ZIP CODE]

[TELEPHONE]

1ST_STR_ADDRESS (CL49_01): [_____]
 2ND_STR_ADDRESS (CL49_02): [_____]
 CITY (CL49_03): [_____]
 STATE (CL49_04): [_____]
 ZIP CODE (CL49_05): [_____]
 TELEPHONE (CL49_06): [_____]
 PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

| EDIT: IF NO CURRENT SECOND HOME ADDRESS AVAILABLE, |
 | AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |
 | SECOND STREET ADDRESS (REF AND DK ARE ALLOWED). |

| EDIT: IF CURRENT SECOND HOME ADDRESS AVAILABLE, |
 | AT LEAST ONE FIELD MUST BE UPDATED. |

| FLAG SECOND HOME ADDRESS FOR THE RU WITH THE |
 | ADDRESS AND PHONE ENTERED OR CORRECTED AT CL49 |
 | FOR THE CURRENT ROUND. |

CL50
 =====

**ITEM: LOCATING CONTACT - RELATIVE OR FRIEND WHO DOES NOT LIVE
 HERE WHO WILL ALWAYS KNOW HOW TO GET IN TOUCH WITH FAMILY.**

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT CONTACT INFORMATION
 SHOWN BELOW.

IF NO CURRENT INFORMATION, PROBE FOR NEW CONTACT
 INFORMATION (IF AVAILABLE).

Current Info: [CONTACT_NAME]
 [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY], [STATE] [ZIP CODE]
 [TELEPHONE]

- ENTER NEW CONTACT PERSON/ADDRESS 1
- CONTACT PERSON/ADDRESS CORRECT 2 {CL52}
- CONTACT PERSON/ADDRESS NEEDS
 CORRECTION 3
- NO CURRENT CONTACT PERSON 4 {CL53}
- REF -7 {CL53}
- DK -8 {CL53}

| EDIT: CODES '2' (CONTACT PERSON/ADDRESS CORRECT) |
 | AND '3' (CONTACT PERSON/ADDRESS NEEDS CORRECTION) |
 | CANNOT BE SELECTED IF NO CURRENT CONTACT PERSON |
 | INFORMATION AVAILABLE. IF CODES '2' OR '3' |
 | SELECTED WHEN NO CURRENT CONTACT INFORMATION, |
 | DISPLAY THE FOLLOWING MESSAGE: 'CODE NOT |
 | AVAILABLE. NO CURRENT CONTACT INFORMATION. |
 | VERIFY AND RE-ENTER.' |

CL51
 =====

[What is the name, address, and phone number of that person?]

IF AVAILABLE, VERIFY AND UPDATE CURRENT CONTACT INFORMATION.
 IF UNAVAILABLE, ENTER COMPLETE CONTACT INFORMATION.
 TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
 ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.
 ENTER 'NMN' IF NO MIDDLE NAME.

Current Info: [CONTACT_NAME]
 [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY], [STATE] [ZIP CODE]
 [TELEPHONE]

CONTACT_NAME (CL51_01): [_____
 1ST_STR_ADDRESS (CL51_02): [_____
 2ND_STR_ADDRESS (CL51_03): [_____
 CITY (CL51_04): [_____
 STATE (CL51_05): [_____
 ZIP CODE (CL51_06): [_____
 TELEPHONE (CL51_07): [_____]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

 | EDIT: IF NO CURRENT CONTACT ADDRESS AVAILABLE, |
 | AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

 | EDIT: IF CURRENT CONTACT ADDRESS AVAILABLE, AT |
LEAST ONE FIELD MUST BE UPDATED.

 | FLAG CONTACT PERSON INFORMATION FOR THE RU WITH |
 | THE NAME, ADDRESS, AND PHONE ENTERED OR CORRECTED |
AT CL51 FOR THE CURRENT ROUND.

CL52
 =====

CONTACT PERSON: {NAME OF CONTACT PERSON FROM CL51_01}
 REFERENCE PERSON: {NAME OF REFERENCE PERSON}
 [What is (CONTACT PERSON)'s relationship to (REFERENCE PERSON)?]
 IF AVAILABLE, VERIFY AND UPDATE CURRENT CONTACT RELATIONSHIP.
 IF UNAVAILABLE, ENTER COMPLETE CONTACT RELATIONSHIP.
 TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
 ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [CONTACT_RELATIONSHIP]

CONTACT_RELATIONSHIP (CL52_01): [_____]

 | DISPLAY THE NAME ENTERED AT CL51_01 FOR 'NAME OF |
 | CONTACT PERSON FROM CL51_01'. |
 | |
 | DISPLAY THE NAME OF THE REFERENCE PERSON FOR THE |
RU FOR 'NAME OF REFERENCE PERSON'.

 | THE ENTRY FIELD FOR CL52_01 SHOULD BE 45 |
CHARACTERS OF FREE FORM TEXT IN LENGTH.

EDIT: IF NO CURRENT CONTACT RELATIONSHIP

```

| AVAILABLE, AN ENTRY MUST BE MADE (REF AND DK ARE |
| ALLOWED). |
-----
| EDIT: IF CURRENT CONTACT RELATIONSHIP AVAILABLE, |
| ACCEPT AN ENTRY, REF OR DK, OR NO UPDATE. |
-----
| FLAG CONTACT PERSON RELATIONSHIP FOR THE RU WITH |
| THE RELATIONSHIP ENTERED OR CORRECTED AT CL52 FOR |
| THE CURRENT ROUND. |
-----

```

CL53

=====

ITEM: ALTERNATE RESPONDENT - BEST PERSON TO PROVIDE HEALTH CARE AND EXPENSES INFORMATION FOR THIS FAMILY IF CURRENT RESPONDENT IS UNAVAILABLE DURING NEXT INTERVIEW.

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT ALTERNATE RESPONDENT INFORMATION SHOWN BELOW.

IF NO CURRENT INFORMATION, PROBE FOR ALTERNATE RESPONDENT INFORMATION (IF AVAILABLE).

Current Info: [ALTERNATE_NAME]
 [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY], [STATE] [ZIP CODE]
 [TELEPHONE]

```

ENTER NEW ALTERNATE RESPONDENT
INFORMATION ..... 1
ALTERNATE RESPONDENT INFORMATION
CORRECT ..... 2 {CL56}
ALTERNATE RESPONDENT INFORMATION NEEDS
CORRECTION ..... 3
NO CURRENT ALTERNATE RESPONDENT ..... 4 {CL57}
REF ..... -7 {CL57}
DK ..... -8 {CL57}
-----

```

```

| EDIT: CODES '2' (ALTERNATE RESPONDENT INFORMATION |
| CORRECT) AND '3' (ALTERNATE RESPONDENT INFORMATION |
| NEEDS CORRECTION) CANNOT BE SELECTED IF NO CURRENT |
| ALTERNATE RESPONDENT INFORMATION AVAILABLE. IF |
| CODES '2' OR '3' SELECTED WHEN NO CURRENT |
| ALTERNATE RESPONDENT INFORMATION, DISPLAY THE |
| FOLLOWING MESSAGE: 'CODE NOT AVAILABLE. NO |
| NO CURRENT ALTERNATE INFORMATION. VERIFY AND |
| RE-ENTER.' |
-----

```

```

| NOTE: IF CURRENT ALTERNATE RESPONDENT IS A DU |
| MEMBER, DO NOT DISPLAY CURRENT ADDRESS AND PHONE |
| INFORMATION. ONLY DISPLAY CURRENT ADDRESS AND |
| PHONE INFORMATION IF CURRENT ALTERNATE RESPONDENT |
| IS OUTSIDE OF THE DU. |
-----

```

CL54

=====

INTERVIEWER: SELECT PERSON NAMED FROM ROSTER.
TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]

| ROSTER DEFINITION: DISPLAY ALL PERSONS ON DU- |
| MEMBERS-ROSTER WHO MEET THE FOLLOWING CONDITIONS: |
| - PERSON IS NOT CURRENT RESPONDENT |
- PERSON IS NOT DECEASED

| DISPLAY 'SOMEONE OUTSIDE DU' AS LAST ENTRY ON |
ROSTER.

| IF DU MEMBER SELECTED, FLAG ALTERNATE RESPONDENT |
| INFORMATION FOR THE RU WITH THE PERSON SELECTED AT |
CL54 FOR THE CURRENT ROUND.

| IF 'SOMEONE OUTSIDE DU' SELECTED, CONTINUE WITH |
CL55

OTHERWISE, GO TO CL57

CL55

=====

[What is the name, address, and phone number of that person?]
IF AVAILABLE, VERIFY AND UPDATE CURRENT ALTERNATE RESPONDENT.
IF UNAVAILABLE, ENTER COMPLETE ALTERNATE RESPONDENT INFORMATION.
TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.
ENTER 'NMN' IF NO MIDDLE NAME.

Current Info: [ALTERNATE_NAME]
 [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY], [STATE] [ZIP CODE]
 [TELEPHONE]

ALTERNATE_NAME (CL55_01): [_____
1ST_STR_ADDRESS (CL55_02): [_____
2ND_STR_ADDRESS (CL55_03): [_____
 CITY (CL55_04): [_____
 STATE (CL55_05): [_____
 ZIP CODE (CL55_06): [_____
 TELEPHONE (CL55_07): [_____

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

| EDIT: IF NO CURRENT ALTERNATE ADDRESS AVAILABLE, |
| AN ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT |
SECOND STREET ADDRESS (REF AND DK ARE ALLOWED).

EDIT: IF CURRENT ALTERNATE ADDRESS AVAILABLE, AT


```

|   LEAST ONE FIELD MUST BE UPDATED.   |
-----
|   FLAG ALTERNATE RESPONDENT INFORMATION FOR THE RU |
|   WITH THE NAME, ADDRESS, AND PHONE ENTERED OR   |
|   CORRECTED AT CL55 FOR THE CURRENT ROUND.       |
-----

```

CL56
=====

ALTERNATE RESPONDENT: {NAME OF ALTERNATE RESPONDENT CL55_01}
REFERENCE PERSON: {NAME OF REFERENCE PERSON}
[What is (ALTERNATE RESPONDENT)'s relationship to (REFERENCE PERSON)?]
IF AVAILABLE, VERIFY AND UPDATE CURRENT ALTERNATE RESPONDENT.
IF UNAVAILABLE, ENTER COMPLETE ALTERNATE RESPONDENT RELATIONSHIP.
TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [ALTERNATE_RELATIONSHIP]
ALTERNATE_RELATIONSHIP (CL56_01): [_____]

```

|   DISPLAY THE NAME ENTERED AT CL55_01 FOR 'NAME OF |
|   ALTERNATE RESPONDENT CL55_01'.                 |
|   |                                               |
|   DISPLAY THE NAME OF THE REFERENCE PERSON FOR THE |
|   RU FOR 'NAME OF REFERENCE PERSON'.             |
-----

```

```

|   THE ENTRY FIELD FOR CL56_01 SHOULD BE 45      |
|   CHARACTERS OF FREE FORM TEXT IN LENGTH.       |
-----

```

```

|   EDIT: IF NO CURRENT ALTERNATE RELATIONSHIP    |
|   AVAILABLE, AN ENTRY MUST BE MADE (REF AND DK ARE |
|   ALLOWED).                                     |
-----

```

```

|   EDIT: IF CURRENT ALTERNATE RELATIONSHIP AVAILABLE, |
|   ACCEPT AN ENTRY, REF OR DK, OR NO UPDATE.       |
-----

```

```

|   FLAG ALTERNATE RESPONDENT RELATIONSHIP FOR THE RU |
|   WITH THE RELATIONSHIP ENTERED OR CORRECTED AT CL56 |
|   FOR THE CURRENT ROUND.                           |
-----

```

CL57
=====

Is anyone in the family planning to move within the next 3 months?

```

YES ..... 1
NO ..... 2 {BOX_18}
REF ..... -7 {BOX_18}
DK ..... -8 {BOX_18}

```

CL58
=====

Who is that?
PROBE: Anyone else?
TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]

| ROSTER DEFINITION: THIS ITEM DISPLAYS ALL PERSONS |
| ON THE RU-MEMBERS-ROSTER WHO MEET THE FOLLOWING |
| CONDITION: |
| - PERSON IS A CURRENT RU MEMBER (I.E., PERSON PART |
OF THE RU ON INTERVIEW DATE)

LOOP_11

=====

| FOR EACH ELEMENT ON THE RU-MEMBERS-ROSTER, ASK |
CL59 - END_LP11

| LOOP DEFINITION: LOOP_11 COLLECTS ADDRESS |
| INFORMATION FOR POTENTIAL FUTURE MOVERS. THIS |
| LOOP CYCLES ON PERSONS ON THE RU-MEMBERS-ROSTER |
| WHO MEET THE FOLLOWING CONDITIONS: |
| - PERSON IS A CURRENT RU MEMBER (I.E., PERSON PART |
| OF THE RU ON INTERVIEW DATE) |
| - PERSON SELECTED AS A FUTURE MOVER (I.E., |
| SELECTED AT CL58) |
- PERSON NOT FLAGGED AS 'PROCESSED FUTURE MOVER'

CL59

=====

{PERSON'S FIRST MIDDLE AND LAST NAME}
Please give me the address and telephone number of the place
where (PERSON) is planning to move.

1ST_STR_ADDRESS (CL59_01): [_____]
2ND_STR_ADDRESS (CL59_02): [_____]
CITY (CL59_03): [_____]
STATE (CL59_04): [_____]
ZIP CODE (CL59_05): [_____]
TELEPHONE (CL59_06): [_____]

PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

REFUSED AND DON'T KNOW ALLOWED FOR EACH FIELD.

FLAG PERSON AS 'PROCESSED FUTURE MOVER'.

| IF ALL PERSONS SELECTED AS FUTURE MOVERS (I.E., |
| SELECTED AT CL58) ARE FLAGGED AS 'PROCESSED FUTURE |
MOVER', GO TO END_LP11

| OTHERWISE, CONTINUE WITH CL60 |

CL60
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}
IF KNOWN, CODE WITHOUT ASKING.
Is (PERSON) planning to move with anyone in the family?
YES 1
NO 2 {END_LP11}
REF -7 {END_LP11}
DK -8 {END_LP11}

CL61
=====

{PERSON'S FIRST MIDDLE AND LAST NAME}
IF KNOWN, CODE WITHOUT ASKING.
Who is (PERSON) planning to move with?
TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.
TO LEAVE, PRESS ESC.
[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]

| ROSTER DEFINITION: THIS ITEM DISPLAYS ALL PERSONS |
| IN THE RU-MEMBERS-ROSTER WHO MEET THE FOLLOWING |
| CONDITIONS: |
| - PERSON IS A CURRENT RU MEMBER (I.E., PERSON PART |
| OF THE RU ON INTERVIEW DATE) |
| - PERSON SELECTED AS A FUTURE MOVER (I.E., |
| SELECTED AT CL58) |
- PERSON NOT FLAGGED AS 'PROCESSED FUTURE MOVER'

| FLAG ALL SELECTED PERSONS AS 'PROCESSED FUTURE |
MOVER'.

END_LP11
=====

| CYCLE ON NEXT PERSON ON THE RU-MEMBERS-ROSTER WHO |
MEETS THE CONDITIONS STATED IN THE LOOP DEFINITION

| IF NO OTHER PERSONS MEET THE STATED CONDITIONS, |
END LOOP_11 AND CONTINUE WITH BOX_18

BOX_18
=====

| IF CURRENT RESPONDENT IS A PROXY, CONTINUE WITH |
BOX_18A

OTHERWISE, GO TO CL62

BOX_18A
=====

IF NOT ROUND 5, CONTINUE WITH CL61A
OTHERWISE (I.E., IF ROUND 5), GO TO CL62

CL61A
=====

ITEM: PROXY INFORMATION - NEED ADDRESS AND PHONE NUMBER OF CURRENT PROXY.

INTERVIEWER: IF AVAILABLE, VERIFY CURRENT PROXY ADDRESS SHOWN BELOW.

IF NO CURRENT INFORMATION, PROBE FOR NEW PROXY ADDRESS (IF AVAILABLE).

Current Info: [PROXY_NAME]
 [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY], [STATE] [ZIP CODE]
 [TELEPHONE]

ENTER NEW PROXY ADDRESS AND TELEPHONE... 1
PROXY ADDRESS AND TELEPHONE CORRECT 2 {CL62}
PROXY ADDRESS OR TELEPHONE NEEDS
CORRECTION 3
NO CURRENT PROXY ADDRESS 4 {CL62}
REF -7 {CL62}
DK -8 {CL62}

| EDIT: CODES '2' (PROXY ADDRESS AND TELEPHONE |
| CORRECT) AND '3' (PROXY ADDRESS OR TELEPHONE NEEDS |
| CORRECTION) CANNOT BE SELECTED IF NO CURRENT PROXY |
| ADDRESS INFORMATION AVAILABLE. IF CODES '2' OR |
| '3' SELECTED WHEN NO CURRENT PROXY ADDRESS, |
| DISPLAY THE FOLLOWING MESSAGE: 'CODE NOT |
| AVAILABLE. NO CURRENT PROXY ADDRESS. VERIFY AND |
RE-ENTER.'

CL61B
=====

[What is your address and phone number?]
IF AVAILABLE, VERIFY AND UPDATE CURRENT PROXY ADDRESS.
IF UNAVAILABLE, ENTER COMPLETE PROXY ADDRESS.
TO VERIFY CURRENT INFORMATION OR TO LEAVE A FIELD BLANK, PRESS
ENTER. TO CORRECT OR ENTER INFORMATION, TYPE ENTIRE FIELD.

Current Info: [1ST_STR_ADDRESS]
 [2ND_STR_ADDRESS]
 [CITY], [STATE] [ZIP CODE]
 [TELEPHONE]

1ST_STR_ADDRESS (CL61B_01): [_____]
2ND_STR_ADDRESS (CL61B_02): [_____]
 CITY (CL61B_03): [_____]
 STATE (CL61B_04): [_____]

ZIP CODE (CL61B_05): [_____]
TELEPHONE (CL61B_06): [_____]
PRESS F1 FOR LIST OF STATE ABBREVIATIONS.

| EDIT: IF NO CURRENT PROXY ADDRESS AVAILABLE, AN |
| ENTRY MUST BE MADE FOR EVERY FIELD EXCEPT SECOND |
STREET ADDRESS (REF AND DK ARE ALLOWED).

| EDIT: IF CURRENT PROXY ADDRESS AVAILABLE, AT |
LEAST ONE FIELD MUST BE UPDATED.

| FLAG PROXY ADDRESS INFORMATION FOR THE RU WITH THE |
| ADDRESS AND PHONE ENTERED OR CORRECTED AT CL61B |
FOR THE CURRENT ROUND.

CL62
=====

INTERVIEWER: DID YOU COMPLETE THIS INTERVIEW IN-PERSON OR BY
TELEPHONE? (YOU MUST HAVE SUPERVISOR APPROVAL PRIOR TO
INTERVIEWING BY TELEPHONE.)
IN-PERSON 1
BY TELEPHONE 2
[Code One]

CL62A
=====

INTERVIEWER: WHAT LANGUAGE WAS THIS INTERVIEW COMPLETED IN?
ENGLISH 1 {CL63}
SPANISH 2 {CL63}
BOTH ENGLISH AND SPANISH 3 {CL63}
OTHER LANGUAGE 91
[Code One]

CL62AOV
=====

ENTER OTHER LANGUAGE:
[Enter Other Specify-45]

CL63
=====

INTERVIEWER: WAS ANYONE OTHER THAN THE {RESPONDENT/PROXY}
PRESENT FOR ALL OR PART OF THE INTERVIEW?
NO ONE ELSE PRESENT 1 {CL65}
SOMEONE ELSE PRESENT FOR ALL OF
INTERVIEW 2
SOMEONE ELSE PRESENT FOR PART OF
INTERVIEW 3
[Code One]

| DISPLAY 'RESPONDENT' IF CURRENT RESPONDENT IS AN |
| RU MEMBER. DISPLAY 'PROXY' IF CURRENT RESPONDENT |
IS A PROXY.

CL64

====

INTERVIEWER: CODE ALL OTHER PERSONS PRESENT DURING INTERVIEW.
TO TURN CHECK MARK ON/OFF, USE ARROW KEYS, PRESS ENTER.
TO LEAVE, PRESS ESC.

[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]
[First Name, [Middle Name], Last Name-65]

| ROSTER DEFINITION: THIS ITEM DISPLAYS PERSONS |
| ON THE DU-MEMBERS-ROSTER WHO MEET THE FOLLOWING |
| CONDITION(S): |
| - PERSON IS ON THE DU ROSTER, BUT NOT THE RU |
| ROSTER |
| OR |
| - PERSON ON THE RU ROSTER AND WAS ELIGIBLE AT THE |
| END OF RE-ENUMERATION AND IS PHYSICALLY IN THE |
| RU ON THE INTERVIEW DATE |
| AND |
- PERSON IS NOT IDENTIFIED AS CURRENT RESPONDENT
DISPLAY 'SOMEONE OUTSIDE DU' AS LAST ENTRY ON THE
ROSTER.

CL65

====

INTERVIEWER: USE BLACK BALL POINT PEN TO COMPLETE CHECKS AND
FORMS.

- {1a. FILL OUT SAQ CHECK(S) WITH RESPONDENT NAME.}
1b. FILL OUT INTERVIEW CHECK FOR PARTICIPATION WITH RESPONDENT'S
NAME.
{2a. COMPLETE SAQ RECEIPT AND HAVE RESPONDENT SIGN IT.}
2b. COMPLETE RESPONDENT INTERVIEW RECEIPT AND AGREEMENT FORM
AND HAVE RESPONDENT SIGN IT.
3. COMPLETE CHECK LOG.
PRESS ENTER TO CONTINUE.

| DISPLAY '1a. FILL ... NAME.' AND '2a. COMPLETE ... |
| SIGN IT.' IF ANY CL36 OR CL39 IS CODED '1' |
(COMPLETED AND GIVEN TO INTERVIEWER.)

CL66

====

INTERVIEWER:

4. GIVE RESPONDENT CHECK(S) AND READ STATEMENTS BELOW:

Thank you again for your cooperation in this important research.
{This check is payment in advance for keeping records from today
until the next interview. This next interview will take place in
{the summer of 1999/early 2000/the summer of 2000/early

2001}./This

check is for your efforts in keeping records and participating in
this survey.}

5. THANK RESPONDENT FOR THIS INTERVIEW.

6. {ASK RESPONDENT TO KEEP RECORDS FOR NEXT INTERVIEW./GIVE

RESPONDENT GIFT AND LETTER:

I would also like to thank you on behalf of the two Public Health Service agencies that sponsor this study -- the Agency for Health Care Policy and Research and the National Center for Health statistics. As a token of their appreciation, they would like

you

to have this gift for your participation in MEPS. In addition, here is a letter of commendation recognizing your contributions

of

time and effort in a research project to help enlighten Americans about our health care system.}

PRESS ENTER TO CONTINUE.

```
-----  
| DISPLAY 'This {next} ... /early 2001}.' IF ROUNDS |  
| 1-4. OTHERWISE, DISPLAY 'This check ... this |  
| survey.' |  
| |  
| DISPLAY 'the summer of 1999' IF ROUND 1. DISPLAY |  
| 'early 2000' IF ROUND 2. DISPLAY 'the summer of |  
| 2000' IF ROUND 3. DISPLAY 'early 2001' IF ROUND 4. |  
| |  
| DISPLAY 'ASK ... INTERVIEW.' IF ROUNDS 1 OR 2 OR 3 |  
| OR 4. DISPLAY 'GIVE RESPONDENT ... health care |  
| system.' IF ROUND 5. |  
-----
```

CL67

====

INTERVIEWER: WERE ANY OF THE FOLLOWING MEMORY AIDS USED BY THE RESPONDENT(S) DURING THE INTERVIEW?

Yes No

CL67_01

=====

HEALTH EVENTS RECORD, WITH ENTRIES	1	2
---------------------------------------	---	---

CL67_02

=====

HEALTH EVENTS RECORD, WITHOUT ENTRIES	1	2
--	---	---

CL67_03

=====

HEALTH EVENTS RECORD WORKSHEET	1	2
-----------------------------------	---	---

CL67_04

=====

RECORD FILE	1	2
-------------	---	---

CL67_05

=====

OTHER CALENDAR	1	2
----------------	---	---

CL67_06

=====

CHECK BOOK 1 2

CL67_07
=====

BILL/STATEMENT FROM PROVIDER 1 2

CL67_08
=====

INSURANCE PAYMENT STATEMENT 1 2

CL67_09
=====

MEDICINE BOTTLE/RECEIPT 1 2

CL67_10
=====

OTHER 1 2

IF CL67_10 IS CODED '1' (YES), CONTINUE WITH CL68

OTHERWISE, GO TO BOX_20

CL68
=====

WHICH OTHER MEMORY AIDS?
Yes No

CL68_01
=====

DOCTOR'S CARD OR APPOINTMENT SLIP 1 2

CL68_02
=====

INSURANCE POLICY 1 2

CL68_03
=====

INSURANCE CARDS 1 2

CL68_04
=====

TELEPHONE BOOK 1 2

CL68_05
=====

OTHER 1 2

| IF CL68_01 THROUGH CL68_05 ARE ALL CODED '2' (NO), |
| CAPI DISPLAYS THE FOLLOWING MESSAGE: 'AT LEAST |
| ONE FIELD SHOULD BE CODED 1.' THE INTERVIEWER |


```
| MUST RE-ENTER RESPONSES TO CL68_01 THROUGH |
| CL68_05. |
-----
| IF CL68_05 IS CODED '1' (YES), CONTINUE WITH |
| CL680V |
-----
| OTHERWISE, GO TO BOX_20 |
-----
```

CL680V
=====

ENTER OTHER:
[Enter Other Specify]

BOX_20
=====

```
-----
| END INTERVIEW. |
-----
```

[Return to Top](#)